

	L #	Hits	Search Text	DBs	Time Stamp
1	L1	1607	chitinase\$1	USPAT; US-PGPUB	2003/04/25 10:35
2	L2	13553	barley	USPAT; US-PGPUB	2003/04/25 10:35
3	L3	2061	glucanase\$1	USPAT; US-PGPUB	2003/04/25 10:39
4	L4	14515 5	psi or protein adj synthesis adj inhibit\$8	USPAT; US-PGPUB	2003/04/25 10:40
5	L5	1545	afp or antifungal adj protein	USPAT; US-PGPUB	2003/04/25 10:45
6	L6	85	1 near6 (serratia or marcesens)	USPAT; US-PGPUB	2003/04/25 10:45
7	L7	30	1 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
8	L8	101	3 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
9	L9	13	4 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
10	L10	25	5 near6 (aspergillus or giganteus)	USPAT; US-PGPUB	2003/04/25 10:47
11	L11	25	(6 and (7 or 8 or 9 or 10)) or (7 and (8 or 9 or 10)) or (8 and (9 or 10)) or (9 and 10)	USPAT; US-PGPUB	2003/04/25 11:46
12	L12	10	(6 or 7 or 8 or 9 or 10) same synerg\$	USPAT; US-PGPUB	2003/04/25 11:13
13	L13	30	(6 or 7 or 8 or 9 or 10) same transgen\$	USPAT; US-PGPUB	2003/04/25 14:19
14	L14	793	(1 same ( 3 or 4 or 5)) or (3 same (4 or 5)) or (4 same 5)	USPAT; US-PGPUB	2003/04/25 11:48
15	L15	39	14 same synerg\$	USPAT; US-PGPUB	2003/04/25 11:49
16	L16	114	14 same transgen\$	USPAT; US-PGPUB	2003/04/25 14:19

	L #	Hits	Search Text	DBs	Time Stamp
1	L1	1607	chitinase\$1	USPAT; US-PGPUB	2003/04/25 10:35
2	L2	13553	barley	USPAT; US-PGPUB	2003/04/25 10:35
3	L3	2061	glucanase\$1	USPAT; US-PGPUB	2003/04/25 10:39
4	L4	14515 5	psi or protein adj synthesis adj inhibit\$8	USPAT; US-PGPUB	2003/04/25 10:40
5	L5	1545	afp or antifungal adj protein	USPAT; US-PGPUB	2003/04/25 10:45
6	L6	85	1 near6 (serratia or marcesens)	USPAT; US-PGPUB	2003/04/25 10:45
7	L7	30	1 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
8	L8	101	3 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
9	L9	13	4 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
10	L10	25	5 near6 (aspergillus or giganteus)	USPAT; US-PGPUB	2003/04/25 10:47
11	L11	25	(6 and (7 or 8 or 9 or 10)) or (7 and (8 or 9 or 10)) or (8 and (9 or 10)) or (9 and 10)	USPAT; US-PGPUB	2003/04/25 11:08
12	L12	10	(6 or 7 or 8 or 9 or 10) same synerg\$	USPAT; US-PGPUB	2003/04/25 11:09

PGPUB-DOCUMENT-NUMBER: 20020174452

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020174452 A1

TITLE: Monocot seeds with increased lignan content

PUBLICATION-DATE: November 21, 2002

US-CL-CURRENT: 800/284

APPL-NO: 09/ 944160

DATE FILED: August 30, 2001

RELATED-US-APPL-DATA:

non-provisional-of-provisional 60230632 20000907 US

CROSS-REFERENCE TO RELATED APPLICATION

[0001] This application claims benefit of priority from United States Provisional Patent Application No. 60/230,632, filed Sep. 7, 2000, under 35 U.S.C. .sctn. 119, which is incorporated herein by reference.

PGPUB-DOCUMENT-NUMBER: 20020168735

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020168735 A1

TITLE: ANTIFUNGAL PROTEINS, DNA CODING THEREFORE, AND HOSTS  
INCORPORATING SAME

PUBLICATION-DATE: November 14, 2002

US-CL-CURRENT: 435/183, 435/320.1 , 435/325 , 435/69.1 , 514/12 , 530/387.1  
, 536/23.2 , 800/295

APPL-NO: 09/ 258031

DATE FILED: February 25, 1999

CONTINUED PROSECUTION APPLICATION: This is a publication of a continued  
prosecution application (CPA) filed under 37 CFR 1.53(d).

RELATED-US-APPL-DATA:

child 09258031 A1 19990225

parent continuation-of PCT/EP97/04923 19970904 US UNKNOWN

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	DOC-ID	APPL-DATE
EP	96 202 466.7	1996EP-96 202 466.7	September 4, 1996
EP	97 200 831.2	1997EP-97 200 831.2	March 19, 1997



PGPUB-DOCUMENT-NUMBER: 20020088024

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020088024 A1

TITLE: Production of lysosomal enzymes in plants by transient  
expression

PUBLICATION-DATE: July 4, 2002

US-CL-CURRENT: 800/284, 435/200 , 435/235.1 , 435/320.1 , 435/410 , 536/23.2

APPL-NO: 09/ 993059

DATE FILED: November 13, 2001

RELATED-US-APPL-DATA:

child 09993059 A1 20011113

parent continuation-in-part-of 09626127 20000726 US PENDING

RELATED APPLICATIONS

[0001] This present application is a continuation-in-part of U.S. application  
Ser. No. 09/626,127, filed Jul. 26, 2000.

PGPUB-DOCUMENT-NUMBER: 20020039780

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020039780 A1

TITLE: Salicyclic acid inducible genes and promoters

PUBLICATION-DATE: April 4, 2002

US-CL-CURRENT: 435/219, 435/320.1 , 435/410 , 435/69.1 , 536/23.2

APPL-NO: 09/ 777207

DATE FILED: February 5, 2001

RELATED-US-APPL-DATA:

child 09777207 A1 20010205

parent continuation-of PCT/EP99/05581 19990802 US UNKNOWN

non-provisional-of-provisional 60095187 19980803 US

PGPUB-DOCUMENT-NUMBER: 20010020300

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20010020300 A1

TITLE: TRANSGENIC PATHOGEN-RESISTANT ORGANISM

PUBLICATION-DATE: September 6, 2001

US-CL-CURRENT: 800/279

APPL-NO: 09/ 138873

DATE FILED: August 24, 1998

CONTINUED PROSECUTION APPLICATION: CPA

RELATED-US-APPL-DATA:

child 09138873 A1 19980824

parent division-of 08812025 19970306 US GRANTED

parent-patent 5804184 US

child 08812025 19970306 US

parent division-of 08457797 19950601 US GRANTED

parent-patent 5689045 US

child 08457797 19950601 US

parent continuation-of 08134416 19931008 US ABANDONED

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	DOC-ID	APPL-DATE
DE	P 42 34 131.0	1992DE-P 42 34 131.0	October 9, 1992

US-PAT-NO: 6521435

DOCUMENT-IDENTIFIER: US 6521435 B1

TITLE: Nucleic acid sequences encoding cell wall-degrading enzymes and use to engineer resistance to Fusarium and other pathogens

DATE-ISSUED: February 18, 2003

US-CL-CURRENT: 435/206, 435/183, 435/200, 435/252.3, 435/320.1, 435/419, 435/468, 435/69.1, 536/23.2, 800/295, 800/298, 800/320.3

APPL-NO: 09/ 649747

DATE FILED: August 28, 2000

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Applications Nos. 60/224,946, filed Aug. 11, 2000 and 60/151,582, filed Aug. 30, 1999. The disclosure of each of said provisional application is incorporated herein by reference in its entirety.

US-PAT-NO: 6512166

DOCUMENT-IDENTIFIER: US 6512166 B1

TITLE: Combinations of fungal cell wall degrading enzyme and  
fungal cell membrane affecting compound

DATE-ISSUED: January 28, 2003

US-CL-CURRENT: 800/301, 514/12 , 800/279

APPL-NO: 08/ 611504

DATE FILED: March 5, 1996

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATION

This is a continuation-in-part of application Ser. No. 08/499,164, filed Jul. 7, 1995, now abandoned, which is continuation of application Ser. No. 08/249,927, filed May 26, 1994, now U.S. Pat. No. 5,433,947, which is a continuation of application Ser. No. 07/990,609, filed Dec. 15, 1992, now U.S. Pat. No. 5,326,561. This application claims priority to Provisional Application Ser. No. 60/007567, filed Nov. 27, 1995. This is also a continuation-in-part of U.S. patent application Ser. No. 08/371,680, filed Dec. 21, 1994, issued as U.S. Pat. No. 6,020,540 which is a continuation-in-part of U.S. patent application Ser. No. 08/045,269, filed Apr. 14, 1993, now issued as U.S. Pat. No. 5,378,821, which is a continuation-in-part of U.S. patent application Ser. No. 07/919,784, filed Jul. 27, 1992, issued as U.S. Pat. No. 6,251,390, which is a continuation-in-part of U.S. patent application Ser. No. 07/716,134, filed Jun. 17, 1991, now issued as U.S. Pat. No. 5,173,419.

US-PAT-NO: 6465636

DOCUMENT-IDENTIFIER: US 6465636 B1

\*\*See image for Certificate of Correction\*\*

TITLE: Pathogen-inducible promoter

DATE-ISSUED: October 15, 2002

US-CL-CURRENT: 536/24.1, 435/320.1, 435/410, 800/278, 800/279, 800/295  
, 800/301

APPL-NO: 09/ 647390

DATE FILED: September 29, 2000

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
EP	98201024	April 1, 1998

PCT-DATA:

APPL-NO: PCT/EP99/02178

DATE-FILED: March 25, 1999

PUB-NO: WO99/50428

PUB-DATE: Oct 7, 1999

371-DATE: Sep 29, 2000

102(E)-DATE: Sep 29, 2000

US-PAT-NO: 6359196

DOCUMENT-IDENTIFIER: US 6359196 B1

TITLE: Germination-specific plant promoters

DATE-ISSUED: March 19, 2002

US-CL-CURRENT: 800/278, 435/320.1 , 435/419 , 435/6 , 536/23.1 , 536/24.1  
, 536/24.3 , 800/288

APPL-NO: 09/ 404390

DATE FILED: September 23, 1999

US-PAT-NO: 6291647

DOCUMENT-IDENTIFIER: US 6291647 B1

\*\*See image for Certificate of Correction\*\*

TITLE: Antifungal proteins, DNA coding therefor, and hosts  
incorporating same

DATE-ISSUED: September 18, 2001

US-CL-CURRENT: 530/370, 435/418 , 435/419 , 530/300 , 530/350

APPL-NO: 08/ 687580

DATE FILED: November 20, 1996

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
NL	94200321	February 9, 1994

PCT-DATA:

APPL-NO: PCT/EP95/00488

DATE-FILED: February 9, 1995

PUB-NO: WO95/21929

PUB-DATE: Aug 17, 1995

371-DATE: Nov 20, 1996

102(E)-DATE: Nov 20, 1996



US-PAT-NO: 6284946

DOCUMENT-IDENTIFIER: US 6284946 B1

TITLE: Banana DNA associated with fruit development

DATE-ISSUED: September 4, 2001

US-CL-CURRENT: 800/278, 435/320.1 , 435/410 , 435/419 , 435/468 , 435/69.1  
, 536/23.6 , 800/298

APPL-NO: 09/ 160351

DATE FILED: September 25, 1998

PARENT-CASE:

this application claims benefit to U.S. provisional application No.  
60/060,062 filed Sep. 25, 1997.

US-PAT-NO: 6271438

DOCUMENT-IDENTIFIER: US 6271438 B1

**\*\*See image for Certificate of Correction\*\***

TITLE: Transgenic pathogen-resistant plant

DATE-ISSUED: August 7, 2001

US-CL-CURRENT: 800/279, 424/94.2, 424/94.61, 435/200, 435/209, 435/320.1  
, 435/69.1, 514/12, 536/23.2, 800/301

APPL-NO: 09/ 138873

DATE FILED: August 24, 1998

PARENT-CASE:

This application is a divisional of prior application No. 08/812,025 filed Mar. 6, 1997, now U.S. Pat. No. 5,804,184, which, in turn, is a divisional of prior application No. 08/457,797, filed Jun. 1, 1995, now U.S. Pat. No. 5,689,045, which is a continuation of prior application No. 08/134,416, filed Oct. 6, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	42 34 131	October 9, 1992

US-PAT-NO: 6087560

DOCUMENT-IDENTIFIER: US 6087560 A

TITLE: Transgenic fungal resistant plants expressing chitinase  
and glucanase, process for obtaining, and recombinant  
polynucleotides for uses therein

DATE-ISSUED: July 11, 2000

US-CL-CURRENT: 800/301, 435/252.3, 435/320.1, 800/279, 800/305, 800/306  
, 800/309, 800/312, 800/313, 800/315, 800/316, 800/317  
, 800/317.1, 800/317.2, 800/317.3, 800/317.4, 800/320  
, 800/320.1, 800/320.2, 800/320.3, 800/321

APPL-NO: 08/ 801563

DATE FILED: February 18, 1997

PARENT-CASE:

This application is a continuation of U.S. Ser. No. 08/047,413 filed Apr. 19, 1993, U.S. Pat. No. 5,670,706, which is a continuation-in-part of U.S. Ser. No. 07/647,831 filed Jan. 29, 1991, abandoned, the disclosures of which are hereby incorporated herein by reference.

US-PAT-NO: 6066491

DOCUMENT-IDENTIFIER: US 6066491 A

TITLE: Process for obtaining fungal resistant plants with  
recombinant polynucleotides encoding .beta.-1,3-glucanase  
modified for apoplast targeting

DATE-ISSUED: May 23, 2000

US-CL-CURRENT: 435/252.3, 435/252.2 , 435/320.1

APPL-NO: 08/ 229050

DATE FILED: April 18, 1994

PARENT-CASE:

This application is a continuation of application Ser. No. 07/647,831,  
filed Jan. 29, 1991, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
NL	9000222	January 30, 1990

US-PAT-NO: 6020540

DOCUMENT-IDENTIFIER: US 6020540 A

TITLE: Gene encoding endochitinase

DATE-ISSUED: February 1, 2000

US-CL-CURRENT: 800/302, 435/209 , 435/252.3 , 435/320.1 , 435/418 , 435/419  
, 536/23.1 , 536/23.2

APPL-NO: 08/ 371680

DATE FILED: December 21, 1994

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATION

This is a continuation-in-part of U.S. Ser. No. 08/045,269, filed Apr. 14, 1993, now U.S. Pat. No. 5,378,821, which is a continuation-in-part of U.S. Ser. No. 07/919,784 filed Jul. 27, 1992, which is a continuation-in-part of U.S. Ser. No. 07/716,134, filed Jun. 17, 1991, now U.S. Pat. No. 5,173,419

And a continuation-in-part of U.S. Ser. No. 08/184,115, filed Jan. 21, 1994, now abandoned, which is a continuation-in-part of Ser. No. 08/049,390, filed Apr. 21, 1993, now U.S. Pat. No. 5,474,926, which is a continuation-in-part of U.S. Ser. No. 07/990,609, filed Dec. 15, 1992, now U.S. Pat. No. 5,326,561.

US-PAT-NO: 5994625

DOCUMENT-IDENTIFIER: US 5994625 A

TITLE: Antifungal chitin binding proteins and DNA coding  
therefor

DATE-ISSUED: November 30, 1999

US-CL-CURRENT: 800/279, 435/200, 435/209, 435/252.2, 435/320.1, 435/418  
, 435/419, 435/421, 435/468, 435/469, 435/69.1, 536/23.6  
, 800/265, 800/268, 800/294, 800/298, 800/301

APPL-NO: 08/ 935886

DATE FILED: September 23, 1997

PARENT-CASE:

This application is a continuation of application(s) Ser. No. 08/411,640  
filed on Apr. 5, 1995, now abandoned, which is International Application  
PCT/EP93/02790 filed on Oct. 5, 1993 and which designated the U.S.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
EP	92203071	October 5, 1992
EP	93201370	May 13, 1993

US-PAT-NO: 5993808

DOCUMENT-IDENTIFIER: US 5993808 A

TITLE: Chitinase, DNA coding therefor and plants containing  
same

DATE-ISSUED: November 30, 1999

US-CL-CURRENT: 424/94.61, 435/200 , 435/209

APPL-NO: 08/ 591629

DATE FILED: February 15, 1996

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
EP	93202425	August 17, 1993

PCT-DATA:

APPL-NO: PCT/EP94/02761

DATE-FILED: August 17, 1994

PUB-NO: WO95/05467

PUB-DATE: Feb 23, 1995

371-DATE: Feb 15, 1996

102(E)-DATE:Feb 15, 1996

US-PAT-NO: 5981844

DOCUMENT-IDENTIFIER: US 5981844 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: November 9, 1999

US-CL-CURRENT: 800/301, 435/320.1 , 435/419 , 800/279

APPL-NO: 08/ 994418

DATE FILED: December 19, 1997

PARENT-CASE:

This Application is a Continuation of U.S. application Ser. No. 08/456,430 filed Jun. 1, 1995, now U.S. Pat. No. 5,703,044, which is a division of Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a Continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a Continuation in-Part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned. Such applications are herein incorporated by reference.



US-PAT-NO: 5866788

DOCUMENT-IDENTIFIER: US 5866788 A

TITLE: Recombinant chitinase and use thereof as a biocide

DATE-ISSUED: February 2, 1999

US-CL-CURRENT: 800/302, 435/200 , 435/252.2 , 435/320.1 , 435/418 , 435/419  
, 435/69.1 , 536/23.5

APPL-NO: 08/ 524051

DATE FILED: September 6, 1995

PARENT-CASE:

RELATED APPLICATION

This is a continuation-in-part of application Ser. No. 08/224,987, filed Apr. 8, 1994, now abandoned.

US-PAT-NO: 5804184

DOCUMENT-IDENTIFIER: US 5804184 A

TITLE: Transgenic pathogen-resistant organism

DATE-ISSUED: September 8, 1998

US-CL-CURRENT: 424/94.61, 424/94.2 , 435/200 , 435/209 , 514/12

APPL-NO: 08/ 812025

DATE FILED: March 6, 1997

PARENT-CASE:

This is a divisional of application No. 08/457,797, filed on Jun. 1, 1995, now U.S. Pat. No. 5,689,045, which is a continuation of Ser. No. 08/134,416, filed on Oct. 8, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	42 34 131.0	October 9, 1992

US-PAT-NO: 5703044

DOCUMENT-IDENTIFIER: US 5703044 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: December 30, 1997

US-CL-CURRENT: 514/12, 514/2 , 514/8 , 530/372 , 530/376

APPL-NO: 08/ 456430

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional of application Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-PAT-NO: 5689045

DOCUMENT-IDENTIFIER: US 5689045 A

\*\*See image for Certificate of Correction\*\*

TITLE: Transgenic pathogen-resistant plant

DATE-ISSUED: November 18, 1997

US-CL-CURRENT: 800/265, 435/200, 435/209, 435/320.1, 435/69.1, 435/70.1  
, 47/DIG.1, 536/23.2, 536/23.6, 536/23.7, 800/279  
, 800/301

APPL-NO: 08/ 457797

DATE FILED: June 1, 1995

PARENT-CASE:

This application is a continuation of application Ser. No. 08/134,416,  
filed on Oct. 8, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	42 34 131.0	October 9, 1992

US-PAT-NO: 5670706

DOCUMENT-IDENTIFIER: US 5670706 A

TITLE: Fungal resistant plants, process for obtaining fungal  
resistant plants and recombinant polynucleotides for use  
therein

DATE-ISSUED: September 23, 1997

US-CL-CURRENT: 800/279, 435/252.3 , 435/320.1 , 800/294 , 800/301  
, 800/317.4

APPL-NO: 08/ 047413

DATE FILED: April 19, 1993

PARENT-CASE:

This application is a continuation-in-part of U.S. Ser. No. 07/647,831  
filed 29 Jan. 1991, now abandoned.

US-PAT-NO: 5559034

DOCUMENT-IDENTIFIER: US 5559034 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: September 24, 1996

US-CL-CURRENT: 435/320.1, 435/252.3, 435/69.1, 514/12, 514/2, 514/8  
, 530/372, 530/376, 536/22.1, 536/23.1, 536/23.6

APPL-NO: 08/ 457552

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional application of Ser. No. 08/178,708, filed Jan. 10, 1994, which is a continuation-in-part of Ser. No. 07,505,781, filed Apr. 6, 1990, now abandoned which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-PAT-NO: 5521153

DOCUMENT-IDENTIFIER: US 5521153 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: May 28, 1996

US-CL-CURRENT: 514/2, 514/12, 514/8, 530/372, 530/376

APPL-NO: 08/ 178708

DATE FILED: January 10, 1994

PARENT-CASE:

This Application is a continuation-in-part application of U.S. application Ser. No. 07/505,781, filed Apr. 6, 1990, which is a continuation-in-part Application of U.S. application Ser. No. 07/104,755 filed Oct. 2, 1987, both now abandoned. Such applications are herein incorporated by reference .

US-PAT-NO: 6512166

DOCUMENT-IDENTIFIER: US 6512166 B1

TITLE: Combinations of fungal cell wall degrading enzyme and  
fungal cell membrane affecting compound

DATE-ISSUED: January 28, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Harman; Gary E.	Geneva	NY	N/A	N/A
Lorito; Matteo	Salerno	N/A	N/A	IT
Di Pietro; Antonio	Cordoba	N/A	N/A	ES
Hayes; Christopher K.	Geneva	NY	N/A	N/A
Scala; Felice	Sorrento	N/A	N/A	IT
Kubicek; Christian P.	Vienna	N/A	N/A	AT

APPL-NO: 08/ 611504

DATE FILED: March 5, 1996

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATION

This is a continuation-in-part of application Ser. No. 08/499,164, filed Jul. 7, 1995, now abandoned, which is continuation of application Ser. No. 08/249,927, filed May 26, 1994, now U.S. Pat. No. 5,433,947, which is a continuation of application Ser. No. 07/990,609, filed Dec. 15, 1992, now U.S. Pat. No. 5,326,561. This application claims priority to Provisional Application Ser. No. 60/007567, filed Nov. 27, 1995. This is also a continuation-in-part of U.S. patent application Ser. No. 08/371,680, filed Dec. 21, 1994, issued as U.S. Pat. No. 6,020,540 which is a continuation-in-part of U.S. patent application Ser. No. 08/045,269, filed Apr. 14, 1993, now issued as U.S. Pat. No. 5,378,821, which is a continuation-in-part of U.S. patent application Ser. No. 07/919,784, filed Jul. 27, 1992, issued as U.S. Pat. No. 6,251,390, which is a continuation-in-part of U.S. patent application Ser. No. 07/716,134, filed Jun. 17, 1991, now issued as U.S. Pat. No. 5,173,419.

US-CL-CURRENT: 800/301, 514/12 , 800/279

ABSTRACT:

A system for inhibiting the germination or growth of a fungus comprises (a) fungal cell wall degrading chitinolytic or glucanolytic enzyme and (b) antifungal cell membrane affecting: compound. Exemplified antifungal fungal cell membrane affecting compounds include flusilazole, miconazole, osmotin, gramicidin, valinomycin, phospholipase B, and trichorzianines. The system



components (a) and (b) may be supplemented with polyene macrolide antibiotic, antifungal epithiodiketopiperazine antibiotic (e.g., gliotoxin), fungal cell wall biosynthesis inhibitor (e.g., L-sorbose) and/or detergent. Embodiments include method of contacting a plant which expresses cell wall degrading enzyme with antifungal fungal cell membrane affecting compound.

17 Claims, 17 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 17

----- KWIC -----

Other Reference Publication - OREF (46):

Brurberg et al., "Expression of a **Chitinase Gene From Serratia** marcescens In Lactococcus lactis and Lactobacillus plantarum," Appl Microbiol Biotechnol, 42:108-115 (1994).

Other Reference Publication - OREF (48):

Leah et al., "Identification of An Enhancer/Silencer Sequence Directing the Aleurone-Specific Expression of a **Barley Chitinase** Gene," The Plant Journal, 6:579-589 (1994).

Other Reference Publication - OREF (64):

Harpster et al., "Nucleoside Sequence of the **Chitinase B Gene of Serratia** marcescens," Nucleic Acids Research, 17:5395 (1989).

US-PAT-NO: 6087560

DOCUMENT-IDENTIFIER: US 6087560 A

TITLE: Transgenic fungal resistant plants expressing chitinase  
and glucanase, process for obtaining, and recombinant  
polynucleotides for uses therein

DATE-ISSUED: July 11, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Cornelissen; Bernardus J. C.	Warmond		N/A N/A	NL
Melchers; Leo Sjoerd	Leiden	N/A	N/A	NL
Meulenhoff; Elisabeth J. S.	Amsterdam		N/A N/A	NL
van Roekel; Jeroen S. C.	Amsterdam		N/A N/A	NL
Sela-Buurlage; Marianne	Amersfoort		N/A N/A	NL
Beatrix	Leiden	N/A	N/A NL	
Vloemans; Alexandra Aleida	Lafayette		IN N/A	N/A
Woloshuk; Charles Peter	Oegstgeest		N/A N/A	NL
Bol; John Ferdinand	Leiden	N/A	N/A	NL
Linthorst; Hubertus J. M.				

APPL-NO: 08/ 801563

DATE FILED: February 18, 1997

PARENT-CASE:

This application is a continuation of U.S. Ser. No. 08/047,413 filed Apr. 19, 1993, U.S. Pat. No. 5,670,706, which is a continuation-in-part of U.S. Ser. No. 07/647,831 filed Jan. 29, 1991, abandoned, the disclosures of which are hereby incorporated herein by reference.

US-CL-CURRENT: 800/301, 435/252.3, 435/320.1, 800/279, 800/305, 800/306  
, 800/309, 800/312, 800/313, 800/315, 800/316, 800/317  
, 800/317.1, 800/317.2, 800/317.3, 800/317.4, 800/320  
, 800/320.1, 800/320.2, 800/320.3, 800/321

ABSTRACT:

Plants are provided with improved resistance against pathogenic fungi. They are genetically transformed with one or more polynucleotides which essentially comprise one or more genes encoding plant chitinases and .beta.-1,3-glucanases. Preferred are the intracellular forms of the said hydrolytic enzymes, especially preferred are those forms which are targeted to the apoplastic space of the plant by virtue of the modification of the genes encoding the said enzymes. Particularly preferred are plants exhibiting a relative overexpression of at least one gene encoding a chitinase and one gene encoding a .beta.-1,3-glucanase.

25 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 12

----- KWIC -----

Brief Summary Text - BSTX (33):

For example, genes encoding chitinases are known to be expressed in a developmentally regulated manner in, inter alia, tobacco flowers (Lotan et al., 1989). **Glucanases are known to occur in large quantities in seedlings of barley** (Swegle et al., 1989; Woodward & Fincher, 1982; Hoj et al., 1988, 1989).

Brief Summary Text - BSTX (41):

In U.S. Pat. No. 4,940,840, tobacco plants expressing a bacterial **chitinase gene (i.e. the chiA gene from Serratia marcescens)** have been shown to be less sensitive to the fungus *Alternaria longipes*.

Detailed Description Text - DETX (39):

Furthermore, chitinases and .beta.-1,3-glucanases can be isolated from pea, using chitosan as inducing compound (Mauch et al., 1984). Further analysis revealed the presence of at least five hydrolases, viz. two basic .beta.-1,3-glucanases and three basic chitinases (Mauch et al., 1988a). Intracellular and extracellular Chitinases which are serologically related to an intracellular chitinase from *Sean* can be isolated from *Allium porrum* L. (Spanu et al., 1989). Endochitinases and glucanases can also be isolated from maize, following inoculation of leaves with BMV (bromine mosaic virus) (Nasser et al., 1988). Chitinases which are serologically related to an intracellular endochitinase from bean (Swegle et al., 1989) can be isolated from barley (*Hordeum vulgare*). Also .beta.-1,3-**glucanases, as well as other classes of glucanases, can be isolated from barley** (Balance et al., 1976; Hoj et al., 1988, 1989). At least 4 different chitinases and 5 different .beta.-1,3-glucanases are known to exist in oat (Fink et al., 1988).

US-PAT-NO: 6066491

DOCUMENT-IDENTIFIER: US 6066491 A

TITLE: Process for obtaining fungal resistant plants with  
recombinant polynucleotides encoding .beta.-1,3-glucanase  
modified for apoplast targeting

DATE-ISSUED: May 23, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Cornelissen; Bernardus	Warmond	N/A	N/A	NL
Johannes Clemens	Leiden	N/A	N/A	NL
Melchers; Leo Sjoerd				

APPL-NO: 08/ 229050

DATE FILED: April 18, 1994

PARENT-CASE:

This application is a continuation of application Ser. No. 07/647,831,  
filed Jan. 29, 1991, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
NL	9000222	January 30, 1990

US-CL-CURRENT: 435/252.3, 435/252.2 , 435/320.1

ABSTRACT:

Plants are provided with improved resistance against pathogenic fungi. They are genetically transformed with one or more polynucleotides which essentially comprise one or more genes encoding plant and .beta.-1,3-glucanases. Preferred are the intracellular forms of the said hydrolytic enzymes, especially preferred are those forms which are targeted to the apoplastic space of the plant by virtue of the modification of the genes encoding the said enzymes. Particularly preferred are plants exhibiting a relative overexpression of at least one gene encoding a .beta.-1,3-glucanase.

7 Claims, 14 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 11

----- KWIC -----

Brief Summary Text - BSTX (31):

For example, genes encoding chitinases are known to be expressed in a developmentally regulated manner in, inter alia, tobacco flowers (Lotan et al., 1989). **Glucanases are known to occur in large quantities in seedlings of barley** (Swegle et al., 1989; Woodward & Fincher, 1982; Hoj et al., 1988, 1989).

Brief Summary Text - BSTX (39):

In U.S. Pat. No. 4,940,840, tobacco plants expressing a bacterial **chitinase gene (i.e. the chiA gene from Serratia marcescens)** have been shown to be less sensitive to the fungus *Alternaria longipes*.

Detailed Description Text - DETX (37):

Genes or cDNAs coding for the desired hydrolytic enzymes can for instance be isolated from tobacco (e.g. Legrand et al., 1987; Shinshi et al., 1987), tomato (Joosten et al., 1989), a basic intracellular chitinase can be isolated from potato (Gaynor, 1988; Kombrink et al., 1988), an extracellular chitinase can be isolated from cucumber (Metraux & Boller, 1986; Metraux et al., 1986), and both intracellular chitinases and glucanases can be isolated from bean (Broglie et al., 1986; Vogeli et al., 1988; Mauch & Staehelin, 1989). Furthermore, chitinases and .beta.-1,3-glucanases can be isolated from pea, using chitosan as inducing compound (Mauch et al., 1984). Further analysis revealed the presence of at least five hydrolases, viz. two basic .beta.-1,3-glucanases and three basic chitinases (Mauch et al., 1988a). Intracellular and extracellular Chitinases which are serologically related to an intracellular chitinase from bean can be isolated from *Allium porrum* L. (Spanu et al., 1989). Endochitinases and glucanases can also be isolated from maize, following inoculation of leaves with BMV (bromine mosaic virus) (Nasser et al., 1988). Chitinases which are serologically related to an intracellular endochitinase from bean (Swegle et al., 1989) can be isolated from barley (*Hordeum vulgare*). Also **.beta.-1,3-glucanases, as well as other classes of glucanases, can be isolated from barley** (Balance et al., 1976; Hoj et al., 1988, 1989). At least 4 different chitinases and 5 different .beta.-1,3-glucanases are known to exist in oat (Fink et al., 1988).

US-PAT-NO: 6020540

DOCUMENT-IDENTIFIER: US 6020540 A

TITLE: Gene encoding endochitinase

DATE-ISSUED: February 1, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Harman; Gary E.	Geneva	NY	N/A	N/A
Tronsmo; Arne	Aas	N/A	N/A	NO
Hayes; Christopher K.	Geneva	NY	N/A	N/A
Lorito; Matteo	Salerno	N/A	N/A	IT
Klemsdahl; Sonja	.ANG.s	N/A	N/A	NO

APPL-NO: 08/ 371680

DATE FILED: December 21, 1994

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATION

This is a continuation-in-part of U.S. Ser. No. 08/045,269, filed Apr. 14, 1993, now U.S. Pat. No. 5,378,821, which is a continuation-in-part of U.S. Ser. No. 07/919,784 filed Jul. 27, 1992, which is a continuation-in-part of U.S. Ser. No. 07/716,134, filed Jun. 17, 1991, now U.S. Pat. No. 5,173,419

And a continuation-in-part of U.S. Ser. No. 08/184,115, filed Jan. 21, 1994, now abandoned, which is a continuation-in-part of Ser. No. 08/049,390, filed Apr. 21, 1993, now U.S. Pat. No. 5,474,926, which is a continuation-in-part of U.S. Ser. No. 07/990,609, filed Dec. 15, 1992, now U.S. Pat. No. 5,326,561.

US-CL-CURRENT: 800/302, 435/209 , 435/252.3 , 435/320.1 , 435/418 , 435/419 , 536/23.1 , 536/23.2

ABSTRACT:

Two chitinases from *Trichoderma harzianum* P1 (ATCC 74058) show chitin-containing-fungus-inhibiting activity. One is an endochitinase and the other is a chitobiase. Both have molecular weights of 40 kDa and isoelectric points of 3.9. Endochitinases and chitobiases including the two purified from *Trichoderma harzianum* strain P1 demonstrate synergy with each other in antifungal effect. Isolated gene encoding for the endochitinase has the sequence set forth in the Sequence Listing as SEQ ID NO:1.

33 Claims, 8 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 8

----- KWIC -----

Other Reference Publication - OREF (5):

Harpster et al., "Nucleotide Sequence of the Chitinase B Gene of Serratia marcescens," Nucleic Acids Research, 17:5395 (1989).

Other Reference Publication - OREF (126):

Brurberg et al., "Expression of a Chitinase Gene From Serratia marcescens In Lactococcus lactis and Lactobacillus plantarum," Appl Microbiol Biotechnol, 42:108-115 (1994).

Other Reference Publication - OREF (128):

Leah et al., "Identification of An Enhancer/Silencer Sequence Directing the Aleurone-Specific Expression of a Barley Chitinase Gene Gene," The Plant Journal, 6:579-589 (1994).

US-PAT-NO: 5994625

DOCUMENT-IDENTIFIER: US 5994625 A

TITLE: Antifungal chitin binding proteins and DNA coding therefor

DATE-ISSUED: November 30, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Melchers; Leo Sjoerd	Leiden	N/A	N/A	NL
Sela-Buurlage; Marianne	Amersfoort	N/A	N/A	NL
Beatrix	Leiden	N/A	N/A	NL
Bres-Vloemans; Alexandra	Leiden	N/A	N/A	NL
Aleida	Haarlem	N/A	N/A	NL
Ponstein; Anne Silene	Warmond	N/A	N/A	NL
Apotheker-De Groot; Marion				
Cornelissen; Bernardus				
Johannes Clemens				

APPL-NO: 08/ 935886

DATE FILED: September 23, 1997

PARENT-CASE:

This application is a continuation of application(s) Ser. No. 08/411,640 filed on Apr. 5, 1995, now abandoned, which is International Application PCT/EP93/02790 filed on Oct. 5, 1993 and which designated the U.S.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
EP	92203071	October 5, 1992
EP	93201370	May 13, 1993

US-CL-CURRENT: 800/279, 435/200, 435/209, 435/252.2, 435/320.1, 435/418, 435/419, 435/421, 435/468, 435/469, 435/69.1, 536/23.6, 800/265, 800/268, 800/294, 800/298, 800/301

ABSTRACT:

Chimeric genes encoding antifungal chitin binding proteins (antifungal CBPs) with very low chitinase activity (10% or less than that of the class-I chitinases from tobacco). Also substantially pure DNA sequences encoding antifungal CBP are provided for the obtention of transgenic plants producing antifungal CBP. Plants expressing an antifungal CBP gene, optionally in combination with a plant expressible glucanase gene, show reduced susceptibility to fungi.



31 Claims, 5 Drawing figures

Exemplary Claim Number: 1,24

Number of Drawing Sheets: 5

----- KWIC -----

Detailed Description Text - DETX (36):

From these observations we predict, that the antifungal CBPs according to the invention will show a synergistic effect with many other proteins that bind to chitin or degrade chitin such as chitinases. Examples of synergizing proteins that may be used in combination with antifungal CBPs according to the invention include, but are not limited to, .beta.-1,3-glucanases and chitinases which are obtainable from barley (Swegle M. et al., 1989, Plant Mol. Biol. 12, 403-412; Balance G. M. et al., 1976, Can. J. Plant Sci. 56, 459-466; Hoj P. B. et al., 1988, FEBS Lett. 230, 67-71; Hoj P. B. et al., 1989, Plant Mol. Biol. 13, 31-42 1989), bean (Boller T. et al, 1983, Planta 157, 22-31; Broglie K. E. et al. 1986, Proc. Natl. Acad. Sci. USA 83, 6820-6824; Vdgeli U. et al., 1988 Planta 174, 364-372); Mauch F. & Staehelin L. A., 1989, Plant-Cell 1, 447-457); cucumber (Metraux J. P. & Boller T. (1986), Physiol. Mol. Plant Pathol. 28, 161-169); leek (Spanu P. et al., 1989, Planta 177, 447-455); maize (Nasser W. et al., 1988, Plant Mol. Biol. 11, 529-538), oat (Fink W. et al., 1988, Plant Physiol. 88, 270-275), pea (Mauch F. et al. 1984, Plant Physiol. 76, 607-611; Mauch F. et al., 1988, Plant Physiol. 87, 325-333), poplar (Parsons, T. J. et al, 1989, P.N.A.S. 86, 7895-7899), potato (Gaynor J. J. 1988, Nucl. Acids Res. 16, 5210; Kombrink E. et al. 1988, Proc. Natl. Acad. Sci. USA 85, 782-786; Laflamme D. and Roxby R., 1989, Plant Mol. Biol. 13, 249-250), tobacco (e.g. Legrand M. et al. 1987, Proc. Natl. Acad. Sci. USA 84, 6750-6754; Shinshi H. et al. 1987, Proc. Natl. Acad. Sci. USA 84, 89-93), tomato (Joosten M. H. A. & De Wit P. J. G. M. 1989, Plant Physiol. 89, 945-951), wheat (Molano J. et al., 1979, J. Biol. Chem. 254, 4901-4907), and the like.

US-PAT-NO: 5981844

DOCUMENT-IDENTIFIER: US 5981844 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: November 9, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrechnikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 994418

DATE FILED: December 19, 1997

PARENT-CASE:

This Application is a Continuation of U.S. application Ser. No. 08/456,430 filed Jun. 1, 1995, now U.S. Pat. No. 5,703,044, which is a division of Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a Continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a Continuation in-Part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned. Such applications are herein incorporated by reference.

US-CL-CURRENT: 800/301, 435/320.1 , 435/419 , 800/279

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of Candida, Trichoderma, Neurospora and strains of the plant pathogens Fusarium, Rhizoctonia and Chaetomium. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen Candida albicans. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

5 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and **barley AFP chitinases** did not inhibit growth of *Neurospora*, in contrast to corn AFP preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they synergized with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, synergized with all AFP preparations, but synergy was particularly dramatic with corn-AFP preparations. Polyoxin synergized significantly with corn and wheat AFP preparations, while modest synergy was observed with combinations of amphotericin and AFP preparations from barley and corn. In contrast, no synergy was observed with papulocandin and AFP preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-AFP preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using synergy with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the synergizing activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the synergy assay. No synergy with nikkomycin was found with **chitinases from *Serratia marcescens***, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in glucanase preparations from *Penicillium* or mollusk. Significant synergy was observed, however, with a partially purified glucanase preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the synergizing enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The synergizing activity in these preparations may be due to minor components in the mixtures.

US-PAT-NO: 5703044

DOCUMENT-IDENTIFIER: US 5703044 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: December 30, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrennikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 456430

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional of application Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-CL-CURRENT: 514/12, 514/2 , 514/8 , 530/372 , 530/376

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFPs are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFPs alone also display antifungal activity against several species of fungi, including strains of *Candida*, *Trichoderma*, *Neurospora* and strains of the plant pathogens *Fusarium*, *Rhizoctonia* and *Chaetomium*. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen *Candida albicans*. Method for employing SAFPs and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

26 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and **barley AFP chitinases** did not inhibit growth of *Neurospora*, in contrast to corn AFP preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they synergized with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, synergized with all AFP preparations, but synergy was particularly dramatic with corn-AFP preparations. Polyoxin synergized significantly with corn and wheat AFP preparations, while modest synergy was observed with combinations of amphotericin and AFP preparations from barley and corn. In contrast, no synergy was observed with papulocandin and AFP preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-AFP preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using synergy with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the synergizing activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the synergy assay. No synergy with nikkomycin was found with **chitinases from *Serratia marcescens***, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in glucanase preparations from *Penicillium* or mollusk. Significant synergy was observed, however, with a partially purified glucanase preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the synergizing enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The synergizing activity in these preparations may be due to minor components in the mixtures.

US-PAT-NO: 5670706

DOCUMENT-IDENTIFIER: US 5670706 A

TITLE: Fungal resistant plants, process for obtaining fungal resistant plants and recombinant polynucleotides for use therein

DATE-ISSUED: September 23, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	
Cornelissen; Bernardus J. C.	Warmond		N/A	N/A	NL
Melchers; Leo Sjoerd	Leiden	N/A	N/A		NL
Meulenhoff; Elisabeth J. S.	Amsterdam		N/A	N/A	NL
van Roekel; Jeroen S. C.	Amsterdam		N/A	N/A	NL
Sela-Buurlage; Marianne	Amersfoort		N/A	N/A	NL
Beatrix	Leiden	N/A	N/A	NL	
Vloemans; Alexandra Aleida	Lafayette		IN	N/A	N/A
Woloshuk; Charles Peter	Oegstgeest		N/A	N/A	NL
Bol; John Ferdinand	Leiden	N/A	N/A		NL
Linthorst; Hubertus J. M.					

APPL-NO: 08/ 047413

DATE FILED: April 19, 1993

PARENT-CASE:

This application is a continuation-in-part of U.S. Ser. No. 07/647,831 filed 29 Jan. 1991, now abandoned.

US-CL-CURRENT: 800/279, 435/252.3 , 435/320.1 , 800/294 , 800/301 , 800/317.4

ABSTRACT:

Plants are provided with improved resistance against pathogenic fungi. They are genetically transformed with one or more polynucleotides which essentially comprise one or more genes encoding plant chitinases and .beta.-1,3-glucanases. Preferred are the intracellular forms of the said hydrolytic enzymes, especially preferred are those forms which are targeted to the apoplastic space of the plant by virtue of the modification of the genes encoding the said enzymes. Particularly preferred are plants exhibiting a relative overexpression of at least one gene encoding a chitinase and one gene encoding a .beta.-1,3-glucanase.

30 Claims, 16 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 12

----- KWIC -----

Brief Summary Text - BSTX (32):

For example, genes encoding chitinases are known to be expressed in a developmentally regulated manner in, inter alia, tobacco flowers (Lotan et al., 1989). **Glucanases are known to occur in large quantities in seedlings of barley** (Swegle et al., 1989; Woodward & Fincher, 1982; Hoj et al., 1988, 1989).

Brief Summary Text - BSTX (40):

In U.S. Pat. No. 4,940,840, tobacco plants expressing a bacterial **chitinase gene (i.e. the chiA gene from Serratia marcescens)** have been shown to be less sensitive to the fungus *Alternaria longipes*.

Detailed Description Text - DETX (32):

Furthermore, chitinases and .beta.-1,3-glucanases can be isolated from pea, using chitosan as inducing compound (Mauch et al., 1984). Further analysis revealed the presence of at least five hydrolases, viz. two basic .beta.-1,3-glucanases and three basic chitinases (Mauch et al., 1988a). Intracellular and extracellular chitinases which are serologically related to an intracellular chitinase from bean can be isolated from *Allium porrum* L. (Spanu et al., 1989). Endochitinases and glucanases can also be isolated from maize, following inoculation of leaves with BMV (bromine mosaic virus) (Nasser et al., 1988). Chitinases which are serologically related to an intracellular endochitinase from bean (Swegle et al., 1989) can be isolated from barley (*Hordeum vulgare*). Also .beta.-1,3-**glucanases, as well as other classes of glucanases, can be isolated from barley** (Balance et al., 1976; Hoj et al., 1988, 1989). At least 4 different chitinases and 5 different .beta.-1,3-glucanases are known to exist in oat (Fink et al., 1988).

US-PAT-NO: 5559034

DOCUMENT-IDENTIFIER: US 5559034 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: September 24, 1996

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrennikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 457552

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional application of Ser. No. 08/178,708, filed Jan. 10, 1994, which is a continuation-in-part of Ser. No. 07,505,781, filed Apr. 6, 1990, now abandoned which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-CL-CURRENT: 435/320.1, 435/252.3, 435/69.1, 514/12, 514/2, 514/8,  
530/372, 530/376, 536/22.1, 536/23.1, 536/23.6

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of *Candida*, *Trichoderma*, *Neurospora* and strains of the plant pathogens *Fusarium*, *Rhizoctonia* and *Chaetomium*. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen *Candida albicans*. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

2 Claims, 13 Drawing figures

Exemplary Claim Number: 1



Number of Drawing Sheets: 10

----- KWIC -----

Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and **barley AFP chitinases** did not inhibit growth of *Neurospora*, in contrast to corn AFP preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they synergized with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, synergized with all AFP preparations, but synergy was particularly dramatic with corn-AFP preparations. Polyoxin synergized significantly with corn and wheat AFP preparations, while modest synergy was observed with combinations of amphotericin and AFP preparations from barley and corn. In contrast, no synergy was observed with papulocandin and AFP preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-AFP preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using synergy with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the synergizing activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the synergy assay. No synergy with nikkomycin was found with **chitinases from Serratia** marcescens, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in glucanase preparations from *Penicillium* or mollusk. Significant synergy was observed, however, with a partially purified glucanase preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the synergizing enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The synergizing activity in these preparations may be due to minor components in the mixtures.

US-PAT-NO: 5521153

DOCUMENT-IDENTIFIER: US 5521153 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: May 28, 1996

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrennikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 178708

DATE FILED: January 10, 1994

PARENT-CASE:

This Application is a continuation-in-part application of U.S. application Ser. No. 07/505,781, filed Apr. 6, 1990, which is a continuation-in-part Application of U.S. application Ser. No. 07/104,755 filed Oct. 2, 1987, both now abandoned. Such applications are herein incorporated by reference .

US-CL-CURRENT: 514/2, 514/12 , 514/8 , 530/372 , 530/376

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of Candida, Trichoderma, Neurospora and strains of the plant pathogens Fusarium, Rhizoctonia and Chaetomium. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen Candida albicans. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

15 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and barley AFP chitinases did not inhibit growth of *Neurospora*, in contrast to corn AFP preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they synergized with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, synergized with all AFP preparations, but synergy was particularly dramatic with corn-AFP preparations. Polyoxin synergized significantly with corn and wheat AFP preparations, while modest synergy was observed with combinations of amphotericin and AFP preparations from barley and corn. In contrast, no synergy was observed with papulocandin and AFP preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-AFP preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using synergy with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the synergizing activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the synergy assay. No synergy with nikkomycin was found with chitinases from *Serratia marcescens*, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in glucanase preparations from *Penicillium* or mollusk. Significant synergy was observed, however, with a partially purified glucanase preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the synergizing enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The synergizing activity in these preparations may be due to minor components in the mixtures.

	L #	Hits	Search Text	DBs	Time Stamp
1	L1	1607	chitinase\$1	USPAT; US-PGPUB	2003/04/25 10:35
2	L2	13553	barley	USPAT; US-PGPUB	2003/04/25 10:35
3	L3	2061	glucanase\$1	USPAT; US-PGPUB	2003/04/25 10:39
4	L4	14515 5	psi or protein adj synthesis adj inhibit\$8	USPAT; US-PGPUB	2003/04/25 10:40
5	L5	1545	afp or antifungal adj protein	USPAT; US-PGPUB	2003/04/25 10:45
6	L6	85	1 near6 (serratia or marcesens)	USPAT; US-PGPUB	2003/04/25 10:45
7	L7	30	1 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
8	L8	101	3 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
9	L9	13	4 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
10	L10	25	5 near6 (aspergillus or giganteus)	USPAT; US-PGPUB	2003/04/25 10:47
11	L11	25	(6 and (7 or 8 or 9 or 10)) or (7 and (8 or 9 or 10)) or (8 and (9 or 10)) or (9 and 10)	USPAT; US-PGPUB	2003/04/25 11:08
12	L12	10	(6 or 7 or 8 or 9 or 10) same synerg\$	USPAT; US-PGPUB	2003/04/25 11:09

PGPUB-DOCUMENT-NUMBER: 20020065408

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020065408 A1

TITLE: HMG2 promoter expression system and post-harvest  
production of gene products in plants and plant cell  
cultures

PUBLICATION-DATE: May 30, 2002

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Cramer, Carole Lyn	Blacksburg	VA	US	
Weissenborn, Deborah Louise	Blacksburg	VA	US	

APPL-NO: 09/ 902653

DATE FILED: July 12, 2001

RELATED-US-APPL-DATA:

child 09902653 A1 20010712

parent continuation-of 08890624 19970709 US ABANDONED

child 08890624 19970709 US

parent continuation-of 08282581 19940729 US GRANTED

parent-patent 5670349 US

child 08282581 19940729 US

parent continuation-in-part-of 08100816 19930802 US ABANDONED

US-CL-CURRENT: 536/24.1, 536/23.6 , 800/278

ABSTRACT:

The invention relates in part to plant HMG2 HMGR genes and in part to the "post-harvest" production method of producing gene product of interest in plant tissues and cultures. The HMG2 promoter elements are responsive to pathogen-infection, pest-infestation, wounding, or elicitor or chemical treatments. The HMG2 elements are also active in specialized tissues of the plant including pollen and mature fruits. HMG2 promoter elements and HMG2-derived promoters can be advantageously used to drive the expression of disease and pest resistance genes, whereby transgenic plants containing such gene constructs would be resistant to the targeted disease and pest. In particular, the HMG2 gene expression system can be utilized in developing

nematode resistant plants. The post-harvest production method of the invention utilizes plant tissues and cell cultures of plants or plant cells engineered with a expression construct comprising an inducible promoter, such as the HMG2 promoter, operably linked to a gene of interest. Production of the desired gene product is obtained by harvesting, followed by inducing and processing the harvested tissue or culture. The post-harvest production method may be advantageously used to produce direct or indirect gene products that are labile, volatile, toxic, hazardous, etc.

[0001] This application is a continuation-in-part of co-pending application Ser. No. 08/100,816 filed Aug. 2, 1993, which is hereby incorporated by reference in its entirety.

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#### Detail Description Table CWU - DETL (1):

3TABLE 3 Inducible Plant Genes with Potential for Post-harvest Induction and Accumulation of Transgene Products. An asterisk designates those genes for which promoters have been isolated and characterized. References represent one to two representative references or relevant review article and are not intended to be exhaustive. Genes/gene products Functions Sources of clones

Defense-Response Genes	sup.a1	Phytoalexin biosynthesis
Phenylpropanoid phytoalexin	*Phenylalanine ammonia lyase (PAL)	sup.2 Enzyme, central pathway Bean, parsley, potato, tomato
4-Coumarate CoA ligase (4CL)	sup.3 Enzyme, central pathway Parsley, potato	*Chalcone synthase (CHS)
sup.4,5 Enzyme, Isoflavanoid branch	Bean, soybean, parsley	Chalcone isomerase (CHI)
sup.6 Enzyme, Isoflavanoid branch	Bean	Resveratrol (stilbene) synthase
sup.7 Enzyme, Isoflavanoid branch	Grapevine, peanut	Isoflavone reductase (IFR)
sup.8 Enzyme, Isoflavanoid branch	Alfalfa	Terpenoid phytoalexins
*HMG-CoA reductase (HMG)	sup.9,10 Enzymes, central pathway Tomato, tobacco, potato, rice	Casbene synthetase
sup.11 Casbene biosynthesis	Castor bean	Cell wall components
Lignin	*Phenylalanine ammonia lyase	See above
Cinnamyl alcohol dehydrogenase (CAD)	sup.12 Lignin biosyn. Tobacco	Caffeic acid o-methyltransferase
sup.13 Lignin biosyn. Alfalfa, tobacco	Lignin-forming peroxidase	sup.14 Lignin polymerization Tobacco, wheat
Hydroxyproline-rich glycoproteins (HRGP)	sup.15,16 Structural protein	Bean, tomato
Glycine-rich proteins (GRP)	sup.15 Structural protein	Bean, potato, pea, rice
Thionins	sup.17 Antifungal	Barley
Hydrolases, lytic enzymes	*Chitinases (PR-P, PR-Q)	sup.18-20 Class I chitinase, basic Vacuolar, antifungal Tobacco, bean, tomato
Class I and II chitinase, acidic Extracellular, antifungal	Bean	Class II chitinase Bifunctional lysozyme, Cucumber, tobacco, <b>chitinase barley</b> , petunia
*.beta.-1,3-Glucanase	sup.21 Antifungal, chitinase	Bean, tobacco, potato, <b>synergist</b> pea, rice, Arabidopsis
.beta.-fructosidase	sup.22 Antifungal invertase	Tomato
Others	*Proteinase inhibitors (PI-I, PI-II)	sup.23,24 Trypsin-, chymotrypsin- Potato, tomato
inhibitors	Superoxide dismutase (SOD)	sup.25 Anti-oxidant enzyme Tobacco, maize, tomato
Lipoxygenase	sup.26 Lipid peroxidation, Arabidopsis	jasmonate biosyn. Additional "pathogenesis-related" prot.
*PR1 family, PR2, PR3	sup.27-29 Unknown Tobacco, bean, parsley, pea	Osmotin, PR5
sup.30-32 Antifungal, thaumatin-like	Tobacco, maize	Ubiquitin
sup.33 Protein degradation		

Potato Wound-Inducible Genes.sup.a \*win1, \*win2 (hevein-like).sup.34  
Chitin-binding prot. Potato (hevein, rubber tree) wun1, wun2.sup.35  
Unknown Potato \*nos, nopaline synthase.sup.36 Agrobacterium nutr.  
Agrobacterium tumefaciens ACC synthase.sup.37 Ethylene biosynthesis Tomato,  
squash HMG-CoA reductase hmg1.sup.38 Sterol/alkaloid synth. Potato  
3-deoxy--D-arabino-heptulosonate- Lignin biosyn. Potato, tomato 7-phosphate  
synthase.sup.39 HSP70.sup.33 Heat-shock protein, Potato chaparone Salicylic  
acid inducible.sup.40 acid peroxidase.sup.14 Lignin-forming Tobacco  
PR-proteins.sup.40,41 (see above) Tobacco Glycine-rich protein.sup.41 Cell  
wall protein Tobacco Methyl jasmonate inducible \*vspB.sup.42 Vacuolar  
storage prot. Soybean Proteinase inhibitors I and II.sup.43 Trypsin,  
chymotrypsin inhib. Potato, tomato Heat-shock genes.sup.43 HSP70.sup.33  
Chaperonin Potato Ubiquitin (see above) Cold-stress inducible.sup.44  
Drought, salt stress.sup.45 Osmotin.sup.30-32 Desiccation tolerance Tobacco,  
maize Hormone inducible Gibberellin .alpha.-amylase.sup.46 Starch  
degradation Barley Absciscic acid.sup.45,47 EM-1, RAB, LEA genes.sup.45  
Unknown, embryogenesis Wheat, rice, maize, cotton Ethylene Chitinase,  
phytoalexin biosyn. genes (see above) .sup.aGenes are transcriptionally  
activated in response to pathogens, defense elicitors, wounding and in some  
cases methyl jasmonate, salicylic acid, HgCl.sub.2 or H.sub.2O.sub.2.  
References: .sup.1Cramer et al., 1993, J. Nematol. 25:507-518. .sup.2Lois et  
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US-PAT-NO: 6521435

DOCUMENT-IDENTIFIER: US 6521435 B1

TITLE: Nucleic acid sequences encoding cell wall-degrading enzymes and use to engineer resistance to Fusarium and other pathogens

DATE-ISSUED: February 18, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Okubara; Patricia A.	Richmond	CA	N/A	N/A
Blechl; Ann E.	Albany	CA	N/A	N/A
Hohn; Thomas M.	Chapel Hill	NC	N/A	N/A
Berka; Randy M.	Davis	CA	N/A	N/A

APPL-NO: 09/ 649747

DATE FILED: August 28, 2000

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Applications Nos. 60/224,946, filed Aug. 11, 2000 and 60/151,582, filed Aug. 30, 1999. The disclosure of each of said provisional application is incorporated herein by reference in its entirety.

US-CL-CURRENT: 435/206, 435/183, 435/200, 435/252.3, 435/320.1, 435/419, 435/468, 435/69.1, 536/23.2, 800/295, 800/298, 800/320.3

ABSTRACT:

The invention is directed to nucleic acid sequences derived from Fusarium fungal genes which encode the cell wall-degrading enzymes glucanase, endochitinase, and exochitinase; isolated polypeptides having glucanase, endochitinase or exochitinase activity; recombinant nucleic acid molecules, vectors, and host cells comprising the nucleic acid sequences as well as methods for producing and using the polypeptides, including expression in plant cells to confer or enhance a plant's resistance to Fusarium and other pathogens.

11 Claims, 20 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 20

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#### Brief Summary Text - BSTX (18):

Some of the chitinases and .beta.1,3-glucanases produced by naturally occurring bacteria and fungi have anti-Fusarium properties (Mitchell and Alexander 1961; Michael and Nelson 1972, Cherif and Benhamou 1990). Glucanases and chitinases from plants can degrade isolated cell walls of *Fusarium solani* (Mauch et al. 1988). Chitinases from tobacco were inhibitory to the growth of *F. oxysporum* (Yun et al. 1996) and *F. solani* (Sela-Buurlage et al. 1993) in culture. Krishnaveni et al. (1999b) have described three chitinases from sorghum seeds that inhibit the growth of *F. moniliforme*. The **synergistic** action of chitinases and glucanases against fungal pathogens is widely reported (reviewed in Graham and Sticklen 1994, Van Loon 1997). For instance, Mauch et al. (1988) observed that a chitinase and a .beta.1,3-glucanase from pea were active against a wide range of fungi. Melchers et al. (1994) reported the combined action of a Class V endochitinase plus a Class I .beta.1,3-glucanase, both from tobacco, **synergistically** inhibited the growth of *F. solani*. Expression of a tobacco acidic chitinase with a tobacco .beta.1,3-glucanase conferred resistance to *F. oxysporum* in tomato, whereas each protein had much less effect when expressed singly (Jongedijk et al. 1995). Likewise, the fungal pathogen *Cercospora nicotiana* was curtailed on tobacco expressing both a rice basic chitinase and an alfalfa acidic .beta.-1,3-glucanase (Zhu et al. 1994). The **synergistic** action of a **barley Class II chitinase and a barley Class II b-1,3-glucanase** conferred protection to tobacco against *Rhizoctonia solani* (Jach et al. 1995). This **chitinase in combination with a barley ribosome inactivating protein** also inhibited *R. solani* infection.

#### Detailed Description Text - DETX (347):

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physiology and molecular biology of plant 1,3-.beta.-D-glucanases and 1,3;1,4-.beta.-D-glucanases. Crit. Rev. Plant Sci. 13: 325-387 Sivan, A. and I. Chet (1989a). Cell wall composition of *Fusarium oxysporum*. Soil Biol. Biochem. 21: 869-871 Sivan A and I. Chet (1989b). Degradation of fungal cell walls by lytic enzymes of *Trichoderma harzianum*. J. Gen. Microbiol. 135: 675-682 Spratt, B. G., P. J. Hedge, S. te Heesen, A. Edelman and J. K. Broome-Smith (1986). Kanamycin-resistant vectors that are analogues of plasmids pUC8, pUC9, pEMBL8 and pEMBL9. Gene 41: 337-342 Srivastava, A. K., G. Defago, T. Boller (1985). Secretion of chitinase by *Aphanocladium album*, a hyperparasite of wheat rust. Experientia 41: 1612-1613 Strange, R. N., J. R. Majer and H. Smith (1974). The isolation and identification of choline and betaine as the two major components in anthers and wheat germ that stimulate *Fusarium graminearum* in vitro. Physiol. Plant Path. 4: 277-290 Strange, R. N. and H. Smith (1971). A fungal growth stimulant in anthers which predisposes wheat to attack by *Fusarium graminearum*. Physiol. Plant Path.

US-PAT-NO: 6137030

DOCUMENT-IDENTIFIER: US 6137030 A

**\*\*See image for Certificate of Correction\*\***

TITLE: Pap mutants that exhibit anti-viral and/or anti-fungal activity in plants

DATE-ISSUED: October 24, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Tumer; Nilgun E.	Belle Mead	NJ	N/A	N/A

APPL-NO: 09/ 005273

DATE FILED: January 9, 1998

PARENT-CASE:

This Application is a Continuation of PCT/US96/11546 filed Jul. 11, 1996, which is a Continuation-In-Part of U.S. application Ser. No. 08/500,611 filed Jul. 11, 1995, now U.S. Pat. No. 5,756,322, and application Ser. No. 08/500,694 filed Jul. 11, 1995, now U.S. Pat. No. 5,880,329.

US-CL-CURRENT: 800/279

ABSTRACT:

Disclosed are PAP mutants having reduced phytotoxicity compared to wild-type PAP, and which confer broad spectrum resistance to viruses and/or fungi in plants. One group of PAP mutants is characterized by at least one amino acid substitution in the N-terminus of mature PAP, such as the Glycine 75 residue or the Glutamic acid 97 residue; two groups of additional PAP mutants are characterized by truncations in the N-terminal region of mature PAP and truncations or amino acid substitutions in the C-terminal region of mature PAP, respectively; and a further group are enzymatically inactive which still exhibit anti-fungal properties. Also disclosed are DNA molecules encoding the PAP mutants, mutant PAP DNA constructs, and transgenic seed and plants containing the DNAs. Further disclosed are methods for identifying PAP mutants having reduced phytotoxicity, as well as isolated and purified PAP mutants identified by the method.

26 Claims, 0 Drawing figures

Exemplary Claim Number: 1,24

----- KWIC -----

Brief Summary Text - BSTX (8):

In addition to the studies on virus resistance in plants, RIPs have been studied in conjunction with fungal resistance. For example, Logeman et al., Bio/Technology 10:305-308 (1992), report that a RIP isolated from barley endosperm provided protection against fungal infection to transgenic tobacco plants. The combination of **barley endosperm RIP and barley class-II chitinase** **has provided synergistic** enhancement of resistance to *Rhizoctonia solani* in tobacco, both in v and in vivo. See, e.g., Lea et al., supra; Mauch et al., supra; Zhu et al., supra; and Jach et al., The Plant Journal 8:97-109 (1995). PAP, however, has not shown antifungal activity in vitro. See Chen et al., Plant Pathol. 40:612-620 (1991), which reports that PAP has no effect on the growth of the fungi *Phytophthora infestans*, *Colletotrichum coccodes*, *fusarium solani*, *fusarium sulphureum*, *Phoma foreata* and *Rhizoctonia solani*, in vitro.



US-PAT-NO: 5994625

DOCUMENT-IDENTIFIER: US 5994625 A

TITLE: Antifungal chitin binding proteins and DNA coding therefor

DATE-ISSUED: November 30, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Melchers; Leo Sjoerd	Leiden	N/A	N/A	NL
Sela-Buurlage; Marianne	Amersfoort	N/A	N/A	NL
Beatrix	Leiden	N/A	N/A	NL
Bres-Vloemans; Alexandra	Leiden	N/A	N/A	NL
Aleida	Haarlem	N/A	N/A	NL
Ponstein; Anne Silene	Warmond	N/A	N/A	NL
Apotheker-De Groot; Marion				
Cornelissen; Bernardus				
Johannes Clemens				

APPL-NO: 08/ 935886

DATE FILED: September 23, 1997

PARENT-CASE:

This application is a continuation of application(s) Ser. No. 08/411,640 filed on Apr. 5, 1995, now abandoned, which is International Application PCT/EP93/02790 filed on Oct. 5, 1993 and which designated the U.S.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
EP	92203071	October 5, 1992
EP	93201370	May 13, 1993

US-CL-CURRENT: 800/279, 435/200, 435/209, 435/252.2, 435/320.1, 435/418, 435/419, 435/421, 435/468, 435/469, 435/69.1, 536/23.6, 800/265, 800/268, 800/294, 800/298, 800/301

ABSTRACT:

Chimeric genes encoding antifungal chitin binding proteins (antifungal CBPs) with very low chitinase activity (10% or less than that of the class-I chitinases from tobacco). Also substantially pure DNA sequences encoding antifungal CBP are provided for the obtention of transgenic plants producing antifungal CBP. Plants expressing an antifungal CBP gene, optionally in combination with a plant expressible glucanase gene, show reduced susceptibility to fungi.

31 Claims, 5 Drawing figures

Exemplary Claim Number: 1,24

Number of Drawing Sheets: 5

----- KWIC -----

Detailed Description Text - DETX (36):

From these observations we predict, that the antifungal CBPs according to the invention will show a **synergistic** effect with many other proteins that bind to chitin or degrade chitin such as chitinases. Examples of **synergizing** proteins that may be used in combination with antifungal CBPs according to the invention include, but are not limited to, .beta.-1,3-**glucanases and chitinases which are obtainable from barley** (Swegle M. et al., 1989, Plant Mol. Biol. 12, 403-412; Balance G. M. et al., 1976, Can. J. Plant Sci. 56, 459-466; Hoj P. B. et al., 1988, FEBS Lett. 230, 67-71; Hoj P. B. et al., 1989, Plant Mol. Biol. 13, 31-42; 1989, bean (Boller T. et al., 1983, Planta 157, 22-31; Broglie K. E. et al. 1986, Proc. Natl. Acad. Sci. USA 83, 6820-6824; Vdgeli U. et al., 1988, Planta 174, 364-372); Mauch F. & Staehelin L. A., 1989, Plant-Cell 1, 447-457); cucumber (Metraux J. P. & Boller T. (1986), Physiol. Mol. Plant Pathol. 28, 161-169); leek (Spanu P. et al., 1989, Planta 177, 447-455); maize (Nasser W. et al., 1988, Plant Mol. Biol. 11, 529-538), oat (Fink W. et al., 1988, Plant Physiol. 88, 270-275), pea (Mauch F. et al. 1984, Plant Physiol. 76, 607-611; Mauch F. et al., 1988, Plant Physiol. 87, 325-333), poplar (Parsons, T. J. et al, 1989, P.N.A.S. 86, 7895-7899), potato (Gaynor J. J. 1988, Nucl. Acids Res. 16, 5210; Kombrink E. et al. 1988, Proc. Natl. Acad. Sci. USA 85, 782-786; Laflamme D. and Roxby R., 1989, Plant Mol. Biol. 13, 249-250), tobacco (e.g. Legrand M. et al. 1987, Proc. Natl. Acad. Sci. USA 84, 6750-6754; Shinshi H. et al. 1987, Proc. Natl. Acad. Sci. USA 84, 89-93), tomato (Joosten M. H. A. & De Wit P. J. G. M. 1989, Plant Physiol. 89, 945-951), wheat (Molano J. et al., 1979, J. Biol. Chem. 254, 4901-4907), and the like.

US-PAT-NO: 5981844

DOCUMENT-IDENTIFIER: US 5981844 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: November 9, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrechnikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 994418

DATE FILED: December 19, 1997

PARENT-CASE:

This Application is a Continuation of U.S. application Ser. No. 08/456,430 filed Jun. 1, 1995, now U.S. Pat. No. 5,703,044, which is a division of Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a Continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a Continuation in-Part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned. Such applications are herein incorporated by reference.

US-CL-CURRENT: 800/301, 435/320.1 , 435/419 , 800/279

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of Candida, Trichoderma, Neurospora and strains of the plant pathogens Fusarium, Rhizoctonia and Chaetomium. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen Candida albicans. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

5 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and barley AFP chitinases did not inhibit growth of *Neurospora*, in contrast to corn AFP preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they synergized with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, synergized with all AFP preparations, but synergy was particularly dramatic with corn-AFP preparations. Polyoxin synergized significantly with corn and wheat AFP preparations, while modest synergy was observed with combinations of amphotericin and AFP preparations from barley and corn. In contrast, no synergy was observed with papulocandin and AFP preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-AFP preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using synergy with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the synergizing activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the synergy assay. No synergy with nikkomycin was found with chitinases from *Serratia marcescens*, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in glucanase preparations from *Penicillium* or mollusk. Significant synergy was observed, however, with a partially purified glucanase preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the synergizing enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The synergizing activity in these preparations may be due to minor components in the mixtures.

US-PAT-NO: 5703044

DOCUMENT-IDENTIFIER: US 5703044 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: December 30, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrechnikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 456430

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional of application Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-CL-CURRENT: 514/12, 514/2 , 514/8 , 530/372 , 530/376

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of Candida, Trichoderma, Neurospora and strains of the plant pathogens Fusarium, Rhizoctonia and Chaetomium. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen Candida albicans. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

26 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and **barley AFP chitinases** did not inhibit growth of *Neurospora*, in contrast to corn AFP preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they **synergized** with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, **synergized** with all AFP preparations, but **synergy** was particularly dramatic with corn-AFP preparations. Polyoxin **synergized** significantly with corn and wheat AFP preparations, while modest **synergy** was observed with combinations of amphotericin and AFP preparations from barley and corn. In contrast, no **synergy** was observed with papulocandin and AFP preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-AFP preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using **synergy** with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the **synergizing** activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the **synergy** assay. No **synergy** with nikkomycin was found with **chitinases from Serratia** marcescens, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in glucanase preparations from *Penicillium* or mollusk. Significant **synergy** was observed, however, with a partially purified glucanase preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the **synergizing** enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The **synergizing** activity in these preparations may be due to minor components in the mixtures.

US-PAT-NO: 5670349

DOCUMENT-IDENTIFIER: US 5670349 A

TITLE: HMG2 promoter expression system and post-harvest  
production of gene products in plants and plant cell  
cultures

DATE-ISSUED: September 23, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Cramer; Carole Lyn	Blacksburg	VA	N/A	N/A
Weissenborn; Deborah Louise	Blacksburg	VA	N/A	N/A

APPL-NO: 08/ 282581

DATE FILED: July 29, 1994

PARENT-CASE:

This application is a continuation-in-part of application Ser. No.  
08/100,816 filed Aug. 2, 1993, now abandoned, which is hereby incorporated by  
reference in its entirety.

US-CL-CURRENT: 435/69.1, 435/320.1, 536/23.1, 536/24.1

ABSTRACT:

The invention relates in part to plant HMG2 HMGR genes and in part to the "post-harvest" production method of producing gene product of interest in plant tissues and cultures. The HMG2 promoter elements are responsive to pathogen-infection, pest-infestation, wounding, or elicitor or chemical treatments. The HMG2 elements are also active in specialized tissues of the plant including pollen and mature fruits. HMG2 promoter elements and HMG2-derived promoters can be advantageously used to drive the expression of disease and pest resistance genes, whereby transgenic plants having such gene constructs would be resistant to the targeted disease and pest. In particular, the HMG2 gene expression system can be utilized in developing nematode resistant plants. The post-harvest production method of the invention utilizes plant tissues and cell cultures of plants or plant cells engineered with a expression construct comprising an inducible promoter, such as the HMG2 promoter, operably linked to a gene of interest. Production of the desired gene product is obtained by harvesting, followed by inducing and processing the harvested tissue or culture. The post-harvest production method may be advantageously used to produce direct or indirect gene products that are labile, volatile, toxic, hazardous, etc.

19 Claims, 29 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 21

----- KWIC -----

Detailed Description Paragraph Table - DETL (1):

TABLE 3

Inducible Plant Genes with Potential for Post-harvest Induction and Accumulation of Transgene Products. An asterisk designates those genes for which promoters have been isolated and characterized. References represent one to two representative references or relevant review article and are not intended to be exhaustive. Genes/gene products Functions Sources of clones			
Defense-Response Genes.sup.a1	Phytoalexin biosynthesis	Phenylpropanoid phytoalexin	*Phenylalanine ammonia lyase (PAL).sup.2
Enzyme, central pathway	Bean, parsley, potato, tomato	4-Coumarate CoA ligase (4CL).sup.3	Enzyme, central pathway
Parsley, potato	*Chalcone synthase (CHS).sup.4,5	Enzyme, Isoflavanoid branch	Bean, soybean, parsley
Chalcone isomerase (CHI).sup.6	Enzyme, Isoflavanoid branch	Bean	Resveratrol (stilbene) synthase.sup.7
Enzyme, Isoflavanoid branch	Grapevine, peanut	Isoflavone reductase (IFR).sup.8	Enzyme, Isoflavanoid branch
Alfalfa	Terpenoid phytoalexins	*HMG-CoA reductase (HMG).sup.9,10	Enzymes, central pathway
Tomato, tobacco, potato, rice	Casbene synthetase.sup.11	Casbene biosynthesis	Castor bean
Cell wall components	Lignin	*Phenylalanine ammonia lyase	See above
Cinnamyl alcohol dehydrogenase (CAD).sup.12	Lignin biosyn.	Tobacco	Caffeic acid o-methyltransferase.sup.13
Lignin biosyn.	Alfalfa, tobacco	Lignin-forming peroxidase.sup.14	Lignin polymerization
Tobacco, wheat	Hydroxyproline-rich glycoproteins (HRGP).sup.15,16	Structural protein	Bean, tomato
Glycine-rich proteins (GRP).sup.15	Structural protein	Bean, potato, pea, rice	Thionins.sup.17
Antifungal Barley	Hydrolases, lytic enzymes	*Chitinases (PR-P, PR-Q).sup.18-20	Class I chitinase, basic
Vacuolar, antifungal	Tobacco, bean, tomato	Class I and II chitinase, acidic	Extracellular, antifungal
Bean	Class II chitinase	Bifunctional lysozyme, Cucumber, tobacco, <u>chitinase barley</u> , petunia	*.beta.-1,3-Glucanase.sup.21
Antifungal, chitinase	Bean, tobacco, potato, <u>synergist</u> pea, rice, Arabidopsis	.beta.-fructosidase.sup.22	Antifungal invertase
Tomato	Others	*Proteinase inhibitors (PI-I, PI-II).sup.23,24	Trypsin-, chymotrypsin-
Potato, tomato	Superoxide dismutase (SOD).sup.25	Anti-oxidant exzyme	Tobacco, maize, tomato
Lipoxygenase.sup.26	Lipid peroxidation, Arabidopsis	jasmonate biosyn.	Additional "pathogenesis-related" prot.
*PR1 family, PR2, PR3.sup.27-29	Unknown	Tobacco, bean, parsley, pea	Osmotin, PR5.sup.30-32
Antifungal, thaumatin-like	Tobacco, maize	Ubiquitin.sup.33	Protein degradation
Potato	Wound-Inducible Genes.sup.a	*win1, *win2 (hevein-like).sup.34	Chitin-binding prot.
Potato (hevein, rubber tree)	wun1, wun2.sup.35	Unknown	Potato
*nos, nopaline synthase.sup.36	Agrobacterium nutr.	Agrobacterium tumefaciens	ACC synthase.sup.37
Ethylene biosynthesis	Tomato, squash	HMG-CoA reductase hmg1.sup.38	Sterol/alkaloid synth.
Potato	3-deoxy-D-arabino-heptulosonate-	Lignin biosyn.	Potato, tomato
7-phosphate			



synthase.sup.39 HSP70.sup.33 Heat-shock protein, Potato chaparone Salicylic  
 acid inducible.sup.40 acid peroxidase.sup.14 Lignin-forming Tobacco  
 PR-proteins.sup.40,41 (see above) Tobacco Glycine-rich protein.sup.41 Cell  
 wall protein Tobacco Methyl jasmonate inducible \*vspB.sup.42 Vacuolar  
 storage prot. Soybean Proteinase inhibitors I and II.sup.43 Trypsin,  
 chymotrypsin inhib. Potato, tomato Heat-shock genes.sup.43 HSP70.sup.33  
 Chaperonin Potato Ubiquitin (see above) Cold-stress inducible.sup.44  
 Drought, salt stress.sup.45 Osmotin.sup.30-32 Desiccation tolerance Tobacco,  
 maize Hormone inducible Gibberellin .alpha.-amylase.sup.46 Starch  
 degradation Barley Absciscic acid.sup.45,47 EM-1, RAB, LEA genes.sup.45  
 Unknown, embryogenesis Wheat, rice, maize, cotton Ethylene Chitinase,  
 phytoalexin biosyn. genes (see above)

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.sup.a Genes are transcriptionally activated in response to pathogens, defense  
 elicitors, wounding and in some cases methyl jasmonate, salicylic acid,  
 HgCl.sub.2 or H.sub.2O.sub.2. References: .sup.1 Cramer et al., 1993, J.  
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.sup.46 Gubler and Jacobsen, 1992, Plant Cell 4:1435-1441. .sup.47 Chandler and Robertson, 1994, Annu. Rev. Plant Physiol. Plant Mol Biol. 45:113-142.

US-PAT-NO: 5662901

DOCUMENT-IDENTIFIER: US 5662901 A

TITLE: Enzymatic grain conditioner and methods of using it

DATE-ISSUED: September 2, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Tobey, Jr.; James F.	Roanoke	VA	N/A	N/A
McGee; J. Stanley	Longmont	CO	N/A	N/A
Cobb; Charles W.	Hereford	TX	N/A	N/A
Cortner; William	Maysville	MO	N/A	N/A

APPL-NO: 08/ 294087

DATE FILED: August 22, 1994

PARENT-CASE:

RELATED APPLICATIONS

This application is a divisional application of pending U.S. patent application Ser. No. 07/544,022 filed Jun. 26, 1990, a continuation of U.S. patent application Ser. No. 07/407,726 filed Sep. 14, 1989 and now abandoned, a continuation of U.S. patent Ser. No. 07/076,114 filed Jul. 21, 1987 and now abandoned.

US-CL-CURRENT: 424/94.2, 424/94.6 , 424/94.61 , 424/94.63 , 426/53 , 426/54 , 426/63 , 435/195 , 435/198 , 435/200 , 435/201 , 435/202 , 435/203 , 435/204 , 435/209 , 435/210 , 435/219

ABSTRACT:

The invention comprises two grain conditioners. The first grain conditioner, which is suitable for use on all grains, comprises a pectinase, a protease, a beta-glucanase and an amylase. The second grain conditioner, which is designed for use on easier-to-digest grains, comprises a pectinase, a beta-glucanase, an amylase and a hemicellulase. The invention also comprises animal feeds which comprise a grain which has been conditioned with one of the grain conditioners of the invention designed to be effective on that grain and methods of increasing the weight gain and feed utilization efficiency of an animal comprising feeding the novel animal feeds of the invention to the animal. The invention further comprises a method of conditioning a grain which comprises providing the grain, contacting the grain with one of the grain conditioners of the invention designed to be effective on that grain and incubating the grain and grain conditioner together for at least about 30 minutes. Finally, there is also provided another method of conditioning a grain comprising providing the grain, scarifying the grain, contacting the

grain with one of the grain conditioners of the invention designed to be effective on that grain and incubating the grain and grain conditioner for at least about 30 minutes.

20 Claims, 0 Drawing figures

Exemplary Claim Number: 1

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Brief Summary Text - BSTX (9):

U.S. Pat. Nos. 2,988,448 (the '448 patent) and 2,988,449 disclose that using diastatic barley malt as an enzyme supplement for animals feeds containing barley and other fibrous grains results in increased weight gain and feeding efficiency. Both of the patents attribute the increases to the cytolytic enzymes (cytases, gumases (**beta-glucanases**) and **beta-polyglucosidases**) present in the barley malt. Further, the '448 patent teaches that a combination of diastatic barley malt and fungal enzyme preparations act **synergistically** in increasing the value of barley and other fibrous grains in animal feeds. The mechanism of the action of the enzyme preparations is believed to be due to the action of cytases in these preparations which degrade the non-starch carbohydrate material commonly referred to as gums (beta-glucan).

US-PAT-NO: 5559034

DOCUMENT-IDENTIFIER: US 5559034 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: September 24, 1996

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrennikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 457552

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional application of Ser. No. 08/178,708, filed Jan. 10, 1994, which is a continuation-in-part of Ser. No. 07,505,781, filed Apr. 6, 1990, now abandoned which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-CL-CURRENT: 435/320.1, 435/252.3, 435/69.1, 514/12, 514/2, 514/8  
, 530/372, 530/376, 536/22.1, 536/23.1, 536/23.6

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of *Candida*, *Trichoderma*, *Neurospora* and strains of the plant pathogens *Fusarium*, *Rhizoctonia* and *Chaetomium*. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen *Candida albicans*. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

2 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and **barley AFP chitinases** did not inhibit growth of *Neurospora*, in contrast to corn AFP preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they **synergized** with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, **synergized** with all AFP preparations, but **synergy** was particularly dramatic with corn-AFP preparations. Polyoxin **synergized** significantly with corn and wheat AFP preparations, while modest **synergy** was observed with combinations of amphotericin and AFP preparations from barley and corn. In contrast, no **synergy** was observed with papulocandin and AFP preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-AFP preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using **synergy** with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the **synergizing** activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the **synergy** assay. No **synergy** with nikkomycin was found with **chitinases from Serratia marcescens**, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in glucanase preparations from *Penicillium* or mollusk. Significant **synergy** was observed, however, with a partially purified glucanase preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the **synergizing** enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The **synergizing** activity in these preparations may be due to minor components in the mixtures.

US-PAT-NO: 5521153

DOCUMENT-IDENTIFIER: US 5521153 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: May 28, 1996

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrennikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 178708

DATE FILED: January 10, 1994

PARENT-CASE:

This Application is a continuation-in-part application of U.S. application Ser. No. 07/505,781, filed Apr. 6, 1990, which is a continuation-in-part Application of U.S. application Ser. No. 07/104,755 filed Oct. 2, 1987, both now abandoned. Such applications are herein incorporated by reference .

US-CL-CURRENT: 514/2, 514/12 , 514/8 , 530/372 , 530/376

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of Candida, Trichoderma, Neurospora and strains of the plant pathogens Fusarium, Rhizoctonia and Chaetomium. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen Candida albicans. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

15 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Detailed Description Text - DETX (4):

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Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the **synergy** assay. No **synergy** with nikkomycin was found with **chitinases from Serratia** marcescens, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in glucanase preparations from *Penicillium* or mollusk. Significant **synergy** was observed, however, with a partially purified glucanase preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the **synergizing** enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The **synergizing** activity in these preparations may be due to minor components in the mixtures.



	L #	Hits	Search Text	DBs	Time Stamp
1	L1	1607	chitinase\$1	USPAT; US-PGPUB	2003/04/25 10:35
2	L2	13553	barley	USPAT; US-PGPUB	2003/04/25 10:35
3	L3	2061	glucanase\$1	USPAT; US-PGPUB	2003/04/25 10:39
4	L4	14515 5	psi or protein adj synthesis adj inhibit\$8	USPAT; US-PGPUB	2003/04/25 10:40
5	L5	1545	afp or antifungal adj protein	USPAT; US-PGPUB	2003/04/25 10:45
6	L6	85	1 near6 (serratia or marcesens)	USPAT; US-PGPUB	2003/04/25 10:45
7	L7	30	1 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
8	L8	101	3 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
9	L9	13	4 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
10	L10	25	5 near6 (aspergillus or giganteus)	USPAT; US-PGPUB	2003/04/25 10:47
11	L11	25	(6 and (7 or 8 or 9 or 10)) or (7 and (8 or 9 or 10)) or (8 and (9 or 10)) or (9 and 10)	USPAT; US-PGPUB	2003/04/25 11:08
12	L12	10	(6 or 7 or 8 or 9 or 10) same synerg\$	USPAT; US-PGPUB	2003/04/25 11:13
13	L13	30	(6 or 7 or 8 or 9 or 10) same transgen\$	USPAT; US-PGPUB	2003/04/25 11:14

PGPUB-DOCUMENT-NUMBER: 20030077640

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030077640 A1

TITLE: Process for producing a marker vaccine against a  
mammalian virus

PUBLICATION-DATE: April 24, 2003

US-CL-CURRENT: 435/6, 424/186.1 , 800/288 , 800/320.1

APPL-NO: 10/ 246243

DATE FILED: September 18, 2002

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	DOC-ID	APPL-DATE
DE	10145969.6	2001DE-10145969.6	September 18, 2001

PGPUB-DOCUMENT-NUMBER: 20020174453

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020174453 A1

TITLE: Production of antibodies in transgenic plastids

PUBLICATION-DATE: November 21, 2002

US-CL-CURRENT: 800/288, 435/320.1 , 530/388.1

APPL-NO: 09/ 807721

DATE FILED: April 18, 2001

PCT-DATA:

APPL-NO: PCT/US01/06274

DATE-FILED: Feb 28, 2001

PUB-NO:

PUB-DATE:

371-DATE:

102(E)-DATE:

RELATED APPLICATIONS

[0001] This patent application claims the benefit of U.S. Provisional Application No. 60/185,661, filed Feb. 29, 2000. This application is herein incorporated by reference.

PGPUB-DOCUMENT-NUMBER: 20020174452

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020174452 A1

TITLE: Monocot seeds with increased lignan content

PUBLICATION-DATE: November 21, 2002

US-CL-CURRENT: 800/284

APPL-NO: 09/ 944160

DATE FILED: August 30, 2001

RELATED-US-APPL-DATA:

non-provisional-of-provisional 60230632 20000907 US

CROSS-REFERENCE TO RELATED APPLICATION

[0001] This application claims benefit of priority from United States Provisional Patent Application No. 60/230,632, filed Sep. 7, 2000, under 35 U.S.C. .sctn. 119, which is incorporated herein by reference.

PGPUB-DOCUMENT-NUMBER: 20020164798

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020164798 A1

TITLE: Process for inducing direct somatic embryogenesis and  
secondary embryogenesis in monocotyledonous plant cells,  
and rapidly regenerating fertile plants

PUBLICATION-DATE: November 7, 2002

US-CL-CURRENT: 435/424

APPL-NO: 09/ 929831

DATE FILED: August 14, 2001

RELATED-US-APPL-DATA:

child 09929831 A1 20010814

parent continuation-in-part-of 09641243 20000817 US PENDING

CROSS-REFERENCE TO RELATED APPLICATION

[0001] This application is a Continuation in Part of co-pending U.S. patent application No. 09/641,243, filed Aug. 17, 2000, which is incorporated by reference herein to the extent that there is no inconsistency with the present disclosure.

PGPUB-DOCUMENT-NUMBER: 20020065408

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020065408 A1

TITLE: HMG2 promoter expression system and post-harvest  
production of gene products in plants and plant cell  
cultures

PUBLICATION-DATE: May 30, 2002

US-CL-CURRENT: 536/24.1, 536/23.6 , 800/278

APPL-NO: 09/ 902653

DATE FILED: July 12, 2001

RELATED-US-APPL-DATA:

child 09902653 A1 20010712

parent continuation-of 08890624 19970709 US ABANDONED

child 08890624 19970709 US

parent continuation-of 08282581 19940729 US GRANTED

parent-patent 5670349 US

child 08282581 19940729 US

parent continuation-in-part-of 08100816 19930802 US ABANDONED

[0001] This application is a continuation-in-part of co-pending application  
Ser. No. 08/100,816 filed Aug. 2, 1993, which is hereby incorporated by  
reference in its entirety.

US-PAT-NO: 6521590

DOCUMENT-IDENTIFIER: US 6521590 B1

TITLE: Biocidal proteins

DATE-ISSUED: February 18, 2003

US-CL-CURRENT: 514/2, 514/16 , 530/300 , 530/328 , 530/350

APPL-NO: 09/ 298574

DATE FILED: April 29, 1999

PARENT-CASE:

This is a continuation of application Ser. No. 08/777,113 filed on Dec. 30, 1996, now U.S. Pat. No. 5,986,176, which is a division of application Ser. No. 08/451,566 filed May 26, 1995, now U.S. Pat. No. 5,691,199, which is a division of application Ser. No. 08/149,839 filed Nov. 10, 1993, now U.S. Pat. No. 5,514,779, which is a continuation-in-part of application Ser. No. 08/002,842 filed Jan. 14, 1993 now abandoned, which is a continuation-in-part of PCT/GB92/00999 filed Jun. 3, 1992.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9112300	June 7, 1991
GB	9223708	November 12, 1992
GB	9223708	November 12, 1992
GB	9303564	February 23, 1993
GB	9303564	February 23, 1993

US-PAT-NO: 6521435

DOCUMENT-IDENTIFIER: US 6521435 B1

TITLE: Nucleic acid sequences encoding cell wall-degrading  
enzymes and use to engineer resistance to Fusarium and  
other pathogens

DATE-ISSUED: February 18, 2003

US-CL-CURRENT: 435/206, 435/183 , 435/200 , 435/252.3 , 435/320.1 , 435/419  
, 435/468 , 435/69.1 , 536/23.2 , 800/295 , 800/298  
, 800/320.3

APPL-NO: 09/ 649747

DATE FILED: August 28, 2000

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Applications Nos. 60/224,946, filed Aug. 11, 2000 and 60/151,582, filed Aug. 30, 1999. The disclosure of each of said provisional application is incorporated herein by reference in its entirety.



US-PAT-NO: 6433252

DOCUMENT-IDENTIFIER: US 6433252 B1

**\*\*See image for Certificate of Correction\*\***

TITLE: Maize L3 oleosin promoter

DATE-ISSUED: August 13, 2002

US-CL-CURRENT: 800/287, 435/418, 435/419, 435/468, 536/23.4, 536/23.6  
, 536/23.7, 536/24.1, 800/278, 800/279, 800/312, 800/314  
, 800/316, 800/317.2, 800/317.3, 800/317.4, 800/320  
, 800/320.1, 800/320.2, 800/320.3

APPL-NO: 09/ 695782

DATE FILED: October 24, 2000

PARENT-CASE:

The present application is a continuation of U.S. Ser. No. 09/080,625, now  
U.S. Pat. No. 6,307,123 filed May 18, 1998.

US-PAT-NO: 6359196

DOCUMENT-IDENTIFIER: US 6359196 B1

TITLE: Germination-specific plant promoters

DATE-ISSUED: March 19, 2002

US-CL-CURRENT: 800/278, 435/320.1 , 435/419 , 435/6 , 536/23.1 , 536/24.1  
, 536/24.3 , 800/288

APPL-NO: 09/ 404390

DATE FILED: September 23, 1999

US-PAT-NO: 6308458

DOCUMENT-IDENTIFIER: US 6308458 B1

TITLE: Herbicide-tolerant plants and methods of controlling the growth of undesired vegetation

DATE-ISSUED: October 30, 2001

US-CL-CURRENT: 504/246, 504/116.1, 504/240, 504/243, 504/265, 504/281, 504/283, 800/300

APPL-NO: 09/ 497698

DATE FILED: February 3, 2000

PARENT-CASE:

This application is a divisional application of U.S. application Ser. No. 09/102,420, filed Jun. 22, 1998, now U.S. Pat. No. 6,084,155, issued Jul. 4, 2000, which is a continuation-in-part of U.S. application Ser. No. 09/059,164, filed Apr. 13, 1998, which is a continuation-in-part of U.S. application Ser. No. 09/050,603, filed Mar. 30, 1998, now U.S. Pat. No. 6,023,012, issued Feb. 8, 2000, which is a continuation-in-part of U.S. application Ser. No. 08/808,931, filed Feb. 28, 1997, now U.S. Pat. No. 5,939,602, issued Aug. 17, 1999, which is a continuation-in-part of U.S. application Ser. No. 08/472,028, filed Jun. 6, 1995, now U.S. Pat. No. 5,767,373, issued Jun. 16, 1998. Said U.S. application Ser. No. 08/808,931 also claims the benefit of U.S. Provisional Application No. 60/012,705, filed on Feb. 28, 1996, U.S. Provisional Application No. 60/013,612, filed on Feb. 28, 1996, and U.S. Provisional Application No. 60/020,003, filed on Jun. 21, 1996. Said U.S. application Ser. No. 09/059,164 also claims the benefit of U.S. Provisional Application No. 60/126,430, filed Mar. 11, 1998. All of the aforementioned applications are incorporated herein by reference.

US-PAT-NO: 6307129

DOCUMENT-IDENTIFIER: US 6307129 B1

TITLE: Herbicide tolerant plants, plant tissue or plant cells  
having altered protoporphyrinogen oxidase activity

DATE-ISSUED: October 23, 2001

US-CL-CURRENT: 800/300.1, 800/278 , 800/300

APPL-NO: 09/ 191998

DATE FILED: November 12, 1998

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a Continuation of U.S. application Ser. No. 09/015,683 filed Jan. 29, 1998, which is a Division of U.S. application Ser. No. 08/472,028 filed Jun. 6, 1995, now U.S. Pat. No. 5,767,373, which is a continuation-in-part of U.S. application Ser. No. 08/261,198 filed Jun. 16, 1994, now abandoned.

US-PAT-NO: 6307123

DOCUMENT-IDENTIFIER: US 6307123 B1

TITLE: Methods and compositions for transgene identification

DATE-ISSUED: October 23, 2001

US-CL-CURRENT: 800/282, 536/23.4 , 536/24.1 , 800/266 , 800/288 , 800/300  
, 800/301

APPL-NO: 09/ 080625

DATE FILED: May 18, 1998

US-PAT-NO: 6288306

DOCUMENT-IDENTIFIER: US 6288306 B1

TITLE: Methods of selecting plants, plant tissue or plant cells  
resistant to a protoporphyrinogen oxidase inhibitor

DATE-ISSUED: September 11, 2001

US-CL-CURRENT: 800/300, 435/413 , 435/419 , 800/278

APPL-NO: 09/ 015683

DATE FILED: January 29, 1998

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a divisional of U.S. application Ser. No. 08/472,028, filed Jun. 6, 1995, now U.S. Pat. No. 5,767,373, issued Jun. 16, 1998, which is a continuation-in-part of U.S. application Ser. No. 08/261,198, filed Jun. 16, 1994, now abandoned.

US-PAT-NO: 6282837

DOCUMENT-IDENTIFIER: US 6282837 B1

TITLE: Methods of controlling the growth of undesired  
vegetation with herbicide tolerant plants or plant seeds  
having altered protoporphyrinogen oxidase activity

DATE-ISSUED: September 4, 2001

US-CL-CURRENT: 504/224, 504/243 , 504/285 , 800/300

APPL-NO: 09/ 196268

DATE FILED: November 19, 1998

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a Continuation of U.S. application Ser. No. 09/015,683 filed Jan. 29, 1998, which is a Division of U.S. application Ser. No. 08/472,028 filed Jun. 6, 1995, now U.S. Pat. No. 5,767,373, which is a continuation-in-part of U.S. application Ser. No. 08/261,198 filed Jun. 16, 1994, now abandoned.

US-PAT-NO: 6277615

DOCUMENT-IDENTIFIER: US 6277615 B1

TITLE: (1.fwdarw.3, 1.fwdarw.4)--.beta.-glucanase of enhanced  
stability

DATE-ISSUED: August 21, 2001

US-CL-CURRENT: 435/200, 435/69.1 , 530/372

APPL-NO: 08/ 584008

DATE FILED: January 11, 1996

PARENT-CASE:

This application is a continuation-in-part application of PCT/AU94/00377,  
filed on Jul. 6, 1994, which designated the United States and is entitled to  
priority under 35 USC .sctn.120.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
AU	PL9821	July 7, 1993



US-PAT-NO: 6271442

DOCUMENT-IDENTIFIER: US 6271442 B1

TITLE: Method of producing pathogen-resistant plants

DATE-ISSUED: August 7, 2001

US-CL-CURRENT: 800/298, 435/252.3, 435/320.1, 435/419, 435/468, 435/69.1  
, 536/23.2, 536/23.6, 536/24.1, 800/278, 800/295

APPL-NO: 08/ 775362

DATE FILED: January 3, 1997

PARENT-CASE:

This is a continuation of application Ser. No. 08/375,186 filed on Jan. 18, 1995 now U.S. Pat. No. 5,633,442 which is a continuation of Ser. No. 07/810,390 filed Dec. 19, 1991, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	40 40 954	December 20, 1990

US-PAT-NO: 6177245

DOCUMENT-IDENTIFIER: US 6177245 B1

TITLE: Manipulation of protoporphyrinogen oxidase enzyme  
activity in eukaryotic organisms

DATE-ISSUED: January 23, 2001

US-CL-CURRENT: 435/6, 536/23.1 , 536/24.3 , 536/24.31 , 536/24.32

APPL-NO: 09/ 071296

DATE FILED: May 1, 1998

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of U.S. application Ser. No. 08/261,198 filed Jun. 16, 1994 now abandoned.

US-PAT-NO: 6137030

DOCUMENT-IDENTIFIER: US 6137030 A

\*\*See image for Certificate of Correction\*\*

TITLE: Pap mutants that exhibit anti-viral and/or anti-fungal  
activity in plants

DATE-ISSUED: October 24, 2000

US-CL-CURRENT: 800/279

APPL-NO: 09/ 005273

DATE FILED: January 9, 1998

PARENT-CASE:

This Application is a Continuation of PCT/US96/11546 filed Jul. 11, 1996,  
which is a Continuation-In-Part of U.S. application Ser. No. 08/500,611 filed  
Jul. 11, 1995, now U.S. Pat. No. 5,756,322, and application Ser. No.  
08/500,694 filed Jul. 11, 1995, now U.S. Pat. No. 5,880,329.

US-PAT-NO: 6084155

DOCUMENT-IDENTIFIER: US 6084155 A

TITLE: Herbicide-tolerant protoporphyrinogen oxidase ("protox")  
genes

DATE-ISSUED: July 4, 2000

US-CL-CURRENT: 800/300, 435/320.1, 435/419, 435/440, 536/23.2, 536/23.6  
, 800/306, 800/312, 800/314, 800/317.3, 800/320  
, 800/320.1, 800/320.2, 800/320.3

APPL-NO: 09/ 102420

DATE FILED: June 22, 1998

PARENT-CASE:

This application is a continuation-in-part of U.S. application Ser. No. 09/059,164, filed Apr. 13, 1998, which is a continuation-in-part of U.S. application Ser. No. 09/050,603, filed Mar. 30, 1998, which is a continuation-in-part of U.S. application Ser. No. 08/808,931, filed Feb. 28, 1997, now U.S. Pat. No. 5,939,602 which is a continuation-in-part of U.S. application Ser. No. 08/472,028, filed Jun. 6, 1995, now U.S. Pat. No. 5,767,373. Said U.S. application Ser. No. 08/808,931 claims the benefit of U.S. Provisional Application No. 60/012,705, filed Feb. 28, 1996, U.S. Provisional Application No. 60/013,612, filed Feb. 28, 1996, and U.S. Provisional Application No. 60/020,003, filed Jun. 21, 1996. Said U.S. application Ser. No. 09/059,164 claims the benefit of U.S. Provisional Application No. 60/126,430, filed Mar. 11, 1998. All of the aforementioned applications are incorporated herein by reference.

US-PAT-NO: 6043415

DOCUMENT-IDENTIFIER: US 6043415 A

TITLE: Synthetic *Bacillus thuringiensis* cryic gene encoding  
insect toxin

DATE-ISSUED: March 28, 2000

US-CL-CURRENT: 800/317.3, 435/320.1, 435/419, 536/23.71, 800/279  
, 800/302, 800/317.2, 800/317.4, 800/320.1, 800/322

APPL-NO: 08/ 771986

DATE FILED: December 23, 1996

PARENT-CASE:

This application claims priority to provisional application Ser. No.  
60/027,896, filed Oct. 7, 1996, which is incorporated herein by reference.

US-PAT-NO: 5986176

DOCUMENT-IDENTIFIER: US 5986176 A

TITLE: Transgenic plants expressing biocidal proteins

DATE-ISSUED: November 16, 1999

US-CL-CURRENT: 800/301, 800/298

APPL-NO: 08/ 777113

DATE FILED: December 30, 1996

PARENT-CASE:

This is a division of application Ser. No. 08/451,566, filed May 26, 1995, now U.S. Pat. No. 5,691,199 which is a division of application Ser. No. 08/149,839 filed Nov. 10, 1993, now U.S. Pat. No. 5,514,779, which is a continuation-in-part of application Ser. No. 08/002,842, filed Jan. 14, 1993, now abandoned, which is a continuation-in-part of PCT/GB92/00999 filed Jun. 3, 1992.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9223798	November 12, 1992
GB	9303564	February 23, 1993

US-PAT-NO: 5981835

DOCUMENT-IDENTIFIER: US 5981835 A

TITLE: Transgenic plants as an alternative source of  
lignocellulosic-degrading enzymes

DATE-ISSUED: November 9, 1999

US-CL-CURRENT: 800/278, 435/410, 435/414, 435/468, 435/469, 435/69.1  
, 435/70.1, 536/23.1, 536/23.74, 800/284, 800/317.3

APPL-NO: 08/ 883495

DATE FILED: June 26, 1997

PARENT-CASE:

Priority is claimed to provisional application serial No. 60/028,718, filed  
Oct. 17, 1996.

US-PAT-NO: 5908975

DOCUMENT-IDENTIFIER: US 5908975 A

TITLE: Accumulation of fructans in plants by targeted  
expression of bacterial levansucrase

DATE-ISSUED: June 1, 1999

US-CL-CURRENT: 800/298, 435/320.1, 435/419, 435/468, 536/23.2, 536/23.7  
, 800/317.2, 800/317.3, 800/320.1

APPL-NO: 08/ 640732

DATE FILED: May 6, 1996

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATIONS

This is the national stage of international application PCT/US94/12778,  
filed Nov. 7, 1994, which is a continuation-in-part of U.S. patent  
application Ser. No. 08/149,689, filed Nov. 9, 1993 now abandoned.

#### PCT-DATA:

APPL-NO: PCT/US94/12778

DATE-FILED: November 7, 1994

PUB-NO: WO95/13389

PUB-DATE: May 18, 1995

371-DATE: May 6, 1996

102(E)-DATE: May 6, 1996



US-PAT-NO: 5804184

DOCUMENT-IDENTIFIER: US 5804184 A

TITLE: Transgenic pathogen-resistant organism

DATE-ISSUED: September 8, 1998

US-CL-CURRENT: 424/94.61, 424/94.2 , 435/200 , 435/209 , 514/12

APPL-NO: 08/ 812025

DATE FILED: March 6, 1997

PARENT-CASE:

This is a divisional of application No. 08/457,797, filed on Jun. 1, 1995, now U.S. Pat. No. 5,689,045, which is a continuation of Ser. No. 08/134,416, filed on Oct. 8, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	42 34 131.0	October 9, 1992

US-PAT-NO: 5691199

DOCUMENT-IDENTIFIER: US 5691199 A

TITLE: DNA encoding biocidal proteins

DATE-ISSUED: November 25, 1997

US-CL-CURRENT: 435/325, 435/252.3 , 530/324 , 530/379 , 536/23.6

APPL-NO: 08/ 451566

DATE FILED: May 26, 1995

PARENT-CASE:

This is a division of application Ser. No. 08/149,839, filed Nov. 10 1993, now U.S. Pat. No. 5,514,379 which is a CIP of application Ser. No. 08/002,842, filed Jan. 14, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9223708	November 12, 1992
GB	9303564	February 23, 1993

US-PAT-NO: 5670349

DOCUMENT-IDENTIFIER: US 5670349 A

TITLE: HMG2 promoter expression system and post-harvest  
production of gene products in plants and plant cell  
cultures

DATE-ISSUED: September 23, 1997

US-CL-CURRENT: 435/69.1, 435/320.1 , 536/23.1 , 536/24.1

APPL-NO: 08/ 282581

DATE FILED: July 29, 1994

PARENT-CASE:

This application is a continuation-in-part of application Ser. No.  
08/100,816 filed Aug. 2, 1993, now abandoned, which is hereby incorporated by  
reference in its entirety.

US-PAT-NO: 5633442

DOCUMENT-IDENTIFIER: US 5633442 A

\*\*See image for Certificate of Correction\*\*

TITLE: Method of producing pathogen-resistant plants

DATE-ISSUED: May 27, 1997

US-CL-CURRENT: 800/279, 435/252.3, 435/320.1, 435/488, 435/69.1  
, 536/23.2, 536/23.6, 800/301

APPL-NO: 08/ 375186

DATE FILED: January 18, 1995

PARENT-CASE:

This application is a continuation of application Ser. No. 08/215,163,  
filed on Mar. 21, 1994, now abandoned, which is a continuation of U.S. Pat.  
No. 07/810,390, filed on Dec. 19, 1991, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	40 40 954.6	December 20, 1990

US-PAT-NO: 5597801

DOCUMENT-IDENTIFIER: US 5597801 A

TITLE: Biocidal proteins

DATE-ISSUED: January 28, 1997

US-CL-CURRENT: 514/12, 424/404 , 424/405 , 424/418 , 514/2 , 530/324

APPL-NO: 08/ 451568

DATE FILED: May 26, 1995

PARENT-CASE:

This is a division of application Ser. No. 08/149,839, filed Nov. 10, 1993, which is a CIP of application Ser. No. 08/002,842, filed Jan. 14, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9223708	November 12, 1992
GB	9303564	February 23, 1993

US-PAT-NO: 5525716

DOCUMENT-IDENTIFIER: US 5525716 A

TITLE: LPT2 promoter having aleurone-tissue-specific activity

DATE-ISSUED: June 11, 1996

US-CL-CURRENT: 536/24.1, 435/320.1, 435/69.1, 435/91.4, 536/24.3

APPL-NO: 08/ 165315

DATE FILED: December 10, 1993

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
GB	9324707	December 2, 1993

US-PAT-NO: 5514779

DOCUMENT-IDENTIFIER: US 5514779 A

\*\*See image for Certificate of Correction\*\*

TITLE: Biocidal proteins from plants

DATE-ISSUED: May 7, 1996

US-CL-CURRENT: 530/379, 530/300 , 530/324 , 530/333 , 530/350 , 530/370  
, 536/23.6

APPL-NO: 08/ 149839

DATE FILED: November 10, 1993

PARENT-CASE:

This application is a Continuation-in-Part of Ser. No. 08/002,842, filed  
Jan. 14, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9223708	November 12, 1992
GB	9303564	February 23, 1993

US-PAT-NO: 6521590

DOCUMENT-IDENTIFIER: US 6521590 B1

TITLE: Biocidal proteins

DATE-ISSUED: February 18, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	
Broekaert; Willem Frans	Dilbeek	N/A	N/A	BE	
Cammue; Bruno Philippe Angelo	Alsemberg		N/A	N/A	BE
Osborn; Rupert William	Twickenham		N/A	N/A	GB
Rees; Sarah Bronwen	Forest Park		N/A	N/A	GB
Vanderleyden; Jozef	Heverlee	N/A	N/A	BE	

APPL-NO: 09/ 298574

DATE FILED: April 29, 1999

PARENT-CASE:

This is a continuation of application Ser. No. 08/777,113 filed on Dec. 30, 1996, now U.S. Pat. No. 5,986,176, which is a division of application Ser. No. 08/451,566 filed May 26, 1995, now U.S. Pat. No. 5,691,199, which is a division of application Ser. No. 08/149,839 filed Nov. 10, 1993, now U.S. Pat. No. 5,514,779, which is a continuation-in-part of application Ser. No. 08/002,842 filed Jan. 14, 1993 now abandoned, which is a continuation-in-part of PCT/GB92/00999 filed Jun. 3, 1992.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9112300	June 7, 1991
GB	9223708	November 12, 1992
GB	9223708	November 12, 1992
GB	9303564	February 23, 1993
GB	9303564	February 23, 1993

US-CL-CURRENT: 514/2, 514/16, 530/300, 530/328, 530/350

ABSTRACT:

Biocidal proteins capable of isolation from seeds have been characterized. The proteins have an amino acid sequence containing the common cysteine/glycine domain of Chitin-binding Plant Proteins but show substantially better activity against pathogenic fungi, a higher ratio of basic amino acids to acidic amino acids, and/or antifungal activity which results in increased hyphal branching. Antimicrobial proteins isolated from Amaranthus, Capsicum, Briza and related species are provided. The proteins show a wide range of antifungal activity



and are active against Gram-positive bacteria. DNA encoding the proteins may be isolated and incorporated into vectors. Plants may be transformed with this DNA. The proteins find agricultural or pharmaceutical application as antifungal or antibacterial agents. Transgenic plants expressing the protein will show increased disease resistance.

8 Claims, 29 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 17

----- KWIC -----

Brief Summary Text - BSTX (13):

Application of Chitin-binding Plant Proteins, especially chitinases, in the protection of plants against fungal disease has been reported, and the potential usefulness of these proteins to engineer resistance in plants has been described (for example, Pioneer Hi Bred's European Patent Application 502718). In U.S. Pat. No. 4,940,840 (DNA Plant Technology Corporation), tobacco plants expressing a chitinase gene from the bacterium *Serratia marcescens* appear to be less sensitive to the fungus *Alternaria longipes*. European Patent Application Number 418695 (Ciba Geigy) describes the use of regulatory DNA sequences from tobacco chitinase gene to drive expression of introduced genes producing transgenic plants with improved resistance to pathogens. Patent Application Number WO9007001 (Du Pont de Nemours Company) describes production of transgenic plants which over-express a chitinase gene giving improved resistance to fungal pathogens.

US-PAT-NO: 6271442

DOCUMENT-IDENTIFIER: US 6271442 B1

TITLE: Method of producing pathogen-resistant plants

DATE-ISSUED: August 7, 2001

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Schell; Jeff	Koln	N/A	N/A	DE
Logemann; Jurgen	Koln	N/A	N/A	DE
Jach; Guido	Koln	N/A	N/A	DE
Mundy; John	Copenhagen	N/A	N/A	DK

APPL-NO: 08/ 775362

DATE FILED: January 3, 1997

PARENT-CASE:

This is a continuation of application Ser. No. 08/375,186 filed on Jan. 18, 1995 now U.S. Pat. No. 5,633,442 which is a continuation of Ser. No. 07/810,390 filed Dec. 19, 1991, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
DE	40 40 954	December 20, 1990

US-CL-CURRENT: 800/298, 435/252.3 , 435/320.1 , 435/419 , 435/468 , 435/69.1 , 536/23.2 , 536/23.6 , 536/24.1 , 800/278 , 800/295

ABSTRACT:

Described are a method of producing pathogen-resistant plants in which a protein-synthesis inhibitor gene or a fusion product of the protein-synthesis inhibitor gene or of the protein-synthesis inhibitor protein with ligands permitting specific attachment to cells is introduced into the genotype of plants under the control of an active promotor, and the use of the protein-synthesis inhibitor protein obtained by introducing the protein-synthesis inhibitor gene into the bacterial overproducers for making pharmaceutical preparations.

17 Claims, 14 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 12

----- KWIC -----

Brief Summary Text - BSTX (9):

It has further been found that **PSI genes isolated for example from barley** plants can be fused with a great variety of active promoters, for example the *wun1*-promotor, which is described in detail in "The Plant Cell 1", 1989, p.151-158 and that such promotor gene fusions can be incorporated into the genotype of plants and can produce **transgenic** plants which exhibit newly acquired pathogenic resistance.

US-PAT-NO: 5986176

DOCUMENT-IDENTIFIER: US 5986176 A

TITLE: Transgenic plants expressing biocidal proteins

DATE-ISSUED: November 16, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Broekaert; Willem Frans	Dilbeek	N/A	N/A	BE
Cammue; Bruno Phillippe	Alsemberg	N/A	N/A	BE
Angelo	Forest Park	N/A	N/A	GB
Rees; Sarah Bronwen	Heverlee	N/A	N/A	BE
Vanderleyden; Jozef				

APPL-NO: 08/ 777113

DATE FILED: December 30, 1996

PARENT-CASE:

This is a division of application Ser. No. 08/451,566, filed May 26, 1995, now U.S. Pat. No. 5,691,199 which is a division of application Ser. No. 08/149,839 filed Nov. 10, 1993, now U.S. Pat. No. 5,514,779, which is a continuation-in-part of application Ser. No. 08/002,842, filed Jan. 14, 1993, now abandoned, which is a continuation-in-part of PCT/GB92/00999 filed Jun. 3, 1992.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9223798	November 12, 1992
GB	9303564	February 23, 1993

US-CL-CURRENT: 800/301, 800/298

ABSTRACT:

Biocidal proteins capable of isolation from seeds have been characterized. The proteins have an amino acid sequence containing the common cysteine/glycine domain of Chitin-binding Plant Proteins but show substantially better activity against pathogenic fungi, a higher ratio of basic amino acids to acidic amino acids, and/or antifungal activity which results in increased hyphal branching. Antimicrobial proteins isolated from Amaranthus, Capsicum, Briza and related species are provided. The proteins show a wide range of antifungal activity and are active against Gram-positive bacteria. DNA encoding the proteins may be isolated and incorporated into vectors. Plants may be transformed with this DNA. The proteins find agricultural or pharmaceutical application as antifungal or antibacterial agents. Transgenic plants expressing the protein

will show increased disease resistance.

20 Claims, 29 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 17

----- KWIC -----

Brief Summary Text - BSTX (15):

Application of Chitin-binding Plant Proteins, especially chitinases, in the protection of plants against fungal disease has been reported, and the potential usefulness of these proteins to engineer resistance in plants has been described (for example, Pioneer Hi Bred's European Patent Application 502718). In U.S. Pat. No. 4,940,840 (DNA Plant Technology Corporation), tobacco plants expressing a **chitinase gene from the bacterium *Serratia marcescens*** appear to be less sensitive to the fungus *Alternaria longipes*. European Patent Application Number 418695 (Ciba Geigy) describes the use of regulatory DNA sequences from tobacco chitinase gene to drive expression of introduced genes producing **transgenic** plants with improved resistance to pathogens. Patent Application Number W09007001 (Du Pont de Nemours Company) describes production of **transgenic** plants which over-express a chitinase gene giving improved resistance to fungal pathogens.

US-PAT-NO: 5908975

DOCUMENT-IDENTIFIER: US 5908975 A

TITLE: Accumulation of fructans in plants by targeted  
expression of bacterial levansucrase

DATE-ISSUED: June 1, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Caimi; Perry Gerard	Landenberg	PA	N/A	N/A
Hershey; Howard Paul	West Chester	PA	N/A	N/A
Kerr; Phillip S.	Urbandale	IA	N/A	N/A

APPL-NO: 08/ 640732

DATE FILED: May 6, 1996

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS

This is the national stage of international application PCT/US94/12778, filed Nov. 7, 1994, which is a continuation-in-part of U.S. patent application Ser. No. 08/149,689, filed Nov. 9, 1993 now abandoned.

PCT-DATA:

APPL-NO: PCT/US94/12778  
DATE-FILED: November 7, 1994  
PUB-NO: WO95/13389  
PUB-DATE: May 18, 1995  
371-DATE: May 6, 1996  
102(E)-DATE: May 6, 1996

US-CL-CURRENT: 800/298, 435/320.1 , 435/419 , 435/468 , 536/23.2 , 536/23.7  
, 800/317.2 , 800/317.3 , 800/320.1

ABSTRACT:

This invention concerns methods for synthesis and accumulation of fructose polymers in seed, tubers or leaves of transgenic plants by selective expression of a bacterial fructosyltransferase gene. Selective expression includes coordination of timing, tissue specific expression and especially subcellular location. Successful transformants utilize sucrose to synthesize and accumulate fructan in the vacuole of the cell, in established crops, without loss of co-products or concern for yield loss due to degradation during maturation, harvest or storage of the plant. Enhanced fructan production will benefit the fructose sweetener industry and add value to grain used for feed.

11 Claims, 0 Drawing figures

Exemplary Claim Number: 1

----- KWIC -----

Brief Summary Text - BSTX (124):

Vacuolar specific proteins have been demonstrated to be correctly targeted to the vacuole in heterologous **transgenic** plants (Bednarak et al., Plant Cell, 2:1145-1155 (1990); Matsuoka and Nakamura, Proc. Natl. Acad. Sci. 88:834-838 (1991); Holwerda et al., Plant Cell, 4:307-318 (1992)). Demonstration of correct assembly, processing and targeting of the vacuole specific barley lectin protein in tobacco, indicates that the sorting machinery in monocots and dicots is very similar (Wilkins et al., Plant Cell 2:301-313 (1992)). Furthermore, targeting sequences from vacuole specific genes, operably fused to heterologous coding sequences in chimeric gene constructs, also maintain the vacuole specific expression in **transgenic** plants. Such examples include the patatin vacuole targeting sequence fused to the yeast invertase Suc2 gene and established to be correctly targeted to the vacuole of **transgenic** tobacco cells (Sonnewald et al., The Plant J. 1:95-106 (1991)), the C-terminal vacuole targeting sequences of either tobacco chitinase A or in a separate experiment, the C-terminal sequence from **barley lectin were fused to the secreted form of cucumber chitinase**. The chimeric cucumber chitinase was correctly targeted to the vacuole of tobacco cells in both experiments (Neuhaus et al., Proc. Natl. Acad. Sci., 88:10362-10366 (1991); Bednarek and Raikhel, The Plant Cell, 3:1195-1206 (1991)). The source of the vacuole targeting sequence chosen to fuse operationally to the FTF protein is not critical so long as it is sufficient to accomplish the invention by correct targeting of a functional FTF protein to the vacuole of preferred **transgenic** plant cells.

US-PAT-NO: 5691199

DOCUMENT-IDENTIFIER: US 5691199 A

TITLE: DNA encoding biocidal proteins

DATE-ISSUED: November 25, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Broekaert; Willem Frans	Dilbeek	N/A	N/A	BE
Cammue; Bruno Philippe Angelo	Alsemberg		N/A	N/A BE
Rees; Sarah Bronwen	Berkshire	N/A	N/A	GB2
Vanderleyden; Jozef	Heverlee	N/A	N/A	BE

APPL-NO: 08/ 451566

DATE FILED: May 26, 1995

PARENT-CASE:

This is a division of application Ser. No. 08/149,839, filed Nov. 10 1993, now U.S. Pat. No. 5,514,379 which is a CIP of application Ser. No. 08/002,842, filed Jan. 14, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9223708	November 12, 1992
GB	9303564	February 23, 1993

US-CL-CURRENT: 435/325, 435/252.3 , 530/324 , 530/379 , 536/23.6

ABSTRACT:

Biocidal proteins capable of isolation from seeds have been characterized. The proteins have an amino acid sequence containing the common cysteine/glycine domain of Chitin-binding Plant Proteins but show substantially better activity against pathogenic fungi, a higher ratio of basic amino acids to acidic amino acids, and/or antifungal activity which results in increased hyphal branching. Antimicrobial proteins isolated from Amaranthus, Capsicum, Briza and related species are provided. The proteins show a wide range of antifungal activity and are active against Gram-positive bacteria. DNA encoding the proteins may be isolated and incorporated into vectors. Plants may be transformed with this DNA. The proteins find agricultural or pharmaceutical application as antifungal or antibacterial agents. Transgenic plants expressing the protein will show increased disease resistance.

60 Claims, 29 Drawing figures



Exemplary Claim Number: 1

Number of Drawing Sheets: 17

----- KWIC -----

Brief Summary Text - BSTX (12):

Application of Chitin-binding Plant Proteins, especially chitinases, in the protection of plants against fungal disease has been reported, and the potential usefulness of these proteins to engineer resistance in plants has been described (for example, Pioneer Hi Bred's European Patent Application 502718). In U.S. Pat. No. 4,940,840 (DNA Plant Technology Corporation), tobacco plants expressing a **chitinase genes from the bacterium Serratia marcescens** appear to be less sensitive to the fungus *Alternaria longipes*. European Patent Application Number 418695 (Ciba Geigy) describes the use of regulatory DNA sequences from tobacco chitinase genes to drive expression of introduced genes producing **transgenic** plants with improved resistance to pathogens. Patent Application Number WO9007001 (Du Pont de Nemours Company) describes production of **transgenic** plants which over-express a chitinase gene giving improved resistance to fungal pathogens.

US-PAT-NO: 5670349

DOCUMENT-IDENTIFIER: US 5670349 A

TITLE: HMG2 promoter expression system and post-harvest  
production of gene products in plants and plant cell  
cultures

DATE-ISSUED: September 23, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Cramer; Carole Lyn	Blacksburg	VA	N/A	N/A
Weissenborn; Deborah Louise	Blacksburg	VA	N/A	N/A

APPL-NO: 08/ 282581

DATE FILED: July 29, 1994

PARENT-CASE:

This application is a continuation-in-part of application Ser. No.  
08/100,816 filed Aug. 2, 1993, now abandoned, which is hereby incorporated by  
reference in its entirety.

US-CL-CURRENT: 435/69.1, 435/320.1 , 536/23.1 , 536/24.1

ABSTRACT:

The invention relates in part to plant HMG2 HMGR genes and in part to the "post-harvest" production method of producing gene product of interest in plant tissues and cultures. The HMG2 promoter elements are responsive to pathogen-infection, pest-infestation, wounding, or elicitor or chemical treatments. The HMG2 elements are also active in specialized tissues of the plant including pollen and mature fruits. HMG2 promoter elements and HMG2-derived promoters can be advantageously used to drive the expression of disease and pest resistance genes, whereby transgenic plants having such gene constructs would be resistant to the targeted disease and pest. In particular, the HMG2 gene expression system can be utilized in developing nematode resistant plants. The post-harvest production method of the invention utilizes plant tissues and cell cultures of plants or plant cells engineered with a expression construct comprising an inducible promoter, such as the HMG2 promoter, operably linked to a gene of interest. Production of the desired gene product is obtained by harvesting, followed by inducing and processing the harvested tissue or culture. The post-harvest production method may be advantageously used to produce direct or indirect gene products that are labile, volatile, toxic, hazardous, etc.

19 Claims, 29 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 21

----- KWIC -----

Detailed Description Paragraph Table - DETL (1):

TABLE 3

Inducible Plant Genes with Potential for Post-harvest Induction and Accumulation of <u>Transgene</u> Products. An asterisk designates those genes for which promoters have been isolated and characterized. References represent one to two representative references or relevant review article and are not intended to be exhaustive. Genes/gene products Functions Sources of clones			
Defense-Response Genes.sup.a1	Phytoalexin biosynthesis	Phenylpropanoid phytoalexin	*Phenylalanine ammonia lyase (PAL).sup.2 Enzyme, central pathway
Bean, parsley, potato, tomato	4-Coumarate CoA ligase (4CL).sup.3	Enzyme, central pathway	Parsley, potato
	*Chalcone synthase (CHS).sup.4,5	Enzyme, Isoflavanoid branch	Bean, soybean, parsley
	Chalcone isomerase (CHI).sup.6	Enzyme, Isoflavanoid branch	Bean
	Resveratrol (stilbene) synthase.sup.7	Enzyme, Isoflavanoid branch	Grapevine, peanut
	Isoflavone reductase (IFR).sup.8	Enzyme, Isoflavanoid branch	Alfalfa
	Terpenoid phytoalexins	*HMG-CoA reductase (HMG).sup.9,10	Enzymes, central pathway
	Tomato, tobacco, potato, rice	Casbene synthetase.sup.11	Casbene biosynthesis
	Castor bean	Cell wall components	Lignin
	*Phenylalanine ammonia lyase	See above	Cinnamyl alcohol dehydrogenase (CAD).sup.12
	Lignin biosyn.	Tobacco	Caffeic acid o-methyltransferase.sup.13
	Lignin biosyn.	Alfalfa, tobacco	Lignin-forming peroxidase.sup.14
	Lignin polymerization	Tobacco, wheat	Hydroxyproline-rich glycoproteins (HRGP).sup.15,16
	Structural protein	Bean, tomato	Glycine-rich proteins (GRP).sup.15
	Structural protein	Bean, potato, pea, rice	Thionins.sup.17
	Antifungal	Barley	Hydrolases, lytic enzymes
	*Chitinases (PR-P, PR-Q).sup.18-20	Class I chitinase, basic	Vacuolar, antifungal
	Tobacco, bean, tomato	Class I and II chitinase, acidic	Extracellular, antifungal
	Bean	Class II chitinase	Bifunctional lysozyme, Cucumber, tobacco, <u>chitinase barley</u> , petunia
	*.beta.-1,3-Glucanase.sup.21	Antifungal, chitinase	Bean, tobacco, potato, synergist
	pea, rice, Arabidopsis	.beta.-fructosidase.sup.22	Antifungal invertase
	Tomato	Others	*Proteinase inhibitors (PI-I, PI-II).sup.23,24
	Trypsin-, chymotrypsin-	Potato, tomato	inhibitors
	Superoxide dismutase (SOD).sup.25	Anti-oxidant enzyme	Tobacco, maize, tomato
	Lipoxygenase.sup.26	Lipid peroxidation, Arabidopsis	jasmonate biosyn.
	Additional "pathogenesis-related" prot.	*PR1 family, PR2, PR3.sup.27-29	Unknown
	Tobacco, bean, parsley, pea	Osmotin, PR5.sup.30-32	Antifungal, thaumatin-like
	Tobacco, maize	Ubiquitin.sup.33	Protein degradation
	Potato	Wound-Inducible Genes.sup.a	*win1, *win2 (hevein-like).sup.34
	Chitin-binding prot.	Potato (hevein, rubber tree)	wun1, wun2.sup.35
	Unknown	Potato	*nos, nopaline synthase.sup.36
	Agrobacterium nutr.	Agrobacterium tumefaciens	ACC synthase.sup.37
	Ethylene biosynthesis	Tomato, squash	HMG-CoA reductase hmg1.sup.38
	Sterol/alkaloid synth.	Potato	3-deoxy-D-arabino-heptulosonate-
	Lignin biosyn.	Potato, tomato	7-phosphate

synthase.sup.39 HSP70.sup.33 Heat-shock protein, Potato chaparone Salicylic  
 acid inducible.sup.40 acid peroxidase.sup.14 Lignin-forming Tobacco  
 PR-proteins.sup.40,41 (see above) Tobacco Glycine-rich protein.sup.41 Cell  
 wall protein Tobacco Methyl jasmonate inducible \*vspB.sup.42 Vacuolar  
 storage prot. Soybean Proteinase inhibitors I and II.sup.43 Trypsin,  
 chymotrypsin inhib. Potato, tomato Heat-shock genes.sup.43 HSP70.sup.33  
 Chaperonin Potato Ubiquitin (see above) Cold-stress inducible.sup.44  
 Drought, salt stress.sup.45 Osmotin.sup.30-32 Desiccation tolerance Tobacco,  
 maize Hormone inducible Gibberellin .alpha.-amylase.sup.46 Starch  
 degradation Barley Absciscic acid.sup.45,47 EM-1, RAB, LEA genes.sup.45  
 Unknown, embryogenesis Wheat, rice, maize, cotton Ethylene Chitinase,  
 phytoalexin biosyn. genes (see above)

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.sup.a Genes are transcriptionally activated in response to pathogens, defense  
 elicitors, wounding and in some cases methyl jasmonate, salicylic acid,  
 HgCl.sub.2 or H.sub.2O.sub.2. References: .sup.1 Cramer et al., 1993, J.  
 Nematol. 25:507-518. .sup.2 Lois et al., 1989, EMBO J. 8:1641-1648. .sup.3  
 BeckerAndre et al., 1991, J. Biol. Chem. 266:8551-8559. .sup.4 Arias et al.,  
 1993, Plant Cell 5:485-496. .sup.5 Doerner et al., 1990, Bio/Technology  
 8:845-848. .sup.6 Mehdy et al., 1987, EMBO J. 6:1527-1533. .sup.7 Hain et  
 al., 1993, Nature 361:153-156. .sup.8 Paiva et al., 1991, Plant Mol. Biol.  
 17:653-667. .sup.9 Park et al., 1992, Plant Mol. Biol. 20:327-331. .sup.10  
 Yang et al., 1991, Plant Cell 3:397-405. .sup.11 Lois et al., 1990, Arch.  
 Biochem. Biophys. 276:270-277. .sup.12 Schuch et al., 1991, 3rd Int. Cong.  
 Plant Mol. Biol., Tucson, Az. Abstract 1653. .sup.13 Jaeck et al., 1992, Mol.  
 PlantMicrobe Interact. 5:294-300. .sup.14 Lagrimini et al., 1987, Proc. Natl.  
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 19:205-215. .sup.16 Wycoff et al., 1992, in D. P. S. Verma, ed., Molecular  
 Signals in PlantMicrobe Communication, Boca Raton, FL: CRC Press. pgs.  
 407-422. .sup.17 Bohlmann et al., 1988, EMBO J. 7:1559-1565. .sup.18 Hedrick  
 et al., 1988, Plant Physiol. 86:182-186. .sup.19 Roby et al., 1990, Plant Cell  
 2:999-1007. .sup.20 Samac and Shah, 1991, Plant Cell 3:1063-1072. .sup.21  
 Legrand et al., 1987, Proc. Natl. Acad. Sci. USA 84:6750-6754. .sup.22  
 Benhamou et al., 1991, Plant Physiol. 97:739-750. .sup.23 Ryan, C. A., 1990,  
 Annu. Rev. Phytopathol. 28:425-449. .sup.24 Keil et al., 1989, EMBO J.  
 8:1323-1330. .sup.25 Bowler et al., 1989, EMBO J. 8:31-38. .sup.26 Melan et  
 al., 1993, Plant Physiol. 101:441-450. .sup.27 Cornelissen et al., 1986, EMBO  
 J. 5:37-40. .sup.28 Meler et al., 1991, Plant Cell 3:309-315. .sup.29 Sharma  
 et al., 1992, Mol. PlantMicrobe Interact. 5:89-95. .sup.30 Cornelissen et al.,  
 1986, Nature 321:531-532. .sup.31 Stinizi et al., 1991, Physiol. Mol. Plant  
 Pathol. 38:137-146. .sup.32 Kononowicz et al., 1992, Plant Cell 4:513-524.  
 .sup.33 Rickey and Belknap, 1991, Plant Mol. Biol. 16:1009-1018. .sup.34 Weiss  
 and Bevan, 1991, Plant Physiol. 96:943-951. .sup.35 Logemann et al., 1988,  
 Proc. Natl. Acad. Sci. USA 85:1136-1140. .sup.36 An et al., 1990, Plant Cell  
 2:225-233. .sup.37 Li et al., 1992, Plant Mol. Biol. 18:477-487. .sup.38 Chol  
 et al., 1992, Plant Cell 4:1333-1344. .sup.39 Dyer et al., 1989, Proc. Natl.  
 Acad. Sci. USA 86:7370-7373. .sup.40 Ward et al., 1991, Plant Cell  
 3:1085-1094. .sup.41 Van de Rhee et al., 1990, Plant Cell 2:357-366. .sup.42  
 Mason et al., 1993, Plant Cell 5:241-251. .sup.43 Ho and Sachs, 1989, In:  
 Stump.sub.-- and Conn, eds., The Biochemistry of Plants: A Comprehensive  
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 Wright, eds., Genomic Responses to Environmental Stress, Adv. in Genetics,  
 Vol. 28, pgs. 99-131 .sup.45 Skriver and Mundy, 1990, Plant Cell 2:503-512.

.sup.46 Gubler and Jacobsen, 1992, Plant Cell 4:1435-1441. .sup.47 Chandler and Robertson, 1994, Annu. Rev. Plant Physiol. Plant Mol Biol. 45:113-142.

US-PAT-NO: 5633442

DOCUMENT-IDENTIFIER: US 5633442 A

\*\*See image for Certificate of Correction\*\*

TITLE: Method of producing pathogen-resistant plants

DATE-ISSUED: May 27, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Schell; Jeff	Koln	N/A	N/A	DE
Logemann; Jurgen	Koln	N/A	N/A	DE
Jach; Guido	Koln	N/A	N/A	DE
Mundy; John	Copenhagen	N/A	N/A	DK

APPL-NO: 08/ 375186

DATE FILED: January 18, 1995

PARENT-CASE:

This application is a continuation of application Ser. No. 08/215,163, filed on Mar. 21, 1994, now abandoned, which is a continuation of U.S. Pat. No. 07/810,390, filed on Dec. 19, 1991, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
DE	40 40 954.6	December 20, 1990

US-CL-CURRENT: 800/279, 435/252.3, 435/320.1, 435/488, 435/69.1, 536/23.2, 536/23.6, 800/301

ABSTRACT:

Described are a method of producing pathogen-resistant plants in which a protein-synthesis inhibitor gene or a fusion product of the protein-synthesis inhibitor gene or of the protein-synthesis inhibitor protein with ligands permitting specific attachment to cells is introduced into the genotype of plants under the control of an active promotor, and the use of the protein-synthesis inhibitor protein obtained by introducing the protein-synthesis inhibitor gene into the bacterial overproducers for making pharmaceutical preparations.

6 Claims, 14 Drawing figures

Exemplary Claim Number: 1,2,3

Number of Drawing Sheets: 12

----- KWIC -----

Brief Summary Text - BSTX (9):

It has further been found that **PSI genes isolated for example from barley** plants can be fused with a great variety of active promoters, for example the *wun1*-promotor, which is described in detail in "The Plant Cell 1", 1989, p.151-158 and that such promotor gene fusions can be incorporated into the genotype of plants and can produce **transgenic** plants which exhibit newly acquired pathogenic resistance.

US-PAT-NO: 5597801

DOCUMENT-IDENTIFIER: US 5597801 A

TITLE: Biocidal proteins

DATE-ISSUED: January 28, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Broekaert; Willem F.	Dilbeek	N/A	N/A	BE
Cammue; Bruno P. A.	Alsemberg	N/A	N/A	BE
Rees; Sarah B.	Forest Park	N/A	N/A	GB2
Vanderleyden; Jozef	Heverlee	N/A	N/A	BE

APPL-NO: 08/ 451568

DATE FILED: May 26, 1995

PARENT-CASE:

This is a division of application Ser. No. 08/149,839, filed Nov. 10, 1993, which is a CIP of application Ser. No. 08/002,842, filed Jan. 14, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9223708	November 12, 1992
GB	9303564	February 23, 1993

US-CL-CURRENT: 514/12, 424/404 , 424/405 , 424/418 , 514/2 , 530/324

ABSTRACT:

Biocidal proteins capable of isolation from seeds have been characterized. The proteins have an amino acid sequence containing the common cysteine/glycine domain of Chitin-binding Plant Proteins but show substantially better activity against pathogenic fungi, a higher ratio of basic amino acids to acidic amino acids, and/or antifungal activity which results in increased hyphal branching. Antimicrobial proteins isolated from Amaranthus, Capsicum, Briza and related species are provided. The proteins show a wide range of antifungal activity and are active against Gram-positive bacteria. DNA encoding the proteins may be isolated and incorporated into vectors. Plants may be transformed with this DNA. The proteins find agricultural or pharmaceutical application as antifungal or antibacterial agents. Transgenic plants expressing the protein will show increased disease resistance.

25 Claims, 29 Drawing figures



Exemplary Claim Number: 3

Number of Drawing Sheets: 17

----- KWIC -----

Brief Summary Text - BSTX (13):

Application of Chitin-binding Plant Proteins, especially chitinases, in the protection of plants against fungal disease has been reported, and the potential usefulness of these proteins to engineer resistance in plants has been described (for example, Pioneer Hi Bred's European Patent Application 502718). In U.S. Pat. No. 4,940,840 (DNA Plant Technology Corporation), tobacco plants expressing a **chitinase gene from the bacterium Serratia marcescens** appear to be less sensitive to the fungus *Alternaria longipes*. European Patent Application Number 418695 (Ciba Geigy) describes the use of regulatory DNA sequences from tobacco chitinase gene to drive expression of introduced genes producing **transgenic** plants with improved resistance to pathogens. U.S. patent application No. WO9007001 (Du Pont de Nemours Company) describes production of **transgenic** plants which over-express a chitinase gene giving improved resistance to fungal pathogens.

US-PAT-NO: 5514779

DOCUMENT-IDENTIFIER: US 5514779 A

\*\*See image for Certificate of Correction\*\*

TITLE: Biocidal proteins from plants

DATE-ISSUED: May 7, 1996

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Broekaert; Willem F.	Dilbeek	N/A	N/A	BE
Cammue; Bruno P. A.	Alsemberg	N/A	N/A	BE
Rees; Sarah B.	Forest Park	N/A	N/A	GB2
Vanderleyden; Jozef	Heverlee	N/A	N/A	BE

APPL-NO: 08/ 149839

DATE FILED: November 10, 1993

PARENT-CASE:

This application is a Continuation-in-Part of Ser. No. 08/002,842, filed Jan. 14, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
GB	9112300	June 7, 1991
GB	9223708	November 12, 1992
GB	9303564	February 23, 1993

US-CL-CURRENT: 530/379, 530/300, 530/324, 530/333, 530/350, 530/370, 536/23.6

ABSTRACT:

Biocidal proteins capable of isolation from seeds have been characterized. The proteins have an amino acid sequence containing the common cysteine/glycine domain of Chitin-binding Plant Proteins but show substantially better activity against pathogenic fungi, a higher ratio of basic amino acids to acidic amino acids, and/or antifungal activity which results in increased hyphal branching. Antimicrobial proteins isolated from Amaranthus, Capsicum, Briza and related species are provided. The proteins show a wide range of antifungal activity and are active against Gram-positive bacteria. DNA encoding the proteins may be isolated and incorporated into vectors. Plants may be transformed with this DNA. The proteins find agricultural or pharmaceutical application as antifungal or antibacterial agents. Transgenic plants expressing the protein will show increased disease resistance.

12 Claims, 29 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 17

----- KWIC -----

Brief Summary Text - BSTX (12):

Application of Chitin-binding Plant Proteins, especially chitinases, in the protection of plants against fungal disease has been reported, and the potential usefulness of these proteins to engineer resistance in plants has been described (for example, Pioneer Hi Bred's European Patent Application 502718). In U.S. Pat. No. 4,940,840 (DNA Plant Technology Corporation), tobacco plants expressing a **chitinase gene from the bacterium *Serratia marcescens*** appear to be less sensitive to the fungus *Alternaria longipes*. European Patent Application Number 418695 (Ciba Geigy) describes the use of regulatory DNA sequences from tobacco chitinase gene to drive expression of introduced genes producing **transgenic** plants with improved resistance to pathogens. Patent Application Number WO9007001 (Du Pont de Nemours Company) describes production of **transgenic** plants which over-express a chitinase gene giving improved resistance to fungal pathogens.

	L #	Hits	Search Text	DBs	Time Stamp
1	L1	1607	chitinase\$1	USPAT; US-PGPUB	2003/04/25 10:35
2	L2	13553	barley	USPAT; US-PGPUB	2003/04/25 10:35
3	L3	2061	glucanase\$1	USPAT; US-PGPUB	2003/04/25 10:39
4	L4	14515 5	psi or protein adj synthesis adj inhibit\$8	USPAT; US-PGPUB	2003/04/25 10:40
5	L5	1545	afp or antifungal adj protein	USPAT; US-PGPUB	2003/04/25 10:45
6	L6	85	1 near6 (serratia or marcesens)	USPAT; US-PGPUB	2003/04/25 10:45
7	L7	30	1 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
8	L8	101	3 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
9	L9	13	4 near6 2	USPAT; US-PGPUB	2003/04/25 10:46
10	L10	25	5 near6 (aspergillus or giganteus)	USPAT; US-PGPUB	2003/04/25 10:47
11	L11	25	(6 and (7 or 8 or 9 or 10)) or (7 and (8 or 9 or 10)) or (8 and (9 or 10)) or (9 and 10)	USPAT; US-PGPUB	2003/04/25 11:46
12	L12	10	(6 or 7 or 8 or 9 or 10) same synerg\$	USPAT; US-PGPUB	2003/04/25 11:13
13	L13	30	(6 or 7 or 8 or 9 or 10) same transgen\$	USPAT; US-PGPUB	2003/04/25 14:19
14	L14	793	(1 same ( 3 or 4 or 5)) or (3 same (4 or 5)) or (4 same 5)	USPAT; US-PGPUB	2003/04/25 11:48
15	L15	39	14 same synerg\$	USPAT; US-PGPUB	2003/04/25 11:49
16	L16	114	14 same transgen\$	USPAT; US-PGPUB	2003/04/25 14:19

PGPUB-DOCUMENT-NUMBER: 20030026797

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030026797 A1

TITLE: Antifungal compositions

PUBLICATION-DATE: February 6, 2003

US-CL-CURRENT: 424/94.61, 424/94.63 , 504/117 , 504/129

APPL-NO: 10/ 160886

DATE FILED: May 30, 2002

RELATED-US-APPL-DATA:

child 10160886 A1 20020530

parent continuation-of 09202056 19981207 US PENDING

child 09202056 19981207 US

parent a-371-of-international PCT/EP97/03046 19970609 WO UNKNOWN

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	DOC-ID	APPL-DATE
EP	96201651.5	1996EP-96201651.5	June 7, 1996

PGPUB-DOCUMENT-NUMBER: 20020168735

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020168735 A1

TITLE: ANTIFUNGAL PROTEINS, DNA CODING THEREFORE, AND HOSTS  
INCORPORATING SAME

PUBLICATION-DATE: November 14, 2002

US-CL-CURRENT: 435/183, 435/320.1 , 435/325 , 435/69.1 , 514/12 , 530/387.1  
, 536/23.2 , 800/295

APPL-NO: 09/ 258031

DATE FILED: February 25, 1999

CONTINUED PROSECUTION APPLICATION: This is a publication of a continued  
prosecution application (CPA) filed under 37 CFR 1.53(d).

RELATED-US-APPL-DATA:

child 09258031 A1 19990225

parent continuation-of PCT/EP97/04923 19970904 US UNKNOWN

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	DOC-ID	APPL-DATE
EP	96 202 466.7	1996EP-96 202 466.7	September 4, 1996
EP	97 200 831.2	1997EP-97 200 831.2	March 19, 1997

PGPUB-DOCUMENT-NUMBER: 20020166141

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020166141 A1

TITLE: Antimicrobial peptides and methods of use

PUBLICATION-DATE: November 7, 2002

US-CL-CURRENT: 800/278, 435/320.1 , 435/410 , 536/23.2

APPL-NO: 09/ 950933

DATE FILED: September 11, 2001

RELATED-US-APPL-DATA:

non-provisional-of-provisional 60232569 20000913 US

#### CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application claims the benefit of U.S. provisional application No. 60/232,569 filed Sep. 13, 2000, all of which is herein incorporated by reference.

PGPUB-DOCUMENT-NUMBER: 20020086008

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020086008 A1

TITLE: Human chitinase, its recombinant production, its use  
for decomposing chitin, its use in therapy or  
prophylaxis against infection diseases

PUBLICATION-DATE: July 4, 2002

US-CL-CURRENT: 424/94.61, 435/200 , 435/320.1 , 435/325 , 435/69.1  
, 536/23.2

APPL-NO: 09/ 977827

DATE FILED: October 15, 2001

RELATED-US-APPL-DATA:

child 09977827 A1 20011015

parent continuation-of 09343623 19990630 US GRANTED

parent-patent 6303118 US



PGPUB-DOCUMENT-NUMBER: 20020076402

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020076402 A1

TITLE: Enzyme-based fungicidal composition

PUBLICATION-DATE: June 20, 2002

US-CL-CURRENT: 424/94.61, 424/405

APPL-NO: 09/ 843169

DATE FILED: April 26, 2001

RELATED-US-APPL-DATA:

child 09843169 A1 20010426

parent continuation-of PCT/FR99/02645 19991028 US UNKNOWN

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	DOC-ID	APPL-DATE
FR	9813530	1998FR-9813530	October 28, 1998

PGPUB-DOCUMENT-NUMBER: 20020031504

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020031504 A1

TITLE: ANTIFUNGAL COMPOSITIONS

PUBLICATION-DATE: March 14, 2002

US-CL-CURRENT: 424/94.1, 424/405

APPL-NO: 09/ 202056

DATE FILED: December 7, 1998

CONTINUED PROSECUTION APPLICATION: This is a publication of a continued prosecution application (CPA) filed under 37 CFR 1.53(d).

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	DOC-ID	APPL-DATE
EP	96201651.5	1996EP-96201651.5	June 7, 1996

PCT-DATA:

APPL-NO: PCT/EP97/03046

DATE-FILED: Jun 9, 1997

PUB-NO:

PUB-DATE:

371-DATE:

102(E)-DATE:

PGPUB-DOCUMENT-NUMBER: 20010020300

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20010020300 A1

TITLE: TRANSGENIC PATHOGEN-RESISTANT ORGANISM

PUBLICATION-DATE: September 6, 2001

US-CL-CURRENT: 800/279

APPL-NO: 09/ 138873

DATE FILED: August 24, 1998

CONTINUED PROSECUTION APPLICATION: CPA

RELATED-US-APPL-DATA:

child 09138873 A1 19980824

parent division-of 08812025 19970306 US GRANTED

parent-patent 5804184 US

child 08812025 19970306 US

parent division-of 08457797 19950601 US GRANTED

parent-patent 5689045 US

child 08457797 19950601 US

parent continuation-of 08134416 19931008 US ABANDONED

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	DOC-ID	APPL-DATE
DE	P 42 34 131.0	1992DE-P 42 34 131.0	October 9, 1992

US-PAT-NO: 6521435

DOCUMENT-IDENTIFIER: US 6521435 B1

TITLE: Nucleic acid sequences encoding cell wall-degrading  
enzymes and use to engineer resistance to Fusarium and  
other pathogens

DATE-ISSUED: February 18, 2003

US-CL-CURRENT: 435/206, 435/183 , 435/200 , 435/252.3 , 435/320.1 , 435/419  
, 435/468 , 435/69.1 , 536/23.2 , 800/295 , 800/298  
, 800/320.3

APPL-NO: 09/ 649747

DATE FILED: August 28, 2000

PARENT-CASE:

#### CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Applications Nos. 60/224,946, filed Aug. 11, 2000 and 60/151,582, filed Aug. 30, 1999. The disclosure of each of said provisional application is incorporated herein by reference in its entirety.

US-PAT-NO: 6495737

DOCUMENT-IDENTIFIER: US 6495737 B1

TITLE: Methods and compositions for improving salicylic  
acid-independent systemic acquired disease resistance in  
plants

DATE-ISSUED: December 17, 2002

US-CL-CURRENT: 800/279, 435/69.1 , 536/23.6 , 536/24.1 , 800/278

APPL-NO: 08/ 909125

DATE FILED: August 11, 1997

PARENT-CASE:

#### CROSS REFERENCE TO RELATED APPLICATION

This application claims priority to U.S. Provisional application Ser. No.  
60/024,033, filed Aug. 12, 1996.

US-PAT-NO: 6419922

DOCUMENT-IDENTIFIER: US 6419922 B1

TITLE: Candida saitoana compositions for biocontrol of plant  
postharvest decay

DATE-ISSUED: July 16, 2002

US-CL-CURRENT: 424/93.51, 424/94.6

APPL-NO: 09/ 324525

DATE FILED: June 2, 1999

PARENT-CASE:

This application claims priority from U.S. Provisional Application Ser.  
No. 60/088,300 which was filed on Jun. 5, 1998, now abandoned.

US-PAT-NO: 6310091

DOCUMENT-IDENTIFIER: US 6310091 B1

TITLE: Fungicidal saponin, CAY-1, and isolation thereof from  
Capsium species fruit

DATE-ISSUED: October 30, 2001

US-CL-CURRENT: 514/462, 514/451 , 514/452 , 514/468 , 549/343 , 549/344

APPL-NO: 09/ 661757

DATE FILED: September 14, 2000

US-PAT-NO: 6303118

DOCUMENT-IDENTIFIER: US 6303118 B1

**\*\*See image for Certificate of Correction\*\***

TITLE: Human chitinase, its recombinant production, its use for  
decomposing chitin, its use in therapy or prophylaxis  
against infection diseases

DATE-ISSUED: October 16, 2001

US-CL-CURRENT: 424/94.61, 435/209 , 536/23.2

APPL-NO: 09/ 343623

DATE FILED: June 30, 1999

PARENT-CASE:

This application is a divisional application of U.S. Ser. No. 08/486,839  
filed on Jun. 7, 1995, issued as U.S. Pat. No. 5,928,928.



US-PAT-NO: 6291647

DOCUMENT-IDENTIFIER: US 6291647 B1

\*\*See image for Certificate of Correction\*\*

TITLE: Antifungal proteins, DNA coding therefor, and hosts  
incorporating same

DATE-ISSUED: September 18, 2001

US-CL-CURRENT: 530/370, 435/418 , 435/419 , 530/300 , 530/350

APPL-NO: 08/ 687580

DATE FILED: November 20, 1996

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
NL	94200321	February 9, 1994

PCT-DATA:

APPL-NO: PCT/EP95/00488

DATE-FILED: February 9, 1995

PUB-NO: WO95/21929

PUB-DATE: Aug 17, 1995

371-DATE: Nov 20, 1996

102(E)-DATE: Nov 20, 1996

US-PAT-NO: 6271438

DOCUMENT-IDENTIFIER: US 6271438 B1

\*\*See image for Certificate of Correction\*\*

TITLE: Transgenic pathogen-resistant plant

DATE-ISSUED: August 7, 2001

US-CL-CURRENT: 800/279, 424/94.2, 424/94.61, 435/200, 435/209, 435/320.1  
, 435/69.1, 514/12, 536/23.2, 800/301

APPL-NO: 09/ 138873

DATE FILED: August 24, 1998

PARENT-CASE:

This application is a divisional of prior application No. 08/812,025 filed Mar. 6, 1997, now U.S. Pat. No. 5,804,184, which, in turn, is a divisional of prior application No. 08/457,797, filed Jun. 1, 1995, now U.S. Pat. No. 5,689,045, which is a continuation of prior application No. 08/134,416, filed Oct. 6, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	42 34 131	October 9, 1992

US-PAT-NO: 6221406

DOCUMENT-IDENTIFIER: US 6221406 B1

\*\*See image for Certificate of Correction\*\*

TITLE: Enzyme pre-granules for granular fodder

DATE-ISSUED: April 24, 2001

US-CL-CURRENT: 426/63, 426/453 , 426/454 , 426/461 , 426/463

APPL-NO: 09/ 180617

DATE FILED: February 25, 1999

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	196 19 219	May 13, 1996

PCT-DATA:

APPL-NO: PCT/EP97/02306

DATE-FILED: May 6, 1997

PUB-NO: WO97/42837

PUB-DATE: Nov 20, 1997

371-DATE: Feb 25, 1999

102(E)-DATE:Feb 25, 1999

US-PAT-NO: 6087560

DOCUMENT-IDENTIFIER: US 6087560 A

TITLE: Transgenic fungal resistant plants expressing chitinase  
and glucanase, process for obtaining, and recombinant  
polynucleotides for uses therein

DATE-ISSUED: July 11, 2000

US-CL-CURRENT: 800/301, 435/252.3, 435/320.1, 800/279, 800/305, 800/306  
, 800/309, 800/312, 800/313, 800/315, 800/316, 800/317  
, 800/317.1, 800/317.2, 800/317.3, 800/317.4, 800/320  
, 800/320.1, 800/320.2, 800/320.3, 800/321

APPL-NO: 08/ 801563

DATE FILED: February 18, 1997

PARENT-CASE:

This application is a continuation of U.S. Ser. No. 08/047,413 filed Apr. 19, 1993, U.S. Pat. No. 5,670,706, which is a continuation-in-part of U.S. Ser. No. 07/647,831 filed Jan. 29, 1991, abandoned, the disclosures of which are hereby incorporated herein by reference.

US-PAT-NO: 6066491

DOCUMENT-IDENTIFIER: US 6066491 A

TITLE: Process for obtaining fungal resistant plants with  
recombinant polynucleotides encoding .beta.-1,3-glucanase  
modified for apoplast targeting

DATE-ISSUED: May 23, 2000

US-CL-CURRENT: 435/252.3, 435/252.2 , 435/320.1

APPL-NO: 08/ 229050

DATE FILED: April 18, 1994

PARENT-CASE:

This application is a continuation of application Ser. No. 07/647,831,  
filed Jan. 29, 1991, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
NL	9000222	January 30, 1990

US-PAT-NO: 6057142

DOCUMENT-IDENTIFIER: US 6057142 A

**\*\*See image for Certificate of Correction\*\***

TITLE: Human chitinase, its recombinant production, its use for  
decomposing chitin, its use in therapy or prophylaxis  
against infection diseases

DATE-ISSUED: May 2, 2000

US-CL-CURRENT: 435/209, 435/252.3 , 435/320.1 , 435/325 , 536/23.2

APPL-NO: 09/ 151011

DATE FILED: September 10, 1998

PARENT-CASE:

This is a division application of application Ser. No. 08/486,839 filed  
Jun. 17, 1995 now U.S. Pat. No. 5,928,928.

US-PAT-NO: 5994625

DOCUMENT-IDENTIFIER: US 5994625 A

TITLE: Antifungal chitin binding proteins and DNA coding  
therefor

DATE-ISSUED: November 30, 1999

US-CL-CURRENT: 800/279, 435/200, 435/209, 435/252.2, 435/320.1, 435/418  
, 435/419, 435/421, 435/468, 435/469, 435/69.1, 536/23.6  
, 800/265, 800/268, 800/294, 800/298, 800/301

APPL-NO: 08/ 935886

DATE FILED: September 23, 1997

PARENT-CASE:

This application is a continuation of application(s) Ser. No. 08/411,640  
filed on Apr. 5, 1995, now abandoned, which is International Application  
PCT/EP93/02790 filed on Oct. 5, 1993 and which designated the U.S.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
EP	92203071	October 5, 1992
EP	93201370	May 13, 1993

US-PAT-NO: 5993808

DOCUMENT-IDENTIFIER: US 5993808 A

TITLE: Chitinase, DNA coding therefor and plants containing  
same

DATE-ISSUED: November 30, 1999

US-CL-CURRENT: 424/94.61, 435/200 , 435/209

APPL-NO: 08/ 591629

DATE FILED: February 15, 1996

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
EP	93202425	August 17, 1993

PCT-DATA:

APPL-NO: PCT/EP94/02761

DATE-FILED: August 17, 1994

PUB-NO: WO95/05467

PUB-DATE: Feb 23, 1995

371-DATE: Feb 15, 1996

102(E)-DATE:Feb 15, 1996



US-PAT-NO: 5981844

DOCUMENT-IDENTIFIER: US 5981844 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: November 9, 1999

US-CL-CURRENT: 800/301, 435/320.1 , 435/419 , 800/279

APPL-NO: 08/ 994418

DATE FILED: December 19, 1997

PARENT-CASE:

This Application is a Continuation of U.S. application Ser. No. 08/456,430 filed Jun. 1, 1995, now U.S. Pat. No. 5,703,044, which is a division of Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a Continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a Continuation in-Part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned. Such applications are herein incorporated by reference.

US-PAT-NO: 5928928

DOCUMENT-IDENTIFIER: US 5928928 A

TITLE: Human chitinase, its recombinant production, its use for  
decomposing chitin, its use in therapy or prophylaxis  
against infection diseases

DATE-ISSUED: July 27, 1999

US-CL-CURRENT: 435/201, 435/183 , 530/350 , 536/23.1 , 536/24.3

APPL-NO: 08/ 486839

DATE FILED: June 7, 1995

US-PAT-NO: 5874626

DOCUMENT-IDENTIFIER: US 5874626 A

**\*\*See image for Certificate of Correction\*\***

TITLE: Osmotin gene promoter and use thereof

DATE-ISSUED: February 23, 1999

US-CL-CURRENT: 800/279, 435/252.3 , 435/419 , 435/468 , 536/24.1 , 800/278  
, 800/287 , 800/301 , 800/317.3

APPL-NO: 08/ 180428

DATE FILED: January 12, 1994

PARENT-CASE:

REFERENCE TO RELATED APPLICATION

This is a continuation-in-part of U.S. patent application Ser. No.  
08/065,147, filed May 20, 1993, now abandoned.

US-PAT-NO: 5804184

DOCUMENT-IDENTIFIER: US 5804184 A

TITLE: Transgenic pathogen-resistant organism

DATE-ISSUED: September 8, 1998

US-CL-CURRENT: 424/94.61, 424/94.2 , 435/200 , 435/209 , 514/12

APPL-NO: 08/ 812025

DATE FILED: March 6, 1997

PARENT-CASE:

This is a divisional of application No. 08/457,797, filed on Jun. 1, 1995, now U.S. Pat. No. 5,689,045, which is a continuation of Ser. No. 08/134,416, filed on Oct. 8, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	42 34 131.0	October 9, 1992

US-PAT-NO: 5801028

DOCUMENT-IDENTIFIER: US 5801028 A

**\*\*See image for Certificate of Correction\*\***

TITLE: Osmotin gene promoter and use thereof

DATE-ISSUED: September 1, 1998

US-CL-CURRENT: 800/279, 435/200 , 435/320.1 , 435/419 , 536/23.6 , 536/24.5

APPL-NO: 08/ 482037

DATE FILED: June 7, 1995

PARENT-CASE:

#### REFERENCE TO RELATED APPLICATION

This application is a division of application Ser. No. 08/180,428, filed Jan. 12, 1994, abandoned, which is a continuation-in-part of U.S. patent application Ser. No. 08/065,147, filed May 20, 1993, now pending.

US-PAT-NO: 5703044

DOCUMENT-IDENTIFIER: US 5703044 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: December 30, 1997

US-CL-CURRENT: 514/12, 514/2 , 514/8 , 530/372 , 530/376

APPL-NO: 08/ 456430

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional of application Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-PAT-NO: 5702605

DOCUMENT-IDENTIFIER: US 5702605 A

TITLE: Slime hydrolase producing bacterium and process for  
producing slime hydrolase

DATE-ISSUED: December 30, 1997

US-CL-CURRENT: 210/632, 162/161 , 210/764 , 422/28 , 435/183 , 435/252.1  
, 435/264 , 435/267 , 435/822

APPL-NO: 08/ 776396

DATE FILED: January 28, 1997

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
JP	6-200083	August 1, 1994

PCT-DATA:

APPL-NO: PCT/JP95/01513

DATE-FILED: July 31, 1995

PUB-NO: WO96/04370

PUB-DATE: Feb 15, 1996

371-DATE: Jan 28, 1997

102(E)-DATE: Jan 28, 1997

US-PAT-NO: 5695939

DOCUMENT-IDENTIFIER: US 5695939 A

TITLE: Plant defense genes and plant defense regulatory  
elements

DATE-ISSUED: December 9, 1997

US-CL-CURRENT: 435/6, 536/23.2 , 536/24.3

APPL-NO: 08/ 379259

DATE FILED: January 27, 1995

PARENT-CASE:

This application is a divisional application of U.S. Ser. No. 07/704,288,  
filed May 22, 1991, now U.S. Pat. No. 5,399,680, the entire contents of which  
is hereby incorporated by reference herein.



US-PAT-NO: 5689045

DOCUMENT-IDENTIFIER: US 5689045 A

\*\*See image for Certificate of Correction\*\*

TITLE: Transgenic pathogen-resistant plant

DATE-ISSUED: November 18, 1997

US-CL-CURRENT: 800/265, 435/200 , 435/209 , 435/320.1 , 435/69.1 , 435/70.1  
 , 47/DIG.1 , 536/23.2 , 536/23.6 , 536/23.7 , 800/279  
 , 800/301

APPL-NO: 08/ 457797

DATE FILED: June 1, 1995

PARENT-CASE:

This application is a continuation of application Ser. No. 08/134,416,  
filed on Oct. 8, 1993, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
DE	42 34 131.0	October 9, 1992

US-PAT-NO: 5677175

DOCUMENT-IDENTIFIER: US 5677175 A

TITLE: Plant pathogen induced proteins

DATE-ISSUED: October 14, 1997

US-CL-CURRENT: 800/301, 435/320.1 , 435/410 , 435/419 , 435/69.1

APPL-NO: 08/ 728956

DATE FILED: October 11, 1996

PARENT-CASE:

This is a continuation-in-part application of U.S. application Ser. No. 60/005,362, filed Oct. 13, 1995, abandoned.

US-PAT-NO: 5670706

DOCUMENT-IDENTIFIER: US 5670706 A

TITLE: Fungal resistant plants, process for obtaining fungal  
resistant plants and recombinant polynucleotides for use  
therein

DATE-ISSUED: September 23, 1997

US-CL-CURRENT: 800/279, 435/252.3 , 435/320.1 , 800/294 , 800/301  
, 800/317.4

APPL-NO: 08/ 047413

DATE FILED: April 19, 1993

PARENT-CASE:

This application is a continuation-in-part of U.S. Ser. No. 07/647,831  
filed 29 Jan. 1991, now abandoned.

US-PAT-NO: 5608151

DOCUMENT-IDENTIFIER: US 5608151 A

TITLE: Anti-microbial proteins

DATE-ISSUED: March 4, 1997

US-CL-CURRENT: 800/298, 435/252.3 , 435/69.1 , 536/23.6 , 800/301  
, 800/320.1

APPL-NO: 08/ 420526

DATE FILED: April 12, 1995

PARENT-CASE:

This is a divisional of application Ser. No. 08/209,923, filed on Feb. 22, 1994, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
GB	9303725	February 24, 1993

US-PAT-NO: 5607919

DOCUMENT-IDENTIFIER: US 5607919 A

TITLE: Anti-microbial proteins

DATE-ISSUED: March 4, 1997

US-CL-CURRENT: 514/12, 530/370

APPL-NO: 08/ 543238

DATE FILED: October 13, 1995

PARENT-CASE:

This is a continuation of application Ser. No. 08/209,923, filed on Feb. 22, 1994, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
GB	9303725	February 24, 1993

US-PAT-NO: 5559034

DOCUMENT-IDENTIFIER: US 5559034 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: September 24, 1996

US-CL-CURRENT: 435/320.1, 435/252.3, 435/69.1, 514/12, 514/2, 514/8  
, 530/372, 530/376, 536/22.1, 536/23.1, 536/23.6

APPL-NO: 08/ 457552

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional application of Ser. No. 08/178,708, filed Jan. 10, 1994, which is a continuation-in-part of Ser. No. 07,505,781, filed Apr. 6, 1990, now abandoned which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-PAT-NO: 5521153

DOCUMENT-IDENTIFIER: US 5521153 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: May 28, 1996

US-CL-CURRENT: 514/2, 514/12, 514/8, 530/372, 530/376

APPL-NO: 08/ 178708

DATE FILED: January 10, 1994

PARENT-CASE:

This Application is a continuation-in-part application of U.S. application Ser. No. 07/505,781, filed Apr. 6, 1990, which is a continuation-in-part Application of U.S. application Ser. No. 07/104,755 filed Oct. 2, 1987, both now abandoned. Such applications are herein incorporated by reference .

US-PAT-NO: 5399680

DOCUMENT-IDENTIFIER: US 5399680 A

TITLE: Rice chitinase promoter

DATE-ISSUED: March 21, 1995

US-CL-CURRENT: 536/24.1, 435/418 , 435/419 , 435/69.1 , 435/91.3

APPL-NO: 07/ 704288

DATE FILED: May 22, 1991



US-PAT-NO: 4032663

DOCUMENT-IDENTIFIER: US 4032663 A

TITLE: Process for using cell wall-lysing enzymes

DATE-ISSUED: June 28, 1977

US-CL-CURRENT: 426/51, 426/61 , 435/200 , 435/201 , 435/203 , 435/209  
 , 435/223 , 435/839 , 435/853 , 435/911 , 435/917 , 435/921  
 , 435/922 , 435/923 , 435/933 , 435/942

APPL-NO: 05/ 675448

DATE FILED: April 9, 1976

PARENT-CASE:

This is a division of application Ser. No. 522,304, filed Nov. 8, 1974,  
now U.S. Pat. No. 3,969,189, issued July 13, 1976, which in turn is a  
continuation of application Ser. No. 314,933 filed Dec. 14, 1972, now U.S.  
Pat. No. 3,890,198.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
JA	46-100700	December 14, 1971
JA	47-9486	January 27, 1972

US-PAT-NO: 3969189

DOCUMENT-IDENTIFIER: US 3969189 A

TITLE: Cell wall-lysing complex enzymes and a process for the  
production thereof

DATE-ISSUED: July 13, 1976

US-CL-CURRENT: 435/206, 426/51 , 435/200 , 435/209 , 435/267 , 435/911

DISCLAIMER DATE: 19920617

APPL-NO: 05/ 522304

DATE FILED: November 8, 1974

PARENT-CASE:

This is a continuation of application Ser. No. 314,933, filed Dec. 14,  
1972, now U.S. Pat. No. 3,890,198.

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
JA	46-100700	December 14, 1971
JA	47-9486	January 27, 1972

US-PAT-NO: 3890198

DOCUMENT-IDENTIFIER: US 3890198 A

TITLE: Cell wall-lysing complex enzymes and a process for the  
production thereof

DATE-ISSUED: June 17, 1975

US-CL-CURRENT: 435/206, 435/259 , 435/814 , 435/816 , 435/911

APPL-NO: 05/ 314933

DATE FILED: December 14, 1972

FOREIGN-APPL-PRIORITY-DATA:		
COUNTRY	APPL-NO	APPL-DATE
JA	46-100700	December 14, 1971
JA	47-9486	January 27, 1972

US-PAT-NO: 6087560

DOCUMENT-IDENTIFIER: US 6087560 A

TITLE: Transgenic fungal resistant plants expressing chitinase  
and glucanase, process for obtaining, and recombinant  
polynucleotides for uses therein

DATE-ISSUED: July 11, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	
Cornelissen; Bernardus J. C.	Warmond		N/A	N/A	NL
Melchers; Leo Sjoerd	Leiden	N/A	N/A	NL	
Meulenhoff; Elisabeth J. S.	Amsterdam		N/A	N/A	NL
van Roekel; Jeroen S. C.	Amsterdam		N/A	N/A	NL
Sela-Burlage; Marianne	Amersfoort		N/A	N/A	NL
Beatrix	Leiden	N/A	N/A	NL	
Vloemans; Alexandra Aleida	Lafayette		IN	N/A	N/A
Woloshuk; Charles Peter	Oegstgeest		N/A	N/A	NL
Bol; John Ferdinand	Leiden	N/A	N/A	NL	
Linthorst; Hubertus J. M.					

APPL-NO: 08/ 801563

DATE FILED: February 18, 1997

PARENT-CASE:

This application is a continuation of U.S. Ser. No. 08/047,413 filed Apr. 19, 1993, U.S. Pat. No. 5,670,706, which is a continuation-in-part of U.S. Ser. No. 07/647,831 filed Jan. 29, 1991, abandoned, the disclosures of which are hereby incorporated herein by reference.

US-CL-CURRENT: 800/301, 435/252.3, 435/320.1, 800/279, 800/305, 800/306  
, 800/309, 800/312, 800/313, 800/315, 800/316, 800/317  
, 800/317.1, 800/317.2, 800/317.3, 800/317.4, 800/320  
, 800/320.1, 800/320.2, 800/320.3, 800/321

ABSTRACT:

Plants are provided with improved resistance against pathogenic fungi. They are genetically transformed with one or more polynucleotides which essentially comprise one or more genes encoding plant chitinases and .beta.-1,3-glucanases. Preferred are the intracellular forms of the said hydrolytic enzymes, especially preferred are those forms which are targeted to the apoplastic space of the plant by virtue of the modification of the genes encoding the said enzymes. Particularly preferred are plants exhibiting a relative overexpression of at least one gene encoding a chitinase and one gene encoding a .beta.-1,3-glucanase.

25 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 12

----- KWIC -----

Brief Summary Text - BSTX (19):

More recently a purified endo-.beta.-1,3-glucanase from tomato in combination with an exo-.beta.-1,3-glucanase of fungal origin were shown to be capable of hydrolysing isolated cell walls of the fungus *Verticillium albo-atrum*. Each of the preparations separately did not have activity (Young & Pegg, 1982). A purified .beta.-1,3-glucanase from soybean (Keen & Yoshikawa, 1983), as well as a purified chitinase from bean (Boller et al., 1983) have also been shown to be capable of degrading isolated cell walls of fungi in vitro. When pea chitinase and .beta.-1,3-glucanase were tested on isolated cell walls of *Fusarium solani*, both appeared to be active; in combination they appeared to work synergistically (Mauch et al., 1988b).

Detailed Description Text - DETX (127):

**Synergistic Effect of Glucanase on Antifungal Activity of Chitinase**

Detailed Description Text - DETX (128):

Samsun NN tobacco plants were transformed with pMOG512 to constitutively express the modified intracellular glucanase gene(line 1); with pMOG512+pMOG289 to constitutively express the modified intracellular chitinase gene and the modified intracellular glucanase gene (line 2) and with pMOG189 to express the modified intracellular chitinase gene (line 3; see example 10). The plant lines were selected for high levels of expression of each chimeric gene. From each of the selected lines extracellular fluid (EF) (Parent & Asselin, 1984) and total leaf protein extracts (TE) (Kaufmann et al., 1987) were prepared. Initial dilutions were made of EF and TE of lines 2 and 3 to contain a chitinase activity of approximately 2000 cpm (see example 2). The initial dilutions of EF and TE of line 1 were equal to those of line 3. Subsequently, dilution series were made of the initial dilutions and these were tested for antifungal activity. No difference was found in antifungal activity between dilution series of EF and of TE. Moreover the highest antifungal activity was found in the (diluted) extracts of line 2. Apparently, the apoplast-targeted intracellular glucanase has a synergistic effect on the antifungal activity of the apoplast-targeted intracellular chitinase.

Claims Text - CLTX (11):

11. A plant transformed with a first expression system encoding a plant extracellular chitinase and a second expression system encoding a plant .beta.-1,3-glucanase, wherein expression of said chitinase and said .beta.-1,3-glucanase in said plant results in a synergistic antifungal effect.

Claims Text - CLTX (12):

12. A plant transformed with a first expression system encoding a plant intracellular chitinase and a second expression system encoding a plant extracellular .beta.-1,3-glucanase, wherein expression of said chitinase and said .beta.-1,3-glucanase in said plant results in a synergistic antifungal effect.

Claims Text - CLTX (17):

17. A recombinant polynucleotide for overexpression of a first gene encoding a plant extracellular chitinase and a second gene encoding a plant .beta.-1,3-glucanase, wherein said plant extracellular chitinase and said plant .beta.-1,3-glucanase, when produced in combination, exhibit a synergistic antifungal effect in said plant, which polynucleotide comprises in operable linkage:

US-PAT-NO: 6066491

DOCUMENT-IDENTIFIER: US 6066491 A

TITLE: Process for obtaining fungal resistant plants with  
recombinant polynucleotides encoding .beta.-1,3-glucanase  
modified for apoplast targeting

DATE-ISSUED: May 23, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Cornelissen; Bernardus	Warmond	N/A	N/A	NL
Johannes Clemens	Leiden	N/A	N/A	NL
Melchers; Leo Sjoerd				

APPL-NO: 08/ 229050

DATE FILED: April 18, 1994

PARENT-CASE:

This application is a continuation of application Ser. No. 07/647,831,  
filed Jan. 29, 1991, now abandoned.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
NL	9000222	January 30, 1990

US-CL-CURRENT: 435/252.3, 435/252.2 , 435/320.1

ABSTRACT:

Plants are provided with improved resistance against pathogenic fungi. They are genetically transformed with one or more polynucleotides which essentially comprise one or more genes encoding plant and .beta.-1,3-glucanases. Preferred are the intracellular forms of the said hydrolytic enzymes, especially preferred are those forms which are targeted to the apoplastic space of the plant by virtue of the modification of the genes encoding the said enzymes. Particularly preferred are plants exhibiting a relative overexpression of at least one gene encoding a .beta.-1,3-glucanase.

7 Claims, 14 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 11

----- KWIC -----

#### Brief Summary Text - BSTX (18):

More recently a purified endo-.beta.-1,3-**glucanase** from tomato in combination with an exo-.beta.-1,3-**glucanase** of fungal origin were shown to be capable of hydrolysing isolated cell walls of the fungus *Verticillium albo-atrum*. Each of the preparations separately did not have activity (Young & Pegg, 1982). A purified .beta.-1,3-**glucanase** from soybean (Keen & Yoshikawa, 1983), as well as a purified **chitinase** from bean (Boller et al., 1983) have also been shown to be capable of degrading isolated cell walls of fungi in vitro. When pea **chitinase** and .beta.-1,3-**glucanase** were tested on isolated cell walls of *Fusarium solani*, both appeared to be active; in combination they appeared to work **synergistically** (Mauch et al., 1988b).

#### Detailed Description Text - DETX (123):

##### **Synergistic** Effect of **Glucanase** on Antifungal Activity of **Chitinase**

#### Detailed Description Text - DETX (124):

Samsun NN tobacco plants were transformed with pMOG512 to constitutively express the modified intracellular **glucanase** gene(line 1); with pMOG512+pMOG289 to constitutively express the modified intracellular **chitinase** gene and the modified intracellular **glucanase** gene (line 2) and with pMOG189 to express the modified intracellular **chitinase** gene (line 3; see example 10). The plant lines were selected for high levels of expression of each chimeric gene. From each of the selected lines extracellular fluid (EF) (Parent & Asselin, 1984) and total leaf protein extracts (TE) (Kaufmann et al., 1987) were prepared. Initial dilutions were made of EF and TE of lines 2 and 3 to contain a **chitinase** activity of approximately 2000 cpm (see example 2). The initial dilutions of EF and TE of line 1 were equal to those of line 3. Subsequently, dilution series were made of the initial dilutions and these were tested for antifungal activity. No difference was found in antifungal activity between dilution series of EF and of TE. Moreover the highest antifungal activity was found in the (diluted) extracts of line 2. Apparently, the apoplast-targeted intracellular **glucanase** has a **synergistic** effect on the antifungal activity of the apoplast-targeted intracellular **chitinase**.



US-PAT-NO: 5994625

DOCUMENT-IDENTIFIER: US 5994625 A

TITLE: Antifungal chitin binding proteins and DNA coding therefor

DATE-ISSUED: November 30, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Melchers; Leo Sjoerd	Leiden	N/A	N/A	NL
Sela-Buurlage; Marianne	Amersfoort	N/A	N/A	NL
Beatrix	Leiden	N/A	N/A	NL
Bres-Vloemans; Alexandra	Leiden	N/A	N/A	NL
Aleida	Haarlem	N/A	N/A	NL
Ponstein; Anne Silene	Warmond	N/A	N/A	NL
Apotheker-De Groot; Marion				
Cornelissen; Bernardus				
Johannes Clemens				

APPL-NO: 08/ 935886

DATE FILED: September 23, 1997

PARENT-CASE:

This application is a continuation of application(s) Ser. No. 08/411,640 filed on Apr. 5, 1995, now abandoned, which is International Application PCT/EP93/02790 filed on Oct. 5, 1993 and which designated the U.S.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
EP	92203071	October 5, 1992
EP	93201370	May 13, 1993

US-CL-CURRENT: 800/279, 435/200, 435/209, 435/252.2, 435/320.1, 435/418, 435/419, 435/421, 435/468, 435/469, 435/69.1, 536/23.6, 800/265, 800/268, 800/294, 800/298, 800/301

ABSTRACT:

Chimeric genes encoding antifungal chitin binding proteins (antifungal CBPs) with very low chitinase activity (10% or less than that of the class-I chitinases from tobacco). Also substantially pure DNA sequences encoding antifungal CBP are provided for the obtention of transgenic plants producing antifungal CBP. Plants expressing an antifungal CBP gene, optionally in combination with a plant expressible glucanase gene, show reduced susceptibility to fungi.

31 Claims, 5 Drawing figures

Exemplary Claim Number: 1,24

Number of Drawing Sheets: 5

----- KWIC -----

**Brief Summary Text - BSTX (12):**

The present invention provides a new class of antifungal chitin binding proteins, which are characterized in that they have low chitinase activity, a molecular weight of at least 15 kDa, and a strong synergistic antifungal effect in combination with 1,3-.beta.-glucanases; the antifungal effect of these proteins is not markedly decreased by divalent cations. Preferred antifungal chitin binding proteins are those which have an estimated molecular weight of about 20 kDa using SDS-PAGE and are obtainable from tobacco. Except for the hevein domain, the CBPs according to the invention do not bear much resemblance to the class-I chitinases, as the latter not only have a dissimilar molecular weight, but also lack substantive amino acid homology with the CBPs according to the invention.

**Detailed Description Text - DETX (3):**

The new CBPs are characterized by a molecular weight in the range of 15 to 25 kDa of the mature protein, a drastic synergistic antifungal effect in combination with .beta.-1,3-glucanase and low chitinase activity (not more than 10%, more particularly not more than 5% of the class-I chitinases from tobacco as determined with the tritiated chitin method according to Molano et al., 1977, supra). A composition containing 5 .mu.g/ml tobacco CBP and 0.5 .mu.g/ml intracellular .beta.-1,3-glucanase from tobacco almost completely inhibited the growth of *Fusarium solani* and *Trichoderma viride*.

**Detailed Description Text - DETX (34):**

In European Pat. No. Application 440 304 A1 it was disclosed that simultaneous overexpression of a plant expressible glucanase gene in conjunction with an intracellular class-I chitinase from tobacco in transgenic plants results in a higher level of resistance to fungi than in plants expressing a plant expressible class-I chitinase alone. Since expression of glucanase alone does not yield resistant plants, it may be concluded that there is a synergistic effect of glucanase and intracellular class-I chitinases.

**Detailed Description Text - DETX (35):**

Both chitinases, glucanases and the new antifungal chitin binding proteins accumulate in infected plant tissues upon an incompatible pathogen-plant

interaction. Apparently, the **synergizing** effect of combinations of pathogen induced proteins is a more general phenomenon that has important consequences for the engineering of fungal resistant plants.

#### Detailed Description Text - DETX (36):

From these observations we predict, that the antifungal CBPs according to the invention will show a **synergistic** effect with many other proteins that bind to chitin or degrade chitin such as **chitinases**. Examples of **synergizing** proteins that may be used in combination with antifungal CBPs according to the invention include, but are not limited to, **.beta.-1,3-glucanases and chitinases** which are obtainable from barley (Swegle M. et al., 1989, Plant Mol. Biol. 12, 403-412; Balance G. M. et al., 1976, Can. J. Plant Sci. 56, 459-466; Hoj P. B. et al., 1988, FEBS Lett. 230, 67-71; Hoj P. B. et al., 1989, Plant Mol. Biol. 13, 31-42 1989), bean (Boller T. et al, 1983, Planta 157, 22-31; Broglie K. E. et al. 1986, Proc. Natl. Acad. Sci. USA 83, 6820-6824; Vdgeli U. et al., 1988 Planta 174, 364-372); Mauch F. & Staehelin L. A., 1989, Plant-Cell 1, 447-457); cucumber (Metraux J. P. & Boller T. (1986), Physiol. Mol. Plant Pathol. 28, 161-169); leek (Spanu P. et al., 1989, Planta 177, 447-455); maize (Nasser W. et al., 1988, Plant Mol. Biol. 11, 529-538), oat (Fink W. et al., 1988, Plant Physiol. 88, 270-275), pea (Mauch F. et al. 1984, Plant Physiol. 76, 607-611; Mauch F. et al., 1988, Plant Physiol. 87, 325-333), poplar (Parsons, T. J. et al, 1989, P.N.A.S. 86, 7895-7899), potato (Gaynor J. J. 1988, Nucl. Acids Res. 16, 5210; Kombrink E. et al. 1988, Proc. Natl. Acad. Sci. USA 85, 782-786; Laflamme D. and Roxby R., 1989, Plant Mol. Biol. 13, 249-250), tobacco (e.g. Legrand M. et al. 1987, Proc. Natl. Acad. Sci. USA 84, 6750-6754; Shinshi H. et al. 1987, Proc. Natl. Acad. Sci. USA 84, 89-93), tomato (Joosten M. H. A. & De Wit P. J. G. M. 1989, Plant Physiol. 89, 945-951), wheat (Molano J. et al., 1979, J. Biol. Chem. 254, 4901-4907), and the like.

#### Detailed Description Text - DETX (59):

The combination of 1 .mu.g CBP and 0.5 .mu.g **glucanase** causes lysis (70%) as well as a strong growth inhibiting effect on *Fusarium solani*. It is concluded that CBP has a **synergistic** antifungal effect in combination with **glucanases** as well as with class-I **chitinases**.

#### Detailed Description Text - DETX (60):

The results in table 2 indicate a **synergistic** antifungal effect of CBP and intracellular **glucanase** and at least an additive effect of CBP and class-I **chitinase** on *Alternaria radicina*. At 5 .mu.g per well, CBP has a growth inhibitory effect on *Alternaria radicina*, albeit rather weak.

#### Detailed Description Paragraph Table - DETL (1):

TABLE 1 \_\_\_\_\_ Antifungal effect of CBP

and **synergistic** antifungal effect of CBP with intracellular .beta.-1,3-**glucanase** or intracellular class-I **chitinase** on *Fusarium solani* glu-I -- 0.5 .mu.gr -- CBP chi-I -- -- 0.5 .mu.gr

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0 GI = 0 &lt;5%, GI = 1 &lt;5%, GI = 0  
 1 .mu.gr GI = 1 70%, GI = 3 &lt;5%, GI = 3 5 .mu.gr GI = 3 70%, GI = 3 &lt;5%,  
 GI = 3 5 .mu.gr (den) GI = 0 &lt;5%, GI = 0 &lt;5%, GI = 0

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Lysis is indicated by a percentage with respect to untreated control. GI: growth inhibition; a scale of 0-4 is used, 0 = no visible inhibition, 1 = weak inhibition, 2 = moderate inhibition, 3 = strong inhibition, 4 = very strong inhibition. (den) = denatured protein mixtures.

#### Claims Text - CLTX (1):

1. A chimeric gene comprising a polynucleotide sequence and a heterologous promoter, said polynucleotide sequence encoding an antifungal chitin binding protein that is obtainable from a plant, has a molecular weight in the range of 15 to 25 kDa, has a **synergistic** antifungal activity in combination with intracellular 1,3-.beta.-**glucanases**, a low **chitinase** activity, and reacts with antisera that recognize a chitin binding protein occurring naturally in tobacco, said polynucleotide sequence being under the control of the heterologous promoter, wherein said polynucleotide hybridizes under stringent conditions to the complement of a DNA sequence selected from the group consisting of SEQ ID NO: 7, SEQ ID NO: 9 and SEQ ID NO: 13.

#### Claims Text - CLTX (24):

21. A chimeric gene comprising a polynucleotide sequence and a heterologous promoter, wherein said heterologous promoter does not naturally promote expression of said polynucleotide sequence, and wherein the polynucleotide sequence encodes an antifungal chitin binding protein that is obtainable from tobacco, has a molecular weight of about 20 kDa, shows a **synergistic** antifungal activity in combination with intracellular 1,3-.beta.-**glucanase** from tobacco and has less than 5% of the **chitinase** activity of tobacco class-I **chitinase**.

#### Claims Text - CLTX (26):

23. A substantially pure polynucleotide sequence encoding an antifungal chitin binding protein from a tobacco plant, said antifungal chitin binding protein having a molecular weight in the range of 15 to 25 kDa, a **synergistic** antifungal activity in combination with intracellular 1,3-.beta.-**glucanases** and low **chitinase** activity, said antifungal chitin binding protein reacting with antisera that recognize a chitin binding protein occurring naturally in tobacco.

#### Claims Text - CLTX (28):

25. A method for reducing susceptibility of a plant or progeny of the plant

to fungi comprising (a) transforming the plant with a polynucleotide sequence that hybridizes under stringent conditions to the complement of a DNA sequence selected from the group consisting of SEQ ID NO: 7, SEQ ID NO: 9 and SEQ ID NO: 13 said polynucleotide sequence encoding an antifungal chitin binding protein that is obtainable from a plant, has a molecular weight in the range of 15 to 20 kDa, a **synergistic** antifungal activity in combination with intracellular 1,3-.beta.-**glucanases** and low **chitinase** activity, said antifungal chitin binding protein reacting with antisera that recognize a chitin binding protein occurring naturally in tobacco, and (b) selecting a transformant having a reduced susceptibility to the fungi.

US-PAT-NO: 5981844

DOCUMENT-IDENTIFIER: US 5981844 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: November 9, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrennikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 994418

DATE FILED: December 19, 1997

PARENT-CASE:

This Application is a Continuation of U.S. application Ser. No. 08/456,430 filed Jun. 1, 1995, now U.S. Pat. No. 5,703,044, which is a division of Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a Continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a Continuation in-Part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned. Such applications are herein incorporated by reference.

US-CL-CURRENT: 800/301, 435/320.1 , 435/419 , 800/279

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of Candida, Trichoderma, Neurospora and strains of the plant pathogens Fusarium, Rhizoctonia and Chaetomium. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen Candida albicans. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

5 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Brief Summary Text - BSTX (7):

Certain enzymes have also been reported to synergize the effect of antifungal agents. Lysozyme has been reported to synergize the activity of amphotericin B against *Candida albicans* and *Coccidioides immitis* (Collins and Pappagianis (1974) *Sabouraudia* 12:329-340). Natural mixtures of mycolytic enzymes of fungal origin, designated mycolases, were reported to have a synergistic effect on the activity of the antifungal drugs amphotericin B and nystatin (Davies and Pope (1978) *Nature* 273:235-6; Pope and Davies (1979) *Postgraduate Med. J.* 55:674-676). The in vitro MICs (minimum inhibitory concentrations) of these antifungal drugs were lowered about 5 to 10-fold in combinations with mycolase. In related in vivo experiments in a mouse model, fungal mycolase was reported to enhance the effectiveness of amphotericin B and nystatin against systemic infection of *C. albicans*. It was suggested that mycolase, which was suggested to be a mixture of carbohydrases, enhanced penetration of the antibiotic into fungal cells. Fungal mycolases, alone, were described as very effective at releasing protoplasts from *Aspergillus fumigatus* and *C. albicans* in vitro and were also reported to have some effect, alone, against systemic fungal infection in the mouse model system. In contrast, a prepared mixture of the carbohydrases chitinase (.beta.-1,4 N-acetyl-D-glucosaminidase) and laminarinase (.beta.-1,3(4)-glucanase), while reported to effect protoplast release from *A. fumigatus* and *C. albicans*, did not enhance the effectiveness of amphotericin B and nystatin in vivo. Recently, in similar in vitro and in vivo experiments with fungal mycolase/amphotericin B mixtures, only slight enhancement of antifungal activity by a fungal mycolase was reported (Chalkley et al. (1985) *Sabouraudia* 23:147-164). This report suggests that the difference in results compared to those reported earlier by Davies and Pope (supra) may be associated with the lower chitinase or lower .beta.-1,6-D-glucanase activities in their preparation of mycolase compared to that employed in the previous experiments. The specific enzymatic activities present in fungal mycolases have not been identified, and the specific protein or proteins in mycolase that may effect antibiotic enhancement have not been identified. Some bacterial mycolases have also been reported to effect enhancements (about 2-fold) of the activity of amphotericin B (Oranusi and Trinci (1985) *Microbios* 43:17-30). Again, no specific enzyme activity was associated with synergy.

Brief Summary Text - BSTX (16):

Zeamatin has been isolated in substantially pure form by methods described herein, as demonstrated by the absence of contaminating protein bands in conventional protein gel electrophoresis, as shown in FIG. 4. The N-terminal amino acid sequence (30 amino acids) of zeamatin is provided in Table 5 (SEQ ID NO:1). Substantially pure zeamatin displays no detectable chitinase activity,

1,3 .beta.-glucanase, protease, ribonuclease, phospholipase C, mannanase, N-.beta.-acetylhexosaminidase or ribosome-inactivating protein activity as assessed by procedures described herein or well known in the art. Substantially pure zeamatin preparations include those in which the 22 kd protein represents about 90% or more of the total protein present in the preparation. In in vitro synergy plate assays, zeamatin was found to greatly enhance the anti-Candida activity of nikkomycin X or Z up to about 100 fold, while in liquid culture assays, enhancements of up to 1000 fold were observed. Greater enhancement of anti-Candida activity of nikkomycin X or Z is observed in agar-free medium containing low concentrations of peptone and peptides. Zeamatin also displayed significant enhancement (about 10-fold) of the activity of polyoxin against *C. albicans* and also enhanced (about 3-fold) the activity of amphotericin B against this yeast.

#### Drawing Description Text - DRTX (3):

FIGS. 2A-2B show elution profiles from CM-Sephadex.TM. column purification of zeamatin from corn protein extracts. A flow rate of 1 ml/min was employed in these separations. Protein in each 6 ml fraction was quantified by measurement of absorbance at 280 nm. Bound protein was eluted with a linear salt gradient (0.01 0.2 M NaCl). Four peaks were eluted. FIG. 2A displays the quantitative results of antifungal assays, while FIG. 2B displays the quantitative results of enzyme assays across the four peaks. Absorbance at 280 nm is represented in both A and B by closed circles, solid lines. The results of hyphal extension inhibition of *T. reesei* (open circles, solid line), hyphal extension inhibition of *N. crassa* (closed squares, dashed lines) and synergistic anti-Candida activity (closed triangles, dotted line) are presented on panel A. The results of chitinase (closed triangles, dotted line), glucanase (open squares, solid line) and .beta.-N-acetyl-hexosaminidase (closed circles, dashed line) assays are presented in panel B.

#### Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and barley AFP chitinases did not inhibit growth of *Neurospora*, in contrast to corn AFP preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they synergized with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, synergized with all AFP preparations, but synergy was particularly dramatic with corn-AFP preparations. Polyoxin synergized significantly with corn and wheat AFP preparations, while modest synergy was observed with combinations of amphotericin and AFP preparations from barley and corn. In contrast, no synergy was observed with papulocandin and AFP preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-AFP preparation (Table 1) when



chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using **synergy** with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the **synergizing** activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

#### Detailed Description Text - DETX (5):

Since a significant loss in specific **synergizing** activity was observed in the conventional phenyl-Sepharose.TM. chromatography step, efforts were made to improve the purification of corn-SAFP activity. Improved purification of zeamatin was obtained by carrying out the CM-Sephadex.TM. chromatography at a slower flow rate than had been employed in previous separations and more importantly, by employing a novel phenyl-Sepharose.TM. chromatographic procedure. Slower elution in the CM-Sephadex.TM. step resulted in four distinct protein peaks (FIG. 2) rather than the three peaks observed previously (FIG. 1). **Synergistic** anti-Candida activity was found only in peak 3. Anti-Neurospora activity was also confined to peak 3, while anti-Trichoderma activity was observed in all peak fractions. All four peaks were also assayed for **chitinase, glucanase** and .beta.-N-acetylhexosaminidase activity. None of these enzyme activities coincided with the anti-Neurospora or **synergistic** anti-Candida activity of peak 3.

#### Detailed Description Text - DETX (12):

**Chitinase and glucanase** preparations from several other sources were also tested in the **synergy** assay. No **synergy** with nikkomycin was found with **chitinases** from *Serratia marcescens*, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in **glucanase** preparations from *Penicillium* or mollusk. Significant **synergy** was observed, however, with a partially purified **glucanase** preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both **chitinase and glucanase** called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the **synergizing** enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The **synergizing** activity in these preparations may be due to minor components in the mixtures.

#### Detailed Description Text - DETX (16):

The mechanism by which SAFP **synergizes** the action of polyoxins, nikkomycins and amphotericins is not known. It was thought that SAFP might act to increase penetration of the antibiotics into the target fungi. This could occur as the result of degradation or permeabilization of the fungal cell wall by SAFP. Fungal cell walls are composed of chitin, glucans with .beta.-1,3 or

.beta.-1,6- linkages and mannans with .alpha.-1,6, .alpha.-1,2 or .alpha.-1,3-linkages. It has been demonstrated, however, that zeamatin, unlike other antimycotic agents, does not have chitinase, glucanase or mannanase activity. A more probable mechanism, supported by experiments described below, is that SAFP permeabilizes the fungal cell membrane. It is suggested that SAFP lyses fungi by direct insertion of the protein into fungal membranes to form transmembrane pores. Amphiphilic polypeptides may bind to cells through a cationic region of the molecule followed by insertion of a hydrophobic domain through the lipid bilayer of the membrane. For example, zeamatin's amphiphilic nature is suggested by the protein's late elution from CM-Sephadex.TM. (a cationic property) and its retarded passage through phenyl-Sepharose.TM. (a hydrophobic property). That zeamatin acts via cell membrane permeabilization is further supported by the rapid effect of low concentrations of SAFP's on fungi, even at 0.degree. C. For example, 1 g/ml zeamatin induces hyphal rupture in less than 15 seconds at 23.degree. C. This rapid rupture suggests a non-enzymatic mechanism of action. The operability and utility of the SAFPs of the present invention are, however, not dependent upon these suggested mechanisms, and the practice of the present invention does not require characterization of the specific activity of an SAFP. Similarities in structure (similar molecular weights, similar elution behavior on chromatography and homologies in N-terminal sequence) of zeamatin, sormatin and avematin, and more importantly, their common function in synergism of anti-fungal activity, indicate that these proteins and other protein strains displaying this function represent a class of proteins (SAFPs) which act by an analogous mechanism.

#### Detailed Description Text - DETX (34):

A second procedure was found to result in improved purification of zeamatin. Ammonium sulfate fractionation of corn protein extract was performed as described above. The dialyzed 30%-55% fraction was subjected to CM-Sephadex.TM. chromatography, essentially as described above. However, the chromatography was carried out at a slower flow rate (1 ml/min), which resulted in the elution of four distinct peaks (FIG. 2). Synergistic anti-Candida activity was confined to peak 3. This peak was also found to contain growth inhibitory activity against Neurospora crassa Anti-Neurospora activity was found only in corn AFP preparations, not in AFP preparations of wheat and barley. Anti-Trichoderma activity was found in all four peaks. Chitinase, glucanase (.beta.1,3- and .beta.1,6-) and .beta.-N-acetylhexosaminidase activities were also assayed across the four peaks. Chitinase was found in all four peaks. A single peak of glucanase activity at fraction 47 and a single peak of .beta.-N-acetyl hexosaminidase at fraction 40 were detected. Anti-Neurospora and synergistic anti-Candida activity peaked at fraction 44. These antifungal activities did not coincide with any of the enzyme activities tested.

#### Detailed Description Text - DETX (36):

The three peak fractions from phenyl-Sepharose.TM. chromatography were assayed for enzyme activities and antifungal activities as shown in Table 2.

Peak 1 contained most of the chitinase activity, a small amount of glucanase activity, and most of the anti-Trichoderma activity. No anti-Neurospora or synergistic anti-Candida activity was found in peak 1. Peak 3 contained most of the glucanase activity and no other detectable enzymatic or antifungal activity. Peak 2 contained all of the anti-Neurospora and synergistic anti-Candida activity and a smaller activity against Trichoderma. Peak 2 contained no detectable chitinase activity and a very small amount of glucanase.

Detailed Description Text - DETX (56):

Zeamatin partially purified by CM-Sephadex.TM. (fraction CMS) displayed both chitinase and .beta.-1, 3 glucanase activity in addition to antifungal activity against T. reesi and N. crassa, and synergistic activity in combination with antifungal antibiotics, especially nikkomycin, against Candida albicans. Phenyl-Sepharose.TM.-purified zeamatin displayed no chitinase, mannanase or .beta.-N-acetylhexosaminidase activity, and little or no glucanase activity. Synergistic antifungal activity is not associated with the presence of chitinase or glucanase activity.

US-PAT-NO: 5874626

DOCUMENT-IDENTIFIER: US 5874626 A

\*\*See image for Certificate of Correction\*\*

TITLE: Osmotin gene promoter and use thereof

DATE-ISSUED: February 23, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Bressan; Ray	W. Lafayette	IN	N/A	N/A
Hasegawa; Paul M.	W. Lafayette	IN	N/A	N/A

APPL-NO: 08/ 180428

DATE FILED: January 12, 1994

PARENT-CASE:

REFERENCE TO RELATED APPLICATION

This is a continuation-in-part of U.S. patent application Ser. No. 08/065,147, filed May 20, 1993, now abandoned.

US-CL-CURRENT: 800/279, 435/252.3, 435/419, 435/468, 536/24.1, 800/278, 800/287, 800/301, 800/317.3

ABSTRACT:

Described are an isolated DNA fragment incorporating an osmotin gene promoter sequence, recombinant DNA incorporating a foreign structural gene under control of an osmotin gene promoter sequence, as well as methods and transformants involving the isolated DNA fragment and recombinant DNA. Also described are methods for the inhibition of fungal, insect, nematode, and viral pathogens in a plant using such recombinant DNA.

19 Claims, 10 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 9

----- KWIC -----

Detailed Description Text - DETX (14):

Thus, the present invention encompasses methods of protecting plants from

fungus infections by transforming plants with vectors comprising the osmotin promoter and a foreign structural gene encoding chitinase, glucanase or ribosome inactivating protein. The present invention also contemplates the transformation of plants with a combination of such vectors to provide a synergistic effect.

US-PAT-NO: 5801028

DOCUMENT-IDENTIFIER: US 5801028 A

**\*\*See image for Certificate of Correction\*\***

TITLE: Osmotin gene promoter and use thereof

DATE-ISSUED: September 1, 1998

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Bressan; Ray	W. Lafayette	IN	N/A	N/A
Hasegawa; Paul M.	W. Lafayette	IN	N/A	N/A

APPL-NO: 08/ 482037

DATE FILED: June 7, 1995

PARENT-CASE:

REFERENCE TO RELATED APPLICATION

This application is a division of application Ser. No. 08/180,428, filed Jan. 12, 1994, abandoned, which is a continuation-in-part of U.S. patent application Ser. No. 08/065,147, filed May 20, 1993, now pending.

US-CL-CURRENT: 800/279, 435/200 , 435/320.1 , 435/419 , 536/23.6 , 536/24.5

ABSTRACT:

Described are an isolated DNA fragment incorporating an osmotin gene promoter sequence, recombinant DNA incorporating a foreign structural gene under control of an osmotin gene promoter sequence, as well as methods and transformants involving the isolated DNA fragment and recombinant DNA. Also described are methods for the inhibition of fungal, insect, nematode, and viral pathogens in a plant using such recombinant DNA.

14 Claims, 8 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 6

----- KWIC -----

Detailed Description Text - DETX (14):

Thus, the present invention encompasses methods of protecting plants from

fungal infections by transforming plants with vectors comprising the osmotin promoter and a foreign structural gene encoding chitinase, glucanase or ribosome inactivating protein. The present invention also contemplates the transformation of plants with a combination of such vectors to provide a synergistic effect.

US-PAT-NO: 5703044

DOCUMENT-IDENTIFIER: US 5703044 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: December 30, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrennikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 456430

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional of application Ser. No. 08/178,708, filed Jan. 10, 1994, now U.S. Pat. No. 5,521,153, which is a continuation-in-part of Ser. No. 07/505,781, filed Apr. 6, 1990, now abandoned, which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-CL-CURRENT: 514/12, 514/2 , 514/8 , 530/372 , 530/376

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of *Candida*, *Trichoderma*, *Neurospora* and strains of the plant pathogens *Fusarium*, *Rhizoctonia* and *Chaetomium*. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen *Candida albicans*. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

26 Claims, 13 Drawing figures

Exemplary Claim Number: 1



Number of Drawing Sheets: 10

----- KWIC -----

Brief Summary Text - BSTX (7):

Certain enzymes have also been reported to synergize the effect of antifungal agents. Lysozyme has been reported to synergize the activity of amphotericin B against *Candida albicans* and *Coccidioides immitis* (Collins and Pappagianis (1974) *Sabouraudia* 12:329-340). Natural mixtures of mycolytic enzymes of fungal origin, designated mycolases, were reported to have a synergistic effect on the activity of the antifungal drugs amphotericin B and nystatin (Davies and Pope (1978) *Nature* 273:235-6; Pope and Davies (1979) *Postgraduate Med. J.* 55:674-676). The in vitro MICs (minimum inhibitory concentrations) of these antifungal drugs were lowered about 5 to 10-fold in combinations with mycolase. In related in vivo experiments in a mouse model, fungal mycolase was reported to enhance the effectiveness of amphotericin B and nystatin against systemic infection of *C. albicans*. It was suggested that mycolase, which was suggested to be a mixture of carbohydrases, enhanced penetration of the antibiotic into fungal cells. Fungal mycolases, alone, were described as very effective at releasing protoplasts from *Aspergillus fumigatus* and *C. albicans* in vitro and were also reported to have some effect, alone, against systemic fungal infection in the mouse model system. In contrast, a prepared mixture of the carbohydrases chitinase (.beta.-1,4 N-acetyl-D-glucosaminidase) and laminarinase (.beta.-1,3(4)-glucanase), while reported to effect protoplast release from *A. fumigatus* and *C. albicans*, did not enhance the effectiveness of amphotericin B and nystatin in vivo. Recently, in similar in vitro and in vivo experiments with fungal mycolase/amphotericin B mixtures, only slight enhancement of antifungal activity by a fungal mycolase was reported (Chalkley et al. (1985) *Sabouraudia* 23:147-164). This report suggests that the difference in results compared to those reported earlier by Davies and Pope (supra) may be associated with the lower chitinase or lower .beta.1,6-D-glucanase activities in their preparation of mycolase compared to that employed in the previous experiments. The specific enzymatic activities present in fungal mycolases have not been identified, and the specific protein or proteins in mycolase that may effect antibiotic enhancement have not been identified. Some bacterial mycolases have also been reported to effect enhancements (about 2-fold) of the activity of amphotericin B (Oranusi and Trinci (1985) *Microbios* 4-3:17-30). Again, no specific enzyme activity was associated with synergy.

Brief Summary Text - BSTX (16):

Zeamatin has been isolated in substantially pure form by methods described herein, as demonstrated by the absence of contaminating protein bands in conventional protein gel electrophoresis, as shown in FIG. 4. The N-terminal amino acid sequence (30 amino acids) of zeamatin is provided in Table 5 (SEQ ID NO:1). Substantially pure zeamatin displays no detectable chitinase activity, 1-3 .beta.-glucanase, protease, ribonuclease, phospholipase C, mannanase,

N-.beta.-acetylhexosaminidase or ribosome-inactivating protein activity as assessed by procedures described herein or well known in the art. Substantially pure zeamatin preparations include those in which the 22 kd protein represents about 90% or more of the total protein present in the preparation. In in vitro **synergy** plate assays, zeamatin was found to greatly enhance the anti-Candida activity of nikkomycin X or Z up to about 100 fold, while in liquid culture assays, enhancements of up to 1000 fold were observed. Greater enhancement of anti-Candida activity of nikkomycin X or Z is observed in agar-free medium containing low concentrations of peptone and peptides. Zeamatin also displayed significant enhancement (about 10-fold) of the activity of polyoxin against *C. albicans* and also enhanced (about 3-fold) the activity of amphotericin B against this yeast.

#### Drawing Description Text - DRTX (3):

FIG. 2 shows elution profiles from CM-Sephadex.TM. column purification of zeamatin from corn protein extracts. A flow rate of 1 ml/min was employed in these separations. Protein in each 6 ml fraction was quantified by measurement of absorbance at 280 nm. Bound protein was eluted with a linear salt gradient (0.01 0.2M NaCl). Four peaks were eluted. FIG. 2A displays the quantitative results of antifungal assays, while FIG. 2B displays the quantitative results of enzyme assays across the four peaks. Absorbance at 280 nm is represented in both A and B by closed circles, solid lines. The results of hyphal extension inhibition of *T. reesei* (open circles, solid line), hyphal extension inhibition of *N. crassa* (closed squares, dashed lines) and **synergistic** anti-Candida activity (closed triangles, dotted line) are presented on panel A. The results of **chitinase** (closed triangles, dotted fine), **glucanase** (open squares, solid line) and .beta.-N-acetyl-hexosaminidase (closed circles, dashed line) assays are presented in panel B.

#### Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and barley **AFP chitinases** did not inhibit growth of *Neurospora*, in contrast to corn **AFP** preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they **synergized** with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, **synergized with all AFP** preparations, but **synergy** was particularly dramatic with corn-**AFP** preparations. Polyoxin **synergized** significantly with corn and wheat **AFP** preparations, while modest **synergy** was observed with combinations of amphotericin and **AFP** preparations from barley and corn. In contrast, no **synergy** was observed with papulocandin and **AFP** preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-**AFP** preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple

protein peaks (FIG. 1). Using **synergy** with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the **synergizing** activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

#### Detailed Description Text - DETX (5):

Since a significant loss in specific **synergizing** activity was observed in the conventional phenyl-Sepharose.TM. chromatography step, efforts were made to improve the purification of corn-SAFP activity. Improved purification of zeamatin was obtained by carrying out the CM-Sephadex.TM. chromatography at a slower flow rate than had been employed in previous separations and more importantly, by employing a novel phenyl-Sepharose.TM. chromatographic procedure. Slower elution in the CM-Sephadex.TM. step resulted in four distinct protein peaks (FIG. 2) rather than the three peaks observed previously (FIG. 1). **Synergistic** anti-Candida activity was found only in peak 3. Anti-Neurospora activity was also confined to peak 3, while anti-Trichoderma activity was observed in all peak fractions. All four peaks were also assayed for **chitinase**, **glucanase** and .beta.-N-acetylhexosaminidase activity. None of these enzyme activities coincided with the anti-Neurospora or **synergistic** anti-Candida activity of peak 3.

#### Detailed Description Text - DETX (12):

**Chitinase and glucanase** preparations from several other sources were also tested in the **synergy** assay. No **synergy** with nikkomycin was found with **chitinases** from *Serratia marcescens*, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in **glucanase** preparations from *Penicillium* or mollusk. Significant **synergy** was observed, however, with a partially purified **glucanase** preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both **chitinase and glucanase** called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the **synergizing** enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The **synergizing** activity in these preparations may be due to minor components in the mixtures.

#### Detailed Description Text - DETX (16):

The mechanism by which SAFP **synergizes** the action of polyoxins, nikkomycins and amphotericins is not known. It was thought that SAFP might act to increase penetration of the antibiotics into the target fungi. This could occur as the result of degradation or permeabilization of the fungal cell wall by SAFP. Fungal cell walls are composed of chitin, glucans with .beta.-1,3 or .beta.-1,6-linkages and mannans with .alpha.-1,6, .alpha.-1,2 or

.alpha.-1,3-linkages. It has been demonstrated, however, that zeamatin, unlike other antimycotic agents, does not have chitinase, glucanase or mannanase activity. A more probable mechanism, supported by experiments described below, is that SAFP permeabilizes the fungal cell membrane. It is suggested that SAFP lyses fungi by direct insertion of the protein into fungal membranes to form transmembrane pores. Amphiphilic polypeptides may bind to cells through a cationic region of the molecule followed by insertion of a hydrophobic domain through the lipid bilayer of the membrane. For example, zeamatin's amphiphilic nature is suggested by the protein's late elution from CM-Sephadex.TM. (a cationic property) and its retarded passage through phenyl-Sepharose.TM. (a hydrophobic property). That zeamatin acts via cell membrane permeabilization is further supported by the rapid effect of low concentrations of SAFP's on fungi, even at 0.degree. C. For example, 1 g/ml zeamatin induces hyphal rupture in less than 15 seconds at 23.degree. C. This rapid rupture suggests a non-enzymatic mechanism of action. The operability and utility of the SAFPs of the present invention are, however, not dependent upon these suggested mechanisms, and the practice of the present invention does not require characterization of the specific activity of an SAFP. Similarities in structure (similar molecular weights, similar elution behavior on chromatography and homologies in N-terminal sequence) of zeamatin, sormatin and avematin, and more importantly, their common function in synergism of anti-fungal activity, indicate that these proteins and other protein strains displaying this function represent a class of proteins (SAFPs) which act by an analogous mechanism.

#### Detailed Description Text - DETX (34):

A second procedure was found to result in improved purification of zeamatin. Ammonium sulfate fractionation of corn protein extract was performed as described above. The dialyzed 30%-55% fraction was subjected to CM-Sephadex.TM. chromatography, essentially as described above. However, the chromatography was carried out at a slower flow rate (1 ml/min), which resulted in the elution of four distinct peaks (FIG. 2). Synergistic anti-Candida activity was confined to peak 3. This peak was also found to contain growth inhibitory activity against Neurospora crassa. Anti-Neurospora activity was found only in corn AFP preparations, not in AFP preparations of wheat and barley. Anti-Trichoderma activity was found in all four peaks. Chitinase, glucanase (.beta.1,3- and .beta.1,6-) and .beta.-N-acetylhexosaminidase activities were also assayed across the four peaks. Chitinase was found in all four peaks. A single peak of glucanase activity at fraction 47 and a single peak of .beta.-N-acetyl hexosaminidase at fraction 40 were detected. Anti-Neurospora and synergistic anti-Candida activity peaked at fraction 44. These antifungal activities did not coincide with any of the enzyme activities tested.

#### Detailed Description Text - DETX (36):

The three peak fractions from phenyl-Sepharose.TM. chromatography were assayed for enzyme activities and antifungal activities as shown in Table 2. Peak 1 contained most of the chitinase activity, a small amount of glucanase

activity, and most of the anti-Trichoderma activity. No anti-Neurospora or **synergistic** anti-Candida activity was found in peak 1. Peak 3 contained most of the **glucanase** activity and no other detectable enzymatic or antifungal activity. Peak 2 contained all of the anti-Neurospora and **synergistic** anti-Candida activity and a smaller activity against Trichoderma. Peak 2 contained no detectable **chitinase** activity and a very small amount of **glucanase**.

Detailed Description Text - DETX (56):

Zeamatin partially purified by CM-Sephadex.TM. (fraction CMS) displayed both **chitinase** and .beta.-1,3 **glucanase** activity in addition to antifungal activity against T. reesi and N. crassa, and **synergistic** activity in combination with antifungal antibiotics, especially nikkomycin, against Candida albicans. Phenyl-Sepharose.TM.-purified zeamatin displayed no **chitinase**, mannanase or .beta.-N-acetylhexosaminidase activity, and little or no **glucanase** activity. **Synergistic** antifungal activity is not associated with the presence of **chitinase or glucanase** activity.

US-PAT-NO: 5695939

DOCUMENT-IDENTIFIER: US 5695939 A

TITLE: Plant defense genes and plant defense regulatory elements

DATE-ISSUED: December 9, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Zhu; Qun	San Diego	CA	N/A	N/A
Lamb; Christopher J.	San Diego	CA	N/A	N/A

APPL-NO: 08/ 379259

DATE FILED: January 27, 1995

PARENT-CASE:

This application is a divisional application of U.S. Ser. No. 07/704,288, filed May 22, 1991, now U.S. Pat. No. 5,399,680, the entire contents of which is hereby incorporated by reference herein.

US-CL-CURRENT: 435/6, 536/23.2 , 536/24.3

ABSTRACT:

Novel chitinase gene, and its associated regulatory region, from a monocotyledon plant is described.

7 Claims, 7 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 7

----- KWIC -----

Brief Summary Text - BSTX (5):

**Chitinase** (EC 3.2.1.14) catalyzes the hydrolysis of the .beta.-1,4 linkages of the N-acetyl-D-glucosamine polymer chitin. Chitin does not occur in higher plants, but is present in the cell walls of many fungi. **Chitinase**, which exhibits complex developmental and hormonal regulation, has been found in many species of higher plants. In addition, **chitinase** activity is markedly increased by wounding, ethylene, or microbial elicitors. Furthermore, **chitinase** is involved in the hypersensitive resistance response to microbial

attack. Purified plant chitinase attacks and partially digests isolated cell walls of potentially pathogenic fungi. It is this latter enzyme activity, rather than chitin-binding lectin activity, that is responsible for the inhibition of fungal growth. Chitinase and .beta.-glucanase exhibit synergistic antifungal activity in vitro. A number of pathogenesis-related proteins (also referred to as "PR proteins") have been found to be chitinases or glucanases.

US-PAT-NO: 5670706

DOCUMENT-IDENTIFIER: US 5670706 A

TITLE: Fungal resistant plants, process for obtaining fungal  
resistant plants and recombinant polynucleotides for use  
therein

DATE-ISSUED: September 23, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	
Cornelissen; Bernardus J. C.	Warmond		N/A	N/A	NL
Melchers; Leo Sjoerd	Leiden	N/A	N/A	NL	
Meulenhoff; Elisabeth J. S.	Amsterdam		N/A	N/A	NL
van Roekel; Jeroen S. C.	Amsterdam		N/A	N/A	NL
Sela-Burlage; Marianne	Amersfoort		N/A	N/A	NL
Beatrix	Leiden	N/A	N/A	NL	
Vloemans; Alexandra Aleida	Lafayette		IN	N/A	N/A
Woloshuk; Charles Peter	Oegstgeest		N/A	N/A	NL
Bol; John Ferdinand	Leiden	N/A	N/A	NL	
Linthorst; Hubertus J. M.					

APPL-NO: 08/ 047413

DATE FILED: April 19, 1993

PARENT-CASE:

This application is a continuation-in-part of U.S. Ser. No. 07/647,831  
filed 29 Jan. 1991, now abandoned.

US-CL-CURRENT: 800/279, 435/252.3 , 435/320.1 , 800/294 , 800/301  
, 800/317.4

ABSTRACT:

Plants are provided with improved resistance against pathogenic fungi. They are genetically transformed with one or more polynucleotides which essentially comprise one or more genes encoding plant chitinases and .beta.-1,3-glucanases. Preferred are the intracellular forms of the said hydrolytic enzymes, especially preferred are those forms which are targeted to the apoplastic space of the plant by virtue of the modification of the genes encoding the said enzymes. Particularly preferred are plants exhibiting a relative overexpression of at least one gene encoding a chitinase and one gene encoding a .beta.-1,3-glucanase.

30 Claims, 16 Drawing figures

Exemplary Claim Number: 1



Number of Drawing Sheets: 12

----- KWIC -----

Brief Summary Text - BSTX (19):

More recently a purified endo-.beta.-1,3-glucanase from tomato in combination with an exo-.beta.-1,3-glucanase of fungal origin were shown to be capable of hydrolysing isolated cell walls of the fungus *Verticillium albo-atrum*. Each of the preparations separately did not have activity (Young & Pegg, 1982). A purified .beta.-1,3-glucanase from soybean (Keen & Yoshikawa, 1983), as well as a purified chitinase from bean (Boller et al., 1983) have also been shown to be capable of degrading isolated cell walls of fungi in vitro. When pea chitinase and .beta.-1,3-glucanase were tested on isolated cell walls of *Fusarium solani*, both appeared to be active; in combination they appeared to work synergistically (Mauch et al., 1988b).

Detailed Description Text - DETX (116):

Synergistic effect of glucanase on antifungal activity of chitinase

Detailed Description Text - DETX (117):

Samsun NN tobacco plants were transformed with pMOG512 to constitutively express the modified intracellular glucanase gene (line 1); with pMOG512+pMOG289 to constitutively express the modified intracellular chitinase gene and the modified intracellular glucanase gene (line 2) and with pMOG189 to express the modified intracellular chitinase gene (line 3; see example 10). The plant lines were selected for high levels of expression of each chimeric gene. From each of the selected lines extracellular fluid (EF) (Parent & Asselin, 1984) and total leaf-protein extracts (TE) (Kaufmann et al., 1987) were prepared. Initial dilutions were made of EF and TE of lines 2 and 3 to contain a chitinase activity of approximately 2000 cpm (see example 2). The initial dilutions of EF and TE of line 1 were equal to those of line 3. Subsequently, dilution series were made of the initial dilutions and these were tested for antifungal activity. No difference was found in antifungal activity between dilution series of EF and of TE. Moreover the highest antifungal activity was found in the (diluted) extracts of line 2. Apparently, the apoplast-targeted intracellular glucanase has a synergistic effect on the antifungal activity of the apoplast-targeted intracellular chitinase.

Claims Text - CLTX (13):

13. A plant comprising a recombinant plant genome which genome has been modified so as to include a first expression system for an intracellular plant chitinase and a second expression system for an intracellular plant

.beta.-1,3-**glucanase** which when expressed in said plant exhibit a **synergistic** antifungal effect.

Claims Text - CLTX (16):

16. A recombinant plant which exhibits, as a result of expression of one or more recombinant polynucleotides encoding an intracellular plant **chitinase** and a .beta.-1,3-**glucanase**, a relative overexpression of said **chitinase and glucanase** relative to a plant of the same line that does not express said one or more recombinant polynucleotides, wherein said **chitinase and glucanase** in combination exhibit a **synergistic** antifungal effect in the plant.

Claims Text - CLTX (22):

22. A recombinant polynucleotide comprising genetic information for the relative overexpression, relative to a plant of the same line that does not express said recombinant polynucleotide, of a first gene encoding an intracellular plant **chitinase** and a second gene encoding a .beta.-1,3-**glucanase** **wherein said chitinase and glucanase**, when produced in combination, exhibit a **synergistic** antipathogenic effect in said plant, which polynucleotide comprises:

US-PAT-NO: 5559034

DOCUMENT-IDENTIFIER: US 5559034 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: September 24, 1996

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrechnikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 457552

DATE FILED: June 1, 1995

PARENT-CASE:

This is a divisional application of Ser. No. 08/178,708, filed Jan. 10, 1994, which is a continuation-in-part of Ser. No. 07,505,781, filed Apr. 6, 1990, now abandoned which is a continuation-in-part of Ser. No. 07/104,755, filed Oct. 2, 1987, now abandoned.

US-CL-CURRENT: 435/320.1, 435/252.3, 435/69.1, 514/12, 514/2, 514/8  
, 530/372, 530/376, 536/22.1, 536/23.1, 536/23.6

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of Candida, Trichoderma, Neurospora and strains of the plant pathogens Fusarium, Rhizoctonia and Chaetomium. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen Candida albicans. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

2 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

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Brief Summary Text - BSTX (7):

Certain enzymes have also been reported to synergize the effect of antifungal agents. Lysozyme has been reported to synergize the activity of amphotericin B against *Candida albicans* and *Coccidioides immitis* (Collins and Pappagianis (1974) *Sabouraudia* 12:329-340). Natural mixtures of mycolytic enzymes of fungal origin, designated mycolases, were reported to have a synergistic effect on the activity of the antifungal drugs amphotericin B and nystatin (Davies and Pope (1978) *Nature* 273:235-6; Pope and Davies (1979) *Postgraduate Med. J.* 55:674-676). The in vitro MICs (minimum inhibitory concentrations) of these antifungal drugs were lowered about 5 to 10-fold in combinations with mycolase. In related in vivo experiments in a mouse model, fungal mycolase was reported to enhance the effectiveness of amphotericin B and nystatin against systemic infection of *C. albicans*. It was suggested that mycolase, which was suggested to be a mixture of carbohydrases, enhanced penetration of the antibiotic into fungal cells. Fungal mycolases, alone, were described as very effective at releasing protoplasts from *Aspergillus fumigatus* and *C. albicans* in vitro and were also reported to have some effect, alone, against systemic fungal infection in the mouse model system. In contrast, a prepared mixture of the carbohydrases chitinase (.beta.-1,4 N-acetyl-D-glucosaminidase) and laminarinase (.beta.-1,3(4)-glucanase), while reported to effect protoplast release from *A. fumigatus* and *C. albicans*, did not enhance the effectiveness of amphotericin B and nystatin in vivo. Recently, in similar in vitro and in vivo experiments with fungal mycolase/amphotericin B mixtures, only slight enhancement of antifungal activity by a fungal mycolase was reported (Chalkley et al. (1985) *Sabouraudia* 23:147-164). This report suggests that the difference in results compared to those reported earlier by Davies and Pope (supra) may be associated with the lower chitinase or lower .beta.-1,6-D-glucanase activities in their preparation of mycolase compared to that employed in the previous experiments. The specific enzymatic activities present in fungal mycolases have not been identified, and the specific protein or proteins in mycolase that may effect antibiotic enhancement have not been identified. Some bacterial mycolases have also been reported to effect enhancements (about 2-fold) of the activity of amphotericin B (Oranusi and Trinci (1985) *Microbios* 43:17-30). Again, no specific enzyme activity was associated with synergy.

Brief Summary Text - BSTX (16):

Zeamatin has been isolated in substantially pure form by methods described herein, as demonstrated by the absence of contaminating protein bands in conventional protein gel electrophoresis, as shown in FIG. 4. The N-terminal amino acid sequence (30 amino acids) of zeamatin is provided in Table 5 (SEQ. ID NO: 1). Substantially pure zeamatin displays no detectable chitinase activity, 1-3 .beta.-glucanase, protease, ribonuclease, phospholipase C,

mannanase, N-.beta.-acetylhexosaminidase or ribosome-inactivating protein activity as assessed by procedures described herein or well known in the art. Substantially pure zeamatin preparations include those in which the 22 kd protein represents about 90% or more of the total protein present in the preparation. In in vitro **synergy** plate assays, zeamatin was found to greatly enhance the anti-Candida activity of nikkomycin X or Z up to about 100 fold, while in liquid culture assays, enhancements of up to 1000 fold were observed. Greater enhancement of anti-Candida activity of nikkomycin X or Z is observed in agar-free medium containing low concentrations of peptone and peptides. Zeamatin also displayed significant enhancement (about 10-fold) of the activity of polyoxin against *C. albicans* and also enhanced (about 3-fold) the activity of amphotericin B against this yeast

#### Drawing Description Text - DRTX (3):

FIG. 2 shows elution profiles from CM-Sephadex.TM. column purification of zeamatin from corn protein extracts. A flow rate of 1 ml/min was employed in these separations. Protein in each 6 ml fraction was quantified by measurement of absorbance at 280 nm. Bound protein was eluted with a linear salt gradient (0.01 0.2M NaCl). Four peaks were eluted. FIG. 2A displays the quantitative results of antifungal assays, while FIG. 2B displays the quantitative results of enzyme assays across the four peaks. Absorbance at 280 nm is represented in both A and B by closed circles, solid lines. The results of hyphal extension inhibition of *T. reesei* (open circles, solid line), hyphal extension inhibition of *N. crassa* (closed squares, dashed lines) and **synergistic** anti-Candida activity (closed triangles, dotted line) are presented on panel A. The results of **chitinase** (closed triangles, dotted line), **glucanase** (open squares, solid line) and .beta.-N-acetyl-hexosaminidase (closed circles, dashed line) assays are presented in panel B.

#### Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and barley **AFP chitinases** did not inhibit growth of *Neurospora*, in contrast to corn **AFP** preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they **synergized** with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, **synergized with all AFP** preparations, but **synergy** was particularly dramatic with corn-**AFP** preparations. Polyoxin **synergized** significantly with corn and wheat **AFP** preparations, while modest **synergy** was observed with combinations of amphotericin and **AFP** preparations from barley and corn. In contrast, no **synergy** was observed with papulocandin and **AFP** preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-**AFP** preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple

protein peaks (FIG. 1). Using **synergy** with nikkomycin to inhibit the growth of *C. albicans* as an activity assay, the **synergizing** activity in corn-AFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

#### Detailed Description Text - DETX (5):

Since a significant loss in specific **synergizing** activity was observed in the conventional phenyl-Sepharose.TM. chromatography step, efforts were made to improve the purification of corn-SAFP activity. Improved purification of zeamatin was obtained by carrying out the CM-Sephadex.TM. chromatography at a slower flow rate than had been employed in previous separations and more importantly, by employing a novel phenyl-Sepharose.TM. chromatographic procedure. Slower elution in the CM-Sephadex.TM. step resulted in four distinct protein peaks (FIG. 2) rather than the three peaks observed previously (FIG. 1). **Synergistic** anti-Candida activity was found only in peak 3. Anti-Neurospora activity was also confined to peak 3, while anti-Trichoderma activity was observed in all peak fractions. All four peaks were also assayed for **chitinase**, **glucanase** and .beta.-N-acetylhexosaminidase activity. None of these enzyme activities coincided with the anti-Neurospora or **synergistic** anti-Candida activity of peak 3.

#### Detailed Description Text - DETX (12):

**Chitinase and glucanase** preparations from several other sources were also tested in the **synergy** assay. No **synergy** with nikkomycin was found with **chitinases** from *Serratia marcescens*, *Pseudomonas stutzeri*, or *Streptomyces griseus* or in **glucanase** preparations from *Penicillium* or mollusk. Significant **synergy** was observed, however, with a partially purified **glucanase** preparation from the fungus *Rhizopus* and in commercial bacterial (*Arthrobacter luteus*) enzyme mixture containing both **chitinase and glucanase** called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the **synergizing** enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The **synergizing** activity in these preparations may be due to minor components in the mixtures.

#### Detailed Description Text - DETX (16):

The mechanism by which SAFP **synergizes** the action of polyoxins, nikkomycins and amphotericins is not known. It was thought that SAFP might act to increase penetration of the antibiotics into the target fungi. This could occur as the result of degradation or permeabilization of the fungal cell wall by SAFP. Fungal cell walls are composed of chitin, glucans with .beta.-1,3 or .beta.-1,6- linkages and mannans with .alpha.-1,6, .alpha.-1,2 or

.alpha.-1,3-linkages. It has been demonstrated, however, that zeamatin, unlike other antimycotic agents, does not have chitinase, glucanase or mannanase activity. A more probable mechanism, supported by experiments described below, is that SAFP permeabilizes the fungal cell membrane. It is suggested that SAFP lyses fungi by direct insertion of the protein into fungal membranes to form transmembrane pores. Amphiphilic polypeptides may bind to cells through a cationic region of the molecule followed by insertion of a hydrophobic domain through the lipid bilayer of the membrane. For example, zeamatin's amphiphilic nature is suggested by the protein's late elution from CM-Sephadex.TM. (a cationic property) and its retarded passage through phenyl-Sepharose.TM. (a hydrophobic property). That zeamatin acts via cell membrane permeabilization is further supported by the rapid effect of low concentrations of SAFP's on fungi, even at 0.degree. C. For example, 1 g/ml zeamatin induces hyphal rupture in less than 15 seconds at 23.degree. C. This rapid rupture suggests a non-enzymatic mechanism of action. The operability and utility of the SAFPs of the present invention are, however, not dependent upon these suggested mechanisms, and the practice of the present invention does not require characterization of the specific activity of an SAFP. Similarities in structure (similar molecular weights, similar elution behavior on chromatography and homologies in N-terminal sequence) of zeamatin, sormatin and avematin, and more importantly, their common function in synergism of anti-fungal activity, indicate that these proteins and other protein strains displaying this function represent a class of proteins (SAFPs) which act by an analogous mechanism.

#### Detailed Description Text - DETX (34):

A second procedure was found to result in improved purification of zeamatin. Ammonium sulfate fractionation of corn protein extract was performed as described above. The dialyzed 30%-55% fraction was subjected to CM-Sephadex.TM. chromatography, essentially as described above. However, the chromatography was carried out at a slower flow rate (1 ml/min), which resulted in the elution of four distinct peaks (FIG. 2). Synergistic anti-Candida activity was confined to peak 3. This peak was also found to contain growth inhibitory activity against Neurospora crassa. Anti-Neurospora activity was found only in corn AFP preparations, not in AFP preparations of wheat and barley. Anti-Trichoderma activity was found in all four peaks. Chitinase, glucanase (.beta.1,3- and .beta.1,6-) and .beta.-N-acetylhexosaminidase activities were also assayed across the four peaks. Chitinase was found in all four peaks. A single peak of glucanase activity at fraction 47 and a single peak of .beta.-N-acetyl hexosaminidase at fraction 40 were detected. Anti-Neurospora and synergistic anti-Candida activity peaked at fraction 44. These antifungal activities did not coincide with any of the enzyme activities tested.

#### Detailed Description Text - DETX (36):

The three peak fractions from phenyl-Sepharose.TM. chromatography were assayed for enzyme activities and antifungal activities as shown in Table 2. Peak 1 contained most of the chitinase activity, a small amount of glucanase

activity, and most of the anti-Trichoderma activity. No anti-Neurospora or **synergistic** anti-Candida activity was found in peak 1. Peak 3 contained most of the **glucanase** activity and no other detectable enzymatic or antifungal activity. Peak 2 contained all of the anti-Neurospora and **synergistic** anti-Candida activity and a smaller activity against Trichoderma. Peak 2 contained no detectable **chitinase** activity and a very small amount of **glucanase**.

Detailed Description Text - DETX (56):

Zeamatin partially purified by CM-Sephadex.TM. (fraction CMS) displayed both **chitinase** and **.beta.-1, 3 glucanase** activity in addition to antifungal activity against T. reesi and N. crassa, and **synergistic** activity in combination with antifungal antibiotics, especially nikkomycin, against Candida albicans. Phenyl-Sepharose.TM.-purified zeamatin displayed no **chitinase**, mannanase or **.beta.-N-acetylhexosaminidase** activity, and little or no **glucanase** activity. **Synergistic** antifungal activity is not associated with the presence of **chitinase or glucanase** activity.



US-PAT-NO: 5521153

DOCUMENT-IDENTIFIER: US 5521153 A

TITLE: Synergistic antifungal protein and compositions  
containing same

DATE-ISSUED: May 28, 1996

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Roberts; Walden K.	Denver	CO	N/A	N/A
Selitrennikoff; Claude P.	Evergreen	CO	N/A	N/A
Laue; Bridget E.	Davis	CA	N/A	N/A
Potter; Sharon L.	Raleigh	NC	N/A	N/A

APPL-NO: 08/ 178708

DATE FILED: January 10, 1994

PARENT-CASE:

This Application is a continuation-in-part application of U.S. application Ser. No. 07/505,781, filed Apr. 6, 1990, which is a continuation-in-part Application of U.S. application Ser. No. 07/104,755 filed Oct. 2, 1987, both now abandoned. Such applications are herein incorporated by reference .

US-CL-CURRENT: 514/2, 514/12 , 514/8 , 530/372 , 530/376

ABSTRACT:

Novel plant proteins (SAFPs) which synergize the activity of antifungal antibiotics are identified. SAFP are demonstrated to synergize antifungal antibiotics, such as nikkomycins, polyoxins and amphotericins. SAFP alone also display antifungal activity against several species of fungi, including strains of Candida, Trichoderma, Neurospora and strains of the plant pathogens Fusarium, Rhizoctonia and Chaetomium. Synergistic antifungal compositions containing SAFP and antifungal antibiotics are provided. In particular, synergistic compositions of corn-SAFP (zeamatin), sorghum-SAFP (sormatin) or oat-SAFP (avematin) and nikkomycin are found to be effective as antifungal compositions, especially against the opportunistic human pathogen Candida albicans. Method for employing SAFP and synergistic compositions containing them for the inhibition of fungi are provided. In addition, a method for purifying SAFP from grain meal is provided.

15 Claims, 13 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 10

----- KWIC -----

Brief Summary Text - BSTX (7):

Certain enzymes have also been reported to synergize the effect of antifungal agents. Lysozyme has been reported to synergize the activity of amphotericin B against *Candida albicans* and *Coccidioides immitis* (Collins and Pappagianis (1974) *Sabouraudia* 12:329-340). Natural mixtures of mycolytic enzymes of fungal origin, designated mycolases, were reported to have a synergistic effect on the activity of the antifungal drugs amphotericin B and nystatin (Davies and Pope (1978) *Nature* 273:235-6; Pope and Davies (1979) *Postgraduate Med. J.* 55:674-676). The in vitro MICs (minimum inhibitory concentrations) of these antifungal drugs were lowered about 5 to 10-fold in combinations with mycolase. In related in vivo experiments in a mouse model, fungal mycolase was reported to enhance the effectiveness of amphotericin B and nystatin against systemic infection of *C. albicans*. It was suggested that mycolase, which was suggested to be a mixture of carbohydrases, enhanced penetration of the antibiotic into fungal cells. Fungal mycolases, alone, were described as very effective at releasing protoplasts from *Aspergillus fumigatus* and *C. albicans* in vitro and were also reported to have some effect, alone, against systemic fungal infection in the mouse model system. In contrast, a prepared mixture of the carbohydrases chitinase (.beta.-1,4 N-acetyl-D-glucosaminidase) and laminarinase (.beta.-1,3(4)-glucanase), while reported to effect protoplast release from *A. fumigatus* and *C. albicans*, did not enhance the effectiveness of amphotericin B and nystatin in vivo. Recently, in similar in vitro and in vivo experiments with fungal mycolase/amphotericin B mixtures, only slight enhancement of antifungal activity by a fungal mycolase was reported (Chalkley et al. (1985) *Sabouraudia* 23:147-164). This report suggests that the difference in results compared to those reported earlier by Davies and Pope (supra) may be associated with the lower chitinase or lower .beta.-1,6-D-glucanase activities in their preparation of mycolase compared to that employed in the previous experiments. The specific enzymatic activities present in fungal mycolases have not been identified, and the specific protein or proteins in mycolase that may effect antibiotic enhancement have not been identified. Some bacterial mycolases have also been reported to effect enhancements (about 2-fold) of the activity of amphotericin B (Oranusi and Trinci (1985) *Microbios* 43:17-30). Again, no specific enzyme activity was associated with synergy.

Brief Summary Text - BSTX (16):

Zeamatin has been isolated in substantially pure form by methods described herein, as demonstrated by the absence of contaminating protein bands in conventional protein gel electrophoresis, as shown in FIG. 4. The N-terminal amino acid sequence (30 amino acids) of zeamatin is provided in Table 5 [SEQ ID NO:1]. Substantially pure zeamatin displays no detectable chitinase activity, 1-3 .beta.-glucanase, protease, ribonuclease, phospholipase C, mannanase, N-.beta.-acetylhexosaminidase or ribosome-inactivating protein activity as

assessed by procedures described herein or well known in the art. Substantially pure zeamatin preparations include those in which the 22 kd protein represents about 90% or more of the total protein present in the preparation. In in vitro **synergy** plate assays, zeamatin was found to greatly enhance the anti-Candida activity of nikkomycin X or Z up to about 100 fold, while in liquid culture assays, enhancements of up to 1000 fold were observed. Greater enhancement of anti-Candida activity of nikkomycin X or Z is observed in agar-free medium containing low concentrations of peptone and peptides. Zeamatin also displayed significant enhancement (about 10-fold) of the activity of polyoxin against *C. albicans* and also enhanced (about 3-fold) the activity of amphotericin B against this yeast.

#### Drawing Description Text - DRTX (3):

FIG. 2 shows elution profiles from CM-Sephadex.TM. column purification of zeamatin from corn protein extracts. A flow rate of 1 ml/min was employed in these separations. Protein in each 6 ml fraction was quantified by measurement of absorbance at 280 nm. Bound protein was eluted with a linear salt gradient (0.01 0.2M NaCl). Four peaks were eluted. FIG. 2A displays the quantitative results of antifungal assays, while FIG. 2B displays the quantitative results of enzyme assays across the four peaks. Absorbance at 280 nm is represented in both A and B by closed circles, solid lines. The results of hyphal extension inhibition of *T. reesei* (open circles, solid line), hyphal extension inhibition of *N. crassa* (closed squares, dashed lines) and **synergistic** anti-Candida activity (closed triangles, dotted line) are presented on panel A. The results of **chitinase** (closed triangles, dotted line), **glucanase** (open squares, solid line) and .beta.-N-acetyl-hexosaminidase (closed circles, dashed line) assays are presented in panel B.

#### Detailed Description Text - DETX (4):

The present work is an extension of experiments with antifungal proteins (AFPs) which were isolated from barley, corn and wheat (Roberts and Selitrennikoff (1988) J. Gen. Microbiol. 134:169-176). These proteins inhibited growth of *Trichoderma*, *Phycomyces* and *Alternaria* and have been shown to have endochitinase activity. Wheat and barley **AFP chitinases** did not inhibit growth of *Neurospora*, in contrast to corn **AFP** preparations. Growth of the important human pathogen *Candida albicans* was found to be resistant to inhibition by the AFPs in agar plate assays. AFPs were then assessed to determine if they **synergized** with antifungal antibiotics to lower the MICs of the antibiotics. Selected results of such experiments are summarized in Table 1. Nikkomycin, a mixture of nikkomycin Z and X, **synergized with all AFP** preparations, but **synergy** was particularly dramatic with corn-**AFP** preparations. Polyoxin **synergized** significantly with corn and wheat **AFP** preparations, while modest **synergy** was observed with combinations of amphotericin and **AFP** preparations from barley and corn. In contrast, no **synergy** was observed with papulocandin and **AFP** preparations. Wheat and barley AFPs (Table 1) were purified to homogeneity. The corn-**AFP** preparation (Table 1) when chromatographed through a CM-Sephadex.TM. column was shown to contain multiple protein peaks (FIG. 1). Using **synergy** with nikkomycin to inhibit the growth of

C. albicans as an activity assay, the synergizing activity in corn-SAFP preparations was found to reside in a single protein fraction from CM-Sephadex.TM. column chromatography, see FIG. 1. Further purification of this fraction using conventional hydrophobic column chromatography with phenyl-Sepharose.TM. resulted in the isolation of an approximately 22 kd protein. The 22 kd protein which effected strong enhancement of nikkomycin activity was designated a corn-SAFP, and specifically named zeamatin.

#### Detailed Description Text - DETX (5):

Since a significant loss in specific synergizing activity was observed in the conventional phenyl-Sepharose.TM. chromatography step, efforts were made to improve the purification of corn-SAFP activity. Improved purification of zeamatin was obtained by carrying out the CM-Sephadex.TM. chromatography at a slower flow rate than had been employed in previous separations and more importantly, by employing a novel phenyl-Sepharose.TM. chromatographic procedure. Slower elution in the CM-Sephadex.TM. step resulted in four distinct protein peaks (FIG. 2) rather than the three peaks observed previously (FIG. 1). Synergistic anti-Candida activity was found only in peak 3. Anti-Neurospora activity was also confined to peak 3, while anti-Trichoderma activity was observed in all peak fractions. All four peaks were also assayed for chitinase, glucanase and .beta.-N-acetylhexosaminidase activity. None of these enzyme activities coincided with the anti-Neurospora or synergistic anti-Candida activity of peak 3.

#### Detailed Description Text - DETX (12):

Chitinase and glucanase preparations from several other sources were also tested in the synergy assay. No synergy with nikkomycin was found with chitinases from Serratia marcescens, Pseudomonas stutzeri, or Streptomyces griseus or in glucanase preparations from Penicillium or mollusk. Significant synergy was observed, however, with a partially purified glucanase preparation from the fungus Rhizopus and in commercial bacterial (Arthrobacter luteus) enzyme mixture containing both chitinase and glucanase called Zymolase (available from Sigma Chemical Co., St. Louis, Mo.). The nature of the synergizing enzymes in these preparations has not been identified, and it is not known whether they act by a mechanism that is similar to plant SAFPs. The synergizing activity in these preparations may be due to minor components in the mixtures.

#### Detailed Description Text - DETX (16):

The mechanism by which SAFP synergizes the action of polyoxins, nikkomycins and amphotericins is not known. It was thought that SAFP might act to increase penetration of the antibiotics into the target fungi. This could occur as the result of degradation or permeabilization of the fungal cell wall by SAFP. Fungal cell walls are composed of chitin, glucans with .beta.-1,3 or .beta.-1,6- linkages and mannans with .alpha.-1,6, .alpha.-1,2 or .alpha.-1,3-linkages. It has been demonstrated, however, that zeamatin, unlike

other antimycotic agents, does not have chitinase, glucanase or mannanase activity. A more probable mechanism, supported by experiments described below, is that SAFP permeabilizes the fungal cell membrane. It is suggested that SAFP lyses fungi by direct insertion of the protein into fungal membranes to form transmembrane pores. Amphiphilic polypeptides may bind to cells through a cationic region of the molecule followed by insertion of a hydrophobic domain through the lipid bilayer of the membrane. For example, zeamatin's amphiphilic nature is suggested by the protein's late elution from CM-Sephadex.TM. (a cationic property) and its retarded passage through phenyl-Sepharose.TM. (a hydrophobic property). That zeamatin acts via cell membrane permeabilization is further supported by the rapid effect of low concentrations of SAFP's on fungi, even at 0.degree. C. For example, 1 g/ml zeamatin induces hyphal rupture in less than 15 seconds at 23.degree. C. This rapid rupture suggests a non-enzymatic mechanism of action. The operability and utility of the SAFPs of the present invention are, however, not dependent upon these suggested mechanisms, and the practice of the present invention does not require characterization of the specific activity of an SAFP. Similarities in structure (similar molecular weights, similar elution behavior on chromatography and homologies in N-terminal sequence) of zeamatin, sormatin and avematin, and more importantly, their common function in synergism of anti-fungal activity, indicate that these proteins and other protein strains displaying this function represent a class of proteins (SAFPs) which act by an analogous mechanism.

#### Detailed Description Text - DETX (34):

A second procedure was found to result in improved purification of zeamatin. Ammonium sulfate fractionation of corn protein extract was performed as described above. The dialyzed 30%-55% fraction was subjected to CM-Sephadex.TM. chromatography, essentially as described above. However, the chromatography was carried out at a slower flow rate (1 ml/min), which resulted in the elution of four distinct peaks (FIG. 2). Synergistic anti-Candida activity was confined to peak 3. This peak was also found to contain growth inhibitory activity against Neurospora crassa. Anti-Neurospora activity was found only in corn AFP preparations, not in AFP preparations of wheat and barley. Anti-Trichoderma activity was found in all four peaks. Chitinase, glucanase (.beta.1,3- and .beta.1,6-) and .beta.-N-acetylhexosaminidase activities were also assayed across the four peaks. Chitinase was found in all four peaks. A single peak of glucanase activity at fraction 47 and a single peak of .beta.-N-acetyl hexosaminidase at fraction 40 were detected. Anti-Neurospora and synergistic anti-Candida activity peaked at fraction 44. These antifungal activities did not coincide with any of the enzyme activities tested.

#### Detailed Description Text - DETX (36):

The three peak fractions from phenyl-Sepharose.TM. chromatography were assayed for enzyme activities and antifungal activities as shown in Table 2. Peak 1 contained most of the chitinase activity, a small amount of glucanase activity, and most of the anti-Trichoderma activity. No anti-Neurospora or

**synergistic** anti-Candida activity was found in peak 1. Peak 3 contained most of the **glucanase** activity and no other detectable enzymatic or antifungal activity. Peak 2 contained all of the anti-Neurospora and **synergistic** anti-Candida activity and a smaller activity against Trichoderma. Peak 2 contained no detectable **chitinase** activity and a very small amount of **glucanase**.

Detailed Description Text - DETX (56):

Zeamatin partially purified by CM-Sephadex.TM. (fraction CMS) displayed both **chitinase** and .beta.-1,3 **glucanase** activity in addition to antifungal activity against T. reesi and N. crassa, and **synergistic** activity in combination with antifungal antibiotics, especially nikkomycin, against Candida albicans. Phenyl-Sepharose.TM.-purified zeamatin displayed no **chitinase**, mannanase or .beta.-N-acetylhexosaminidase activity, and little or no **glucanase** activity. **Synergistic** antifungal activity is not associated with the presence of **chitinase or glucanase** activity.

US-PAT-NO: 5399680

DOCUMENT-IDENTIFIER: US 5399680 A

TITLE: Rice chitinase promoter

DATE-ISSUED: March 21, 1995

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Zhu; Qun	San Diego	CA	N/A	N/A
Lamb; Christopher J.	San Diego	CA	N/A	N/A

APPL-NO: 07/ 704288

DATE FILED: May 22, 1991

US-CL-CURRENT: 536/24.1, 435/418 , 435/419 , 435/69.1 , 435/91.3

ABSTRACT:

Novel chitinase gene, and its associated regulatory region, from a monocotyledon plant is described.

13 Claims, 8 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 6

----- KWIC -----

Brief Summary Text - BSTX (5):

**Chitinase** (EC 3.2.1.14) catalyzes the hydrolysis of the .beta.-1,4 linkages of the N-acetyl-D-glucosamine polymer chitin. Chitin does not occur in higher plants, but is present in the cell walls of many fungi. **Chitinase**, which exhibits complex developmental and hormonal regulation, has been found in many species of higher plants. In addition, **chitinase** activity is markedly increased by wounding, ethylene, or microbial elicitors. Furthermore, **chitinase** is involved in the hypersensitive resistance response to microbial attack. Purified plant **chitinase** attacks and partially digests isolated cell walls of potentially pathogenic fungi. It is this latter enzyme activity, rather than chitin-binding lectin activity, that is responsible for the inhibition of fungal growth. **Chitinase** and .beta.-**glucanase** exhibit **synergistic** antifungal activity in vitro. A number of pathogenesis-related proteins (also referred to as "PR proteins") have been found to be **chitinases**

or glucanases.



US-PAT-NO: 4032663

DOCUMENT-IDENTIFIER: US 4032663 A

TITLE: Process for using cell wall-lysing enzymes

DATE-ISSUED: June 28, 1977

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kobayashi; Reisuke	Shizuoka	N/A	N/A	JA
Sato; Hironari	Shimizu	N/A	N/A	JA
Takita; Kiyoshi	Shimizu	N/A	N/A	JA
Toyama; Nobuo	Miyazaki	N/A	N/A	JA

APPL-NO: 05/ 675448

DATE FILED: April 9, 1976

PARENT-CASE:

This is a division of application Ser. No. 522,304, filed Nov. 8, 1974, now U.S. Pat. No. 3,969,189, issued July 13, 1976, which in turn is a continuation of application Ser. No. 314,933 filed Dec. 14, 1972, now U.S. Pat. No. 3,890,198.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
JA	46-100700	December 14, 1971
JA	47-9486	January 27, 1972

US-CL-CURRENT: 426/51, 426/61, 435/200, 435/201, 435/203, 435/209, 435/223, 435/839, 435/853, 435/911, 435/917, 435/921, 435/922, 435/923, 435/933, 435/942

ABSTRACT:

Complex enzymes which can lyse the cell wall of a variety of microorganisms such as bacteria, fungi, yeast, Basidiomycetes and chlorella are produced and recovered from cultivation of *Pellicularia sasakii* or *Pellicularia filamentosa*.

9 Claims, 0 Drawing figures

Exemplary Claim Number: 5

----- KWIC -----

Brief Summary Text - BSTX (33):

It is considered that the cell wall-lysing complex enzyme produced by *Pellicularia sasakii* and the complex enzyme produced by *Pellicularia filamentosa* are each a mixture of cellulase, glucanase, chitinase, protease, hemi-cellulase and carboxymethyl cellulase in the form of a complex and exhibit their high activity of lysing the cell of various microorganisms through the synergistic effect of the actions of the particular component enzymes, though it is presumed that these complex enzymes produced by the different species of *Pellicularia* have compositions which are more or less different from each other, as it is observed that these complex enzymes show more or less different properties and potencies for the particular enzymatic activities.

US-PAT-NO: 3969189

DOCUMENT-IDENTIFIER: US 3969189 A

TITLE: Cell wall-lysing complex enzymes and a process for the  
production thereof

DATE-ISSUED: July 13, 1976

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kobayashi; Reisuke	Shizuoka	N/A	N/A	JA
Sato; Hironari	Shimizu	N/A	N/A	JA
Takita; Kiyoshi	Shimizu	N/A	N/A	JA
Toyama; Nobuo	Miyazaki	N/A	N/A	JA

DISCLAIMER DATE: 19920617

APPL-NO: 05/ 522304

DATE FILED: November 8, 1974

PARENT-CASE:

This is a continuation of application Ser. No. 314,933, filed Dec. 14, 1972, now U.S. Pat. No. 3,890,198.

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
JA	46-100700	December 14, 1971
JA	47-9486	January 27, 1972

US-CL-CURRENT: 435/206, 426/51 , 435/200 , 435/209 , 435/267 , 435/911

ABSTRACT:

Complex enzymes which can lyse the cell wall of a variety of microorganisms such as bacteria, fungi, yeast, Basidiomycetes and chlorella are produced and recovered from cultivation of *Pellicularia sasakii* or *Pellicularia filamentosa*.

10 Claims, 0 Drawing figures

Exemplary Claim Number: 1

----- KWIC -----

Brief Summary Text - BSTX (34):

It is considered that the cell wall-lysing complex enzyme produced by *Pellicularia sasakii* and the complex enzyme produced by *Pellicularia filamentosa* are each a mixture of cellulase, glucanase, chitinase, protease, hemi-cellulase and carboxymethyl cellulase in the form of a complex and exhibit their high activity of lysing the cell of various microorganisms through the synergistic effect of the actions of the particular component enzymes, though it is presumed that these complex enzymes produced by the different species of *Pellicularia* have compositions which are more or less different from each other, as it is observed that these complex enzymes show more or less different properties and potencies for the particular enzymatic activities.

US-PAT-NO: 3890198

DOCUMENT-IDENTIFIER: US 3890198 A

TITLE: Cell wall-lysing complex enzymes and a process for the production thereof

DATE-ISSUED: June 17, 1975

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kobayashi; Reisuke	Shimizu	N/A	N/A	JA
Sato; Hironari	Shimizu	N/A	N/A	JA
Takita; Kiyoshi	Shimizu	N/A	N/A	JA
Toyama; Nobuo	Miyazaki	N/A	N/A	JA

APPL-NO: 05/ 314933

DATE FILED: December 14, 1972

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
JA	46-100700	December 14, 1971
JA	47-9486	January 27, 1972

US-CL-CURRENT: 435/206, 435/259 , 435/814 , 435/816 , 435/911

ABSTRACT:

Complex enzymes which can lyse the cell wall of a variety of microorganisms such as bacteria, fungi, yeast, Basidiomycetes and chlorella are produced and recovered from cultivation of *Pellicularia sasakii* or *Pellicularia filamentosa*.

5 Claims, 0 Drawing figures

Exemplary Claim Number: 1

----- KWIC -----

Brief Summary Text - BSTX (33):

It is considered that the cell wall-lysing complex enzyme produced by *Pellicularia sasakii* and the complex enzyme produced by *Pellicularia filamentosa* are each a mixture of cellulase, glucanase, chitinase, protease, hemi-cellulase and carboxymethyl cellulase in the form of a complex and exhibit their high activity of lysing the cell of various microorganisms through the synergistic effect of the actions of the particular component enzymes, though it is presumed that these complex enzymes produced by the different species of

Pellicularia have compositions which are more or less different from each other, as it is observed that these complex enzymes show more or less different properties and potencies for the particular enzymatic activities.

\* \* \* \* \* STN Columbus \* \* \* \* \*

FILE 'HOME' ENTERED AT 16:15:20 ON 25 APR 2003

=> fil .bec,caba,agricola  
COST IN U.S. DOLLARS

SINCE FILE	TOTAL
ENTRY	SESSION
0.21	0.21

FULL ESTIMATED COST

FILES 'MEDLINE, SCISEARCH, LIFESCI, BIOTECHDS, BIOSIS, EMBASE, HCAPLUS, NTIS,  
ESBIOBASE, BIOTECHNO, WPIDS, CABA, AGRICOLA'  
ENTERED AT 16:15:45 ON 25 APR 2003  
ALL COPYRIGHTS AND RESTRICTIONS APPLY. SEE HELP USAGETERMS FOR DETAILS.

13 FILES IN THE FILE LIST

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FILE 'MEDLINE'

L1 1508 CHITINASE#

FILE 'SCISEARCH'

L2 3055 CHITINASE#

FILE 'LIFESCI'

L3 1459 CHITINASE#

FILE 'BIOTECHDS'

L4 906 CHITINASE#

FILE 'BIOSIS'

L5 3587 CHITINASE#

FILE 'EMBASE'

L6 1127 CHITINASE#

FILE 'HCAPLUS'

L7 4250 CHITINASE#

FILE 'NTIS'

L8 30 CHITINASE#

FILE 'ESBIOBASE'

L9 1275 CHITINASE#

FILE 'BIOTECHNO'

L10 1285 CHITINASE#

FILE 'WPIDS'

L11 420 CHITINASE#

FILE 'CABA'

L12 2130 CHITINASE#

FILE 'AGRICOLA'

L13 1273 CHITINASE#

TOTAL FOR ALL FILES

L14 22305 CHITINASE#

=> s barley

FILE 'MEDLINE'

L15 4771 BARLEY

FILE 'SCISEARCH'

L16 28135 BARLEY

FILE 'LIFESCI'

L17 6036 BARLEY

FILE 'BIOTECHDS'

L18 1684 BARLEY

FILE 'BIOSIS'

L19 43479 BARLEY

FILE 'EMBASE'

L20 3342 BARLEY

FILE 'HCAPLUS'

L21 45121 BARLEY

FILE 'NTIS'

L22 1163 BARLEY

FILE 'ESBIOBASE'

L23 5852 BARLEY

FILE 'BIOTECHNO'

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L28 243786 BARLEY

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L29 1385 GLUCANASE#

FILE 'SCISEARCH'

L30 3104 GLUCANASE#

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FILE 'BIOTECHDS'

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L36 33 GLUCANASE#

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FILE 'AGRICOLA'

L41 1459 GLUCANASE#

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L42 24227 GLUCANASE#

=> s psi or protein synthesis inhibit?

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5882 PSI

1150658 PROTEIN

356504 SYNTHESIS

1024204 INHIBIT?

7439 PROTEIN SYNTHESIS INHIBIT?

(PROTEIN(W) SYNTHESIS(W) INHIBIT?)

L43 13301 PSI OR PROTEIN SYNTHESIS INHIBIT?

FILE 'SCISEARCH'

17039 PSI

985068 PROTEIN

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(PROTEIN(W) SYNTHESIS(W) INHIBIT?)

L44 19946 PSI OR PROTEIN SYNTHESIS INHIBIT?

FILE 'LIFESCI'

3016 PSI

397806 "PROTEIN"

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283170 INHIBIT?

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("PROTEIN"(W) "SYNTHESIS"(W) INHIBIT?)

L45 4407 PSI OR PROTEIN SYNTHESIS INHIBIT?

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626 PSI

99519 PROTEIN

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5722 PSI  
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L48 12383 PSI OR PROTEIN SYNTHESIS INHIBIT?

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(PROTEIN(W)SYNTHESIS(W)INHIBIT?)  
L49 60095 PSI OR PROTEIN SYNTHESIS INHIBIT?

FILE 'NTIS'  
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FILE 'ESBIOBASE'  
3730 PSI  
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L51 5201 PSI OR PROTEIN SYNTHESIS INHIBIT?

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FILE 'WPIDS'  
11093 PSI  
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FILE 'CABA'  
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(PROTEIN(W)SYNTHESIS(W)INHIBIT?)  
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TOTAL FOR ALL FILES

L56 160158 PSI OR PROTEIN SYNTHESIS INHIBIT?

=> s afp or antifungal protein

FILE 'MEDLINE'

5282 AFP  
24037 ANTIFUNGAL  
1150658 PROTEIN  
92 ANTIFUNGAL PROTEIN  
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L57 5354 AFP OR ANTIFUNGAL PROTEIN

FILE 'SCISEARCH'

2999 AFP  
13630 ANTIFUNGAL  
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FILE 'LIFESCI'

719 AFP  
8758 "ANTIFUNGAL"  
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FILE 'BIOTECHDS'

146 AFP  
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L60 165 AFP OR ANTIFUNGAL PROTEIN

FILE 'BIOSIS'

4654 AFP  
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1289272 PROTEIN  
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FILE 'EMBASE'

4599 AFP  
21177 "ANTIFUNGAL"  
1101189 "PROTEIN"  
72 ANTIFUNGAL PROTEIN  
("ANTIFUNGAL"(W) "PROTEIN")  
L62 4658 AFP OR ANTIFUNGAL PROTEIN

FILE 'HCAPLUS'

3210 AFP  
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242 ANTIFUNGAL PROTEIN

(ANTIFUNGAL(W) PROTEIN)  
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FILE 'NTIS'

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FILE 'ESBIOBASE'

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FILE 'BIOTECHNO'

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21 ANTIFUNGAL PROTEIN  
(ANTIFUNGAL(W) PROTEIN)

L67 278 AFP OR ANTIFUNGAL PROTEIN

FILE 'CABA'

251 AFP  
22840 ANTIFUNGAL  
298823 PROTEIN  
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FILE 'AGRICOLA'

47 AFP  
3253 ANTIFUNGAL  
124145 PROTEIN  
45 ANTIFUNGAL PROTEIN  
(ANTIFUNGAL(W) PROTEIN)

L69 80 AFP OR ANTIFUNGAL PROTEIN

TOTAL FOR ALL FILES

L70 25471 AFP OR ANTIFUNGAL PROTEIN

=> s 114(8a)(serratia or marcescens)

FILE 'MEDLINE'

6420 SERRATIA  
4985 MARCESCENS

L71 74 L1 (8A) (SERRATIA OR MARCESCENS)

FILE 'SCISEARCH'

3625 SERRATIA  
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L72 91 L2 (8A) (SERRATIA OR MARCESCENS)

FILE 'LIFESCI'  
2297 SERRATIA  
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8885 SERRATIA  
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FILE 'HCAPLUS'  
7733 SERRATIA  
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FILE 'BIOTECHNO'  
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FILE 'CABA'  
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L82 47 L12 (8A) (SERRATIA OR MARCESCENS)

FILE 'AGRICOLA'  
493 SERRATIA  
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FILE 'MEDLINE'  
L85 20 L1 (8A) L15

FILE 'SCISEARCH'

L86            44 L2 (8A)L16  
 FILE 'LIFESCI'  
 L87            15 L3 (8A)L17  
 FILE 'BIOTECHDS'  
 L88            7 L4 (8A)L18  
 FILE 'BIOSIS'  
 L89            52 L5 (8A)L19  
 FILE 'EMBASE'  
 L90            11 L6 (8A)L20  
 FILE 'HCAPLUS'  
 L91            71 L7 (8A)L21  
 FILE 'NTIS'  
 L92            1 L8 (8A)L22  
 FILE 'ESBIOBASE'  
 L93            19 L9 (8A)L23  
 FILE 'BIOTECHNO'  
 L94            16 L10(8A)L24  
 FILE 'WPIDS'  
 L95            2 L11(8A)L25  
 FILE 'CABA'  
 L96            37 L12(8A)L26  
 FILE 'AGRICOLA'  
 L97            14 L13(8A)L27  
 TOTAL FOR ALL FILES  
 L98            309 L14(8A) L28  
 => s 142(8a)l28  
 FILE 'MEDLINE'  
 L99            66 L29(8A)L15  
 FILE 'SCISEARCH'  
 L100           164 L30(8A)L16  
 FILE 'LIFESCI'  
 L101           32 L31(8A)L17  
 FILE 'BIOTECHDS'  
 L102           34 L32(8A)L18  
 FILE 'BIOSIS'  
 L103           235 L33(8A)L19  
 FILE 'EMBASE'  
 L104           35 L34(8A)L20  
 FILE 'HCAPLUS'  
 L105           365 L35(8A)L21  
 FILE 'NTIS'  
 L106           0 L36(8A)L22  
 FILE 'ESBIOBASE'

L107 42 L37(8A)L23

FILE 'BIOTECHNO'

L108 51 L38(8A)L24

FILE 'WPIDS'

L109 12 L39(8A)L25

FILE 'CABA'

L110 191 L40(8A)L26

FILE 'AGRICOLA'

L111 86 L41(8A)L27

TOTAL FOR ALL FILES

L112 1313 L42(8A) L28

=> s 156(8a)128

FILE 'MEDLINE'

L113 22 L43(8A)L15

FILE 'SCISEARCH'

L114 32 L44(8A)L16

FILE 'LIFESCI'

L115 14 L45(8A)L17

FILE 'BIOTECHDS'

L116 3 L46(8A)L18

FILE 'BIOSIS'

L117 52 L47(8A)L19

FILE 'EMBASE'

L118 10 L48(8A)L20

FILE 'HCAPLUS'

L119 62 L49(8A)L21

FILE 'NTIS'

L120 0 L50(8A)L22

FILE 'ESBIOBASE'

L121 10 L51(8A)L23

FILE 'BIOTECHNO'

L122 15 L52(8A)L24

FILE 'WPIDS'

L123 0 L53(8A)L25

FILE 'CABA'

L124 58 L54(8A)L26

FILE 'AGRICOLA'

L125 16 L55(8A)L27

TOTAL FOR ALL FILES

L126 294 L56(8A) L28

=> s 170(8a)(aspergillus or giganteus)

FILE 'MEDLINE'

21045 ASPERGILLUS

215 GIGANTEUS

L127            19 L57 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'SCISEARCH'  
               21362 ASPERGILLUS  
               753 GIGANTEUS  
 L128            21 L58 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'LIFESCI'  
               11420 ASPERGILLUS  
               318 GIGANTEUS  
 L129            10 L59 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'BIOTECHDS'  
               8521 ASPERGILLUS  
               43 GIGANTEUS  
 L130            4 L60 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'BIOSIS'  
               37425 ASPERGILLUS  
               1684 GIGANTEUS  
 L131            21 L61 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'EMBASE'  
               16456 ASPERGILLUS  
               179 GIGANTEUS  
 L132            15 L62 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'HCAPLUS'  
               41802 ASPERGILLUS  
               623 GIGANTEUS  
 L133            22 L63 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'NTIS'  
               218 ASPERGILLUS  
               30 GIGANTEUS  
 L134            0 L64 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'ESBIOBASE'  
               5850 ASPERGILLUS  
               192 GIGANTEUS  
 L135            16 L65 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'BIOTECHNO'  
               7215 ASPERGILLUS  
               113 GIGANTEUS  
 L136            16 L66 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'WPIDS'  
               5725 ASPERGILLUS  
               20 GIGANTEUS  
 L137            3 L67 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'CABA'  
               24356 ASPERGILLUS  
               598 GIGANTEUS  
 L138            13 L68 (8A) (ASPERGILLUS OR GIGANTEUS)  
 FILE 'AGRICOLA'  
               11968 ASPERGILLUS  
               256 GIGANTEUS  
 L139            11 L69 (8A) (ASPERGILLUS OR GIGANTEUS)  
 TOTAL FOR ALL FILES  
 L140            171 L70 (8A) (ASPERGILLUS OR GIGANTEUS)



=> s (l84 and (l98 or l112 or l126 or l140)) or (l98 and (l112 or l126 or l140)) or  
(l112 and (l126 or l140)) or (l126 and l140)

FILE 'MEDLINE'

L141 2 (L71 AND (L85 OR L99 OR L113 OR L127)) OR (L85 AND (L99 OR L113  
OR L127)) OR (L99 AND (L113 OR L127)) OR (L113 AND L127)

FILE 'SCISEARCH'

L142 10 (L72 AND (L86 OR L100 OR L114 OR L128)) OR (L86 AND (L100 OR  
L114 OR L128)) OR (L100 AND (L114 OR L128)) OR (L114 AND L128)

FILE 'LIFESCI'

L143 4 (L73 AND (L87 OR L101 OR L115 OR L129)) OR (L87 AND (L101 OR  
L115 OR L129)) OR (L101 AND (L115 OR L129)) OR (L115 AND L129)

FILE 'BIOTECHDS'

L144 1 (L74 AND (L88 OR L102 OR L116 OR L130)) OR (L88 AND (L102 OR  
L116 OR L130)) OR (L102 AND (L116 OR L130)) OR (L116 AND L130)

FILE 'BIOSIS'

L145 11 (L75 AND (L89 OR L103 OR L117 OR L131)) OR (L89 AND (L103 OR  
L117 OR L131)) OR (L103 AND (L117 OR L131)) OR (L117 AND L131)

FILE 'EMBASE'

L146 1 (L76 AND (L90 OR L104 OR L118 OR L132)) OR (L90 AND (L104 OR  
L118 OR L132)) OR (L104 AND (L118 OR L132)) OR (L118 AND L132)

FILE 'HCAPLUS'

L147 16 (L77 AND (L91 OR L105 OR L119 OR L133)) OR (L91 AND (L105 OR  
L119 OR L133)) OR (L105 AND (L119 OR L133)) OR (L119 AND L133)

FILE 'NTIS'

L148 0 (L78 AND (L92 OR L106 OR L120 OR L134)) OR (L92 AND (L106 OR  
L120 OR L134)) OR (L106 AND (L120 OR L134)) OR (L120 AND L134)

FILE 'ESBIOBASE'

L149 5 (L79 AND (L93 OR L107 OR L121 OR L135)) OR (L93 AND (L107 OR  
L121 OR L135)) OR (L107 AND (L121 OR L135)) OR (L121 AND L135)

FILE 'BIOTECHNO'

L150 4 (L80 AND (L94 OR L108 OR L122 OR L136)) OR (L94 AND (L108 OR  
L122 OR L136)) OR (L108 AND (L122 OR L136)) OR (L122 AND L136)

FILE 'WPIDS'

L151 2 (L81 AND (L95 OR L109 OR L123 OR L137)) OR (L95 AND (L109 OR  
L123 OR L137)) OR (L109 AND (L123 OR L137)) OR (L123 AND L137)

FILE 'CABA'

L152 9 (L82 AND (L96 OR L110 OR L124 OR L138)) OR (L96 AND (L110 OR  
L124 OR L138)) OR (L110 AND (L124 OR L138)) OR (L124 AND L138)

FILE 'AGRICOLA'

L153 1 (L83 AND (L97 OR L111 OR L125 OR L139)) OR (L97 AND (L111 OR  
L125 OR L139)) OR (L111 AND (L125 OR L139)) OR (L125 AND L139)

TOTAL FOR ALL FILES

L154 66 (L84 AND (L98 OR L112 OR L126 OR L140)) OR (L98 AND (L112 OR  
L126 OR L140)) OR (L112 AND (L126 OR L140)) OR (L126 AND L140)

=> s (l14 and (l42 or l56 or l70)) or (l42 and (l56 or l70)) or (l56 and l70)

FILE 'MEDLINE'

L155 174 (L1 AND (L29 OR L43 OR L57)) OR (L29 AND (L43 OR L57)) OR (L43  
AND L57)

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FILE 'SCISEARCH'
L156      710 (L2 AND (L30 OR L44 OR L58)) OR (L30 AND (L44 OR L58)) OR (L44
           AND L58)

FILE 'LIFESCI'
L157      248 (L3 AND (L31 OR L45 OR L59)) OR (L31 AND (L45 OR L59)) OR (L45
           AND L59)

FILE 'BIOTECHDS'
L158      145 (L4 AND (L32 OR L46 OR L60)) OR (L32 AND (L46 OR L60)) OR (L46
           AND L60)

FILE 'BIOSIS'
L159      782 (L5 AND (L33 OR L47 OR L61)) OR (L33 AND (L47 OR L61)) OR (L47
           AND L61)

FILE 'EMBASE'
L160      112 (L6 AND (L34 OR L48 OR L62)) OR (L34 AND (L48 OR L62)) OR (L48
           AND L62)

FILE 'HCAPLUS'
L161      843 (L7 AND (L35 OR L49 OR L63)) OR (L35 AND (L49 OR L63)) OR (L49
           AND L63)

FILE 'NTIS'
L162       2 (L8 AND (L36 OR L50 OR L64)) OR (L36 AND (L50 OR L64)) OR (L50
           AND L64)

FILE 'ESBIOBASE'
L163      256 (L9 AND (L37 OR L51 OR L65)) OR (L37 AND (L51 OR L65)) OR (L51
           AND L65)

FILE 'BIOTECHNO'
L164      218 (L10 AND (L38 OR L52 OR L66)) OR (L38 AND (L52 OR L66)) OR (L52
           AND L66)

FILE 'WPIDS'
L165      114 (L11 AND (L39 OR L53 OR L67)) OR (L39 AND (L53 OR L67)) OR (L53
           AND L67)

FILE 'CABA'
L166      570 (L12 AND (L40 OR L54 OR L68)) OR (L40 AND (L54 OR L68)) OR (L54
           AND L68)

FILE 'AGRICOLA'
L167      304 (L13 AND (L41 OR L55 OR L69)) OR (L41 AND (L55 OR L69)) OR (L55
           AND L69)

TOTAL FOR ALL FILES
L168      4478 (L14 AND (L42 OR L56 OR L70)) OR (L42 AND (L56 OR L70)) OR (L56
           AND L70)

=> s l168 and synerg?
FILE 'MEDLINE'
           72658 SYNERG?
L169       9 L155 AND SYNERG?

FILE 'SCISEARCH'
           45632 SYNERG?
L170       21 L156 AND SYNERG?

FILE 'LIFESCI'
           16863 SYNERG?
L171       13 L157 AND SYNERG?

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FILE 'BIOTECHDS'  
       1333 SYNERG?  
 L172      13 L158 AND SYNERG?  
  
 FILE 'BIOSIS'  
       53583 SYNERG?  
 L173      23 L159 AND SYNERG?  
  
 FILE 'EMBASE'  
       41463 SYNERG?  
 L174      6 L160 AND SYNERG?  
  
 FILE 'HCAPLUS'  
       85341 SYNERG?  
 L175      37 L161 AND SYNERG?  
  
 FILE 'NTIS'  
       3255 SYNERG?  
 L176      0 L162 AND SYNERG?  
  
 FILE 'ESBIOBASE'  
       16311 SYNERG?  
 L177      9 L163 AND SYNERG?  
  
 FILE 'BIOTECHNO'  
       15110 SYNERG?  
 L178      9 L164 AND SYNERG?  
  
 FILE 'WPIDS'  
       20164 SYNERG?  
 L179      7 L165 AND SYNERG?  
  
 FILE 'CABA'  
       13243 SYNERG?  
 L180      16 L166 AND SYNERG?  
  
 FILE 'AGRICOLA'  
       4355 SYNERG?  
 L181      11 L167 AND SYNERG?  
  
 TOTAL FOR ALL FILES  
 L182      174 L168 AND SYNERG?  
  
 => s l168 and transgen?  
 FILE 'MEDLINE'  
       42952 TRANSGEN?  
 L183      16 L155 AND TRANSGEN?  
  
 FILE 'SCISEARCH'  
       63539 TRANSGEN?  
 L184      133 L156 AND TRANSGEN?  
  
 FILE 'LIFESCI'  
       23632 TRANSGEN?  
 L185      23 L157 AND TRANSGEN?  
  
 FILE 'BIOTECHDS'  
       20788 TRANSGEN?  
 L186      63 L158 AND TRANSGEN?  
  
 FILE 'BIOSIS'  
       59005 TRANSGEN?  
 L187      59 L159 AND TRANSGEN?

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FILE 'EMBASE'
      34183 TRANSGEN?
L188      8 L160 AND TRANSGEN?

FILE 'HCAPLUS'
      57340 TRANSGEN?
L189      89 L161 AND TRANSGEN?

FILE 'NTIS'
      577 TRANSGEN?
L190      0 L162 AND TRANSGEN?

FILE 'ESBIOBASE'
      28849 TRANSGEN?
L191      23 L163 AND TRANSGEN?

FILE 'BIOTECHNO'
      29823 TRANSGEN?
L192      26 L164 AND TRANSGEN?

FILE 'WPIDS'
      9079 TRANSGEN?
L193      30 L165 AND TRANSGEN?

FILE 'CABA'
      22121 TRANSGEN?
L194      51 L166 AND TRANSGEN?

FILE 'AGRICOLA'
      12363 TRANSGEN?
L195      27 L167 AND TRANSGEN?

TOTAL FOR ALL FILES
L196      548 L168 AND TRANSGEN?

=> s (l154 or l182 or l196) not 1994-1997/py
FILE 'MEDLINE'
      1675196 1994-1997/PY
L197      19 (L141 OR L169 OR L183) NOT 1994-1997/PY

FILE 'SCISEARCH'
      3498768 1994-1997/PY
L198      94 (L142 OR L170 OR L184) NOT 1994-1997/PY

FILE 'LIFESCI'
      440456 1994-1997/PY
L199      18 (L143 OR L171 OR L185) NOT 1994-1997/PY

FILE 'BIOTECHDS'
      60613 1994-1997/PY
L200      37 (L144 OR L172 OR L186) NOT 1994-1997/PY

FILE 'BIOSIS'
      2227913 1994-1997/PY
L201      59 (L145 OR L173 OR L187) NOT 1994-1997/PY

FILE 'EMBASE'
      1515306 1994-1997/PY
L202      9 (L146 OR L174 OR L188) NOT 1994-1997/PY

FILE 'HCAPLUS'
      3040839 1994-1997/PY
L203      87 (L147 OR L175 OR L189) NOT 1994-1997/PY

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FILE 'NTIS'  
     163629 1994-1997/PY  
 L204           0 (L148 OR L176 OR L190) NOT 1994-1997/PY  
  
 FILE 'ESBIOBASE'  
     765969 1994-1997/PY  
 L205           23 (L149 OR L177 OR L191) NOT 1994-1997/PY  
  
 FILE 'BIOTECHNO'  
     410147 1994-1997/PY  
 L206           22 (L150 OR L178 OR L192) NOT 1994-1997/PY  
  
 FILE 'WPIDS'  
     2383049 1994-1997/PY  
 L207           24 (L151 OR L179 OR L193) NOT 1994-1997/PY  
  
 FILE 'CABA'  
     616931 1994-1997/PY  
 L208           49 (L152 OR L180 OR L194) NOT 1994-1997/PY  
  
 FILE 'AGRICOLA'  
     295235 1994-1997/PY  
 L209           16 (L153 OR L181 OR L195) NOT 1994-1997/PY  
  
 TOTAL FOR ALL FILES  
 L210           457 (L154 OR L182 OR L196) NOT 1994-1997/PY  
  
 => s l210 not 1998-2000/py  
 FILE 'MEDLINE'  
     1384553 1998-2000/PY  
 L211           13 L197 NOT 1998-2000/PY  
  
 FILE 'SCISEARCH'  
     2912462 1998-2000/PY  
 L212           60 L198 NOT 1998-2000/PY  
  
 FILE 'LIFESCI'  
     334799 1998-2000/PY  
 L213           12 L199 NOT 1998-2000/PY  
  
 FILE 'BIOTECHDS'  
     42738 1998-2000/PY  
 L214           30 L200 NOT 1998-2000/PY  
  
 FILE 'BIOSIS'  
     1689771 1998-2000/PY  
 L215           40 L201 NOT 1998-2000/PY  
  
 FILE 'EMBASE'  
     1302504 1998-2000/PY  
 L216           5 L202 NOT 1998-2000/PY  
  
 FILE 'HCAPLUS'  
     2674252 1998-2000/PY  
 L217           50 L203 NOT 1998-2000/PY  
  
 FILE 'NTIS'  
     73418 1998-2000/PY  
 L218           0 L204 NOT 1998-2000/PY  
  
 FILE 'ESBIOBASE'  
     853099 1998-2000/PY  
 L219           12 L205 NOT 1998-2000/PY

FILE 'BIOTECHNO'  
       355338 1998-2000/PY  
 L220      13 L206 NOT 1998-2000/PY  
  
 FILE 'WPIDS'  
       2478093 1998-2000/PY  
 L221      7 L207 NOT 1998-2000/PY  
  
 FILE 'CABA'  
       494844 1998-2000/PY  
 L222      35 L208 NOT 1998-2000/PY  
  
 FILE 'AGRICOLA'  
       213793 1998-2000/PY  
 L223      9 L209 NOT 1998-2000/PY  
  
 TOTAL FOR ALL FILES  
 L224      286 L210 NOT 1998-2000/PY  
  
 => s l224 not 2001-2003/py  
 FILE 'MEDLINE'  
       1176976 2001-2003/PY  
 L225      5 L211 NOT 2001-2003/PY  
  
 FILE 'SCISEARCH'  
       2141013 2001-2003/PY  
 L226      32 L212 NOT 2001-2003/PY  
  
 FILE 'LIFESCI'  
       197014 2001-2003/PY  
 L227      5 L213 NOT 2001-2003/PY  
  
 FILE 'BIOTECHDS'  
       41589 2001-2003/PY  
 L228      16 L214 NOT 2001-2003/PY  
  
 FILE 'BIOSIS'  
       1142122 2001-2003/PY  
 L229      17 L215 NOT 2001-2003/PY  
  
 FILE 'EMBASE'  
       979363 2001-2003/PY  
 L230      2 L216 NOT 2001-2003/PY  
  
 FILE 'HCAPLUS'  
       2265194 2001-2003/PY  
 L231      21 L217 NOT 2001-2003/PY  
  
 FILE 'NTIS'  
       30635 2001-2003/PY  
 L232      0 L218 NOT 2001-2003/PY  
  
 FILE 'ESBIOBASE'  
       628246 2001-2003/PY  
 L233      0 L219 NOT 2001-2003/PY  
  
 FILE 'BIOTECHNO'  
       254287 2001-2003/PY  
 L234      1 L220 NOT 2001-2003/PY  
  
 FILE 'WPIDS'  
       2071705 2001-2003/PY  
 L235      0 L221 NOT 2001-2003/PY

FILE 'CABA'  
303908 2001-2003/PY  
L236 21 L222 NOT 2001-2003/PY

FILE 'AGRICOLA'  
102562 2001-2003/PY  
L237 5 L223 NOT 2001-2003/PY

TOTAL FOR ALL FILES  
L238 125 L224 NOT 2001-2003/PY

=> dup rem l238  
PROCESSING COMPLETED FOR L238  
L239 73 DUP REM L238 (52 DUPLICATES REMOVED)

=> d tot

L239 ANSWER 1 OF 73 CABA COPYRIGHT 2003 CABI  
TI New strategies for obtaining fungal-resistant plants.  
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L239 ANSWER 3 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
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L239 ANSWER 4 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R) DUPLICATE 1  
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- L239 ANSWER 10 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
 TI PATHOGEN, SALICYLIC-ACID AND DEVELOPMENTAL DEPENDENT EXPRESSION OF A BETA-1,3-**GLUCANASE** GUS GENE FUSION IN **TRANSGENIC** TOBACCO PLANTS  
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 AN 93:560628 SCISEARCH
- L239 ANSWER 11 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
 TI ANALYSIS OF REGULATORY ELEMENTS INVOLVED IN STRESS-INDUCED AND ORGAN-SPECIFIC EXPRESSION OF TOBACCO ACIDIC AND BASIC BETA-1,3-**GLUCANASE** GENES  
 SO PLANT MOLECULAR BIOLOGY, (FEB 1993) Vol. 21, No. 3, pp. 451-461.  
 ISSN: 0167-4412.  
 AU VANDERHEE M D; LEMMERS R; BOL J F (Reprint)  
 AN 93:136509 SCISEARCH
- L239 ANSWER 12 OF 73 CABA COPYRIGHT 2003 CABI  
 TI Expression of a ribosome inhibiting protein (RIP) or a bacterial chitinase leads to fungal resistance in transgenic plants.  
 SO Mechanisms of plant defense responses, (1993) pp. 446-448. Proceedings of the 2nd International Conference of the European Foundation for Plant Pathology, Strasbourg, France, 24-27 August 1992. 10 ref. Publisher: Kluwer Academic Publishers. ISBN: 0-7923-2154-5  
 AU Logemann, J.; Jach, G.; Logemann, S.; Leah, R.; Wolf, G.; Mundy, J.;



Oppenheim, A.; Chet, I.; Schell, J.; Fritig, B. [EDITOR]; Legrand, M.  
[EDITOR]

AN 94:46413 CABA

L239 ANSWER 13 OF 73 BIOSIS COPYRIGHT 2003 BIOLOGICAL ABSTRACTS INC. DUPLICATE  
5

TI In vitro antimicrobial activities of defense proteins and biotechnology.  
SO Fritig, B. [Editor]; Legrand, M. [Editor]. Developments in Plant

Pathology, (1993) Vol. 2, pp. 401-410. Developments in Plant Pathology;  
Mechanisms of plant defense responses.

Publisher: Kluwer Academic Publishers PO Box 989, 3300 AZ Dordrecht,  
Netherlands.

Meeting Info.: 2nd International Conference of the European Foundation for  
Plant Pathology Strasbourg, France August 24-27, 1992

ISBN: 0-7923-2154-5.

AU Melchers, Leo S.; Ponstein, Anne S.; Sela-Buurlage, Marianne B.; Vloemans,  
Sandra A.; Cornelisen, Ben J. C.

AN 1993:511939 BIOSIS

L239 ANSWER 14 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)

TI CYTOLOGY OF INFECTION OF 35S-BEAN **CHITINASE TRANSGENIC**  
CANOLA PLANTS BY RHIZOCTONIA-SOLANI - CYTOCHEMICAL ASPECTS OF CHITIN  
BREAKDOWN IN-VIVO

SO PLANT JOURNAL, (AUG 1993) Vol. 4, No. 2, pp. 295-305.  
ISSN: 0960-7412.

AU BENHAMOU N (Reprint); BROGLIE K; CHET I; BROGLIE R

AN 93:524966 SCISEARCH

L239 ANSWER 15 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R) DUPLICATE 6

TI VIRUS AND FUNGAL RESISTANCE - FROM LABORATORY TO FIELD

SO PHILOSOPHICAL TRANSACTIONS OF THE ROYAL SOCIETY OF LONDON SERIES  
B-BIOLOGICAL SCIENCES, (29 NOV 1993) Vol. 342, No. 1301, pp. 271-278.  
ISSN: 0962-8436.

AU VANDENELZEN P J M (Reprint); JONGEDIJK E; MELCHERS L S; CORNELISSEN B J C

AN 93:740385 SCISEARCH

L239 ANSWER 16 OF 73 CABA COPYRIGHT 2003 CABI

TI Virus and fungal resistance: from laboratory to field.

SO Philosophical Transactions of the Royal Society of London. Series B,  
Biological Sciences, (1993) Vol. 342, No. 1301, pp. 271-278. 39 ref. ISSN:  
0080-4622

AU Elzen, P. J. M. van den; Jongedijk, E.; Melchers, L. S.; Cornelissen, B.  
J. C.; Van den Elzen, P. J. M.

AN 95:37486 CABA

L239 ANSWER 17 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)

TI ACCUMULATION OF PATHOGENESIS-RELATED PROTEINS IN THE EPIDERMIS OF TOMATO  
LEAVES INFECTED BY CLADOSPORIUM-FULVUM

SO NETHERLANDS JOURNAL OF PLANT PATHOLOGY, (1993) Vol. 99, Supp. 3, pp.  
231-239.  
ISSN: 0028-2944.

AU WUBBEN J P (Reprint); EIJKELBOOM C A; DEWIT P J G M

AN 94:344625 SCISEARCH

L239 ANSWER 18 OF 73 HCAPLUS COPYRIGHT 2003 ACS DUPLICATE 7

TI Can lysozymes mediate antibacterial resistance in plants?

SO Plant Molecular Biology (1993), 23(1), 209-14  
CODEN: PMBIDB; ISSN: 0167-4412

AU Duering, Klaus

AN 1994:4555 HCAPLUS

DN 120:4555

L239 ANSWER 19 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Disease-resistance: development of a gene transfer system for sunflower

(*Helianthus annuus* L.) somatic embryos;  
somatic embryogenesis and propagation for potential **transgenic**  
plant production with *Sclerotinia sclerotiorum* disease-resistance  
(conference abstract)

SO Phytophylactica; (1993) 25, 3, 193  
CODEN: PPPMA9

AU Hearn S J; Webster J R

AN 1994-07506 BIOTECHDS

L239 ANSWER 20 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Expression of hydrolases in **transgenic** alfalfa plants;  
**chitinase**, cellulase and cellobiohydrolase gene expression  
in **transgenic** plant for fungus disease-resistance and crop  
improvement (conference abstract)

SO Plant Physiol.; (1993) 102, 1, Suppl., 167  
CODEN: PLPHAY

AU Masoud S; Lamb C J; Dixon R A

AN 1993-09330 BIOTECHDS

L239 ANSWER 21 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)

TI A 61 BP ENHANCER ELEMENT OF THE TOBACCO BETA-1,3-**GLUCANASE**  
B-GENE INTERACTS WITH ONE OR MORE REGULATED NUCLEAR PROTEINS

SO PLANT MOLECULAR BIOLOGY, (JAN 1993) Vol. 21, No. 1, pp. 121-131.  
ISSN: 0167-4412.

AU HART C M; NAGY F; MEINS F (Reprint)

AN 93:80503 SCISEARCH

L239 ANSWER 22 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R) DUPLICATE 8

TI **GLUCANASE**, GLUCAN SYNTHASE AND **CHITINASE** ACTIVITY IN  
**BARLEY** GENOTYPES SUSCEPTIBLE OR RESISTANT TO ERYSIPIHE-GRAMINIS F  
SP HORDEI

SO BIOLOGIA PLANTARUM, (1993) Vol. 35, No. 1, pp. 95-101.  
ISSN: 0006-3134.

AU FRIC F (Reprint); HUTTOVA J

AN 93:319421 SCISEARCH

L239 ANSWER 23 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Emerging strategies for enhancing crop resistance to microbial pathogens;  
engineering disease-resistance in **transgenic** plant for crop  
improvement (conference paper)

SO Curr.Plant Sci.Biotechnol.Agric.; (1993) 45-60  
CODEN: 9999T

AU Lamb C J

AN 1993-14530 BIOTECHDS

L239 ANSWER 24 OF 73 CABA COPYRIGHT 2003 CABI

TI The biology of plants transformed with chimeric class I **chitinase**  
and beta -1,3-**glucanase** genes.

SO (1993) pp. 27. Centro de Reuniones Internacionales Sobre Biologia No. 10.  
7 ref. Publisher: Instituto Juan March de Estudios e Investigaciones.  
Meeting Info.: Workshop on engineering plants against pests and  
pathogens, 11-13 January 1993 Madrid, Spain. ISBN: 84-7919-509-8

AU Meins, F., Jr.; Beffa, R.; Kunz, C.; Bruening, G. [EDITOR]; Garcia-Olmedo,  
F. [EDITOR]; Ponz, F. [EDITOR]

AN 94:31881 CABA

L239 ANSWER 25 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R) DUPLICATE 10

TI PLANT ENZYMES WITH ANTIMICROBIAL PROPERTIES

SO PHYTOPROTECTION, (APR 1993) Vol. 74, No. 1, pp. 3-18.  
ISSN: 0031-9511.

AU ASSELIN A (Reprint)

AN 93:508700 SCISEARCH

L239 ANSWER 26 OF 73 CABA COPYRIGHT 2003 CABI

TI [Plant enzymes with antimicrobial properties].  
Quelques enzymes vegetales a potentiel antimicrobien.  
SO Phytoprotection, (1993) Vol. 71, No. 1, pp. 3-18. 127 ref. ISSN: 0031-9511  
AU Asselin, A.  
AN 94:52204 CABA

L239 ANSWER 27 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
TI SEQUENCE VARIATION, DIFFERENTIAL EXPRESSION AND CHROMOSOMAL LOCATION OF  
RICE **CHITINASE** GENES  
SO MOLECULAR & GENERAL GENETICS, (OCT 1993) Vol. 241, No. 1-2, pp. 1-10.  
ISSN: 0026-8925.  
AU NISHIZAWA Y (Reprint); KISHIMOTO N; SAITO A; HIBI T  
AN 93:620432 SCISEARCH

L239 ANSWER 28 OF 73 CABA COPYRIGHT 2003 CABI  
TI [Behavioural studies of chemical interactions between bees and plants:  
application to the evaluation of the effect of **transgenic**  
rapeseed (Brassica napus var. oleifera) on the honey bee (Apis mellifera  
L.)].  
Etudes comportementales des interactions chimiques abeille-plante:  
application a l'evaluation de l'impact de colzas **transgeniques**  
(Brassica napus var. oleifera) sur l'abeille domestique (Apis mellifera  
L.).  
SO Etudes comportementales des interactions chimiques abeille-plante:  
application a l'evaluation de l'impact de colzas transgeniques (Brassica  
napus var. oleifera) sur l'abeille domestique (Apis mellifera L.), (1992)  
pp. 222. Bdo.  
AU Picard-Nizou, A.-L.  
AN 94:60340 CABA

L239 ANSWER 29 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
TI SUPPRESSION OF BETA-1,3-**GLUCANASE** **TRANSGENE** EXPRESSION  
IN HOMOZYGOUS PLANTS  
SO EMBO JOURNAL, (JUL 1992) Vol. 11, No. 7, pp. 2595-2602.  
ISSN: 0261-4189.  
AU DECARVALHO F (Reprint); GHEYSEN G; KUSHNIR S; VANMONTAGU M; INZE D;  
CASTRESANA C  
AN 92:399584 SCISEARCH

L239 ANSWER 30 OF 73 MEDLINE DUPLICATE 11  
TI The function of vacuolar beta-1,3-**glucanase** investigated by  
antisense transformation. Susceptibility of **transgenic** Nicotiana  
sylvestris plants to Cercospora nicotianae infection.  
SO PLANT MOLECULAR BIOLOGY, (1992 Aug) 19 (5) 803-13.  
Journal code: 9106343. ISSN: 0167-4412.  
AU Neuhaus J M; Flores S; Keefe D; Ahl-Goy P; Meins F Jr  
AN 92353389 MEDLINE

L239 ANSWER 31 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
TI DIFFERENTIAL ACCUMULATION OF MESSENGER-RNAs ENCODING EXTRACELLULAR AND  
INTRACELLULAR PR PROTEINS IN TOMATO INDUCED BY VIRULENT AND AVIRULENT  
RACES OF CLADOSPORIUM-FULVUM  
SO PLANT MOLECULAR BIOLOGY, (NOV 1992) Vol. 20, No. 3, pp. 513-527.  
ISSN: 0167-4412.  
AU VANKAN J A L (Reprint); JOOSTEN M H A J; WAGEMAKERS C A M;  
VANDENBERGVELTHUIS G C M; DEWIT P J G M  
AN 92:639586 SCISEARCH

L239 ANSWER 32 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
TI THE STRUCTURE AND REGULATION OF HOMEOLOGOUS TOBACCO ENDOCHITINASE GENES OF  
NICOTIANA-SYLVESTRIS AND N-TOMENTOSIFORMIS ORIGIN  
SO MOLECULAR & GENERAL GENETICS, (APR 1992) Vol. 232, No. 3, pp. 460-469.  
ISSN: 0026-8925.  
AU VANBUUREN M; NEUHAUS J M; SHINSHI H; RYALS J; MEINS F (Reprint)

AN 92:300862 SCISEARCH

L239 ANSWER 33 OF 73 HCAPLUS COPYRIGHT 2003 ACS

TI Antifungal activity of chitin-binding PR-4 type proteins from barley grain and stressed leaf

SO FEBS Letters (1992), 307(3), 389-92  
CODEN: FEBLAL; ISSN: 0014-5793

AU Hejgaard, Joern; Jacobsen, Susanne; Bjoern, Soeren E.; Kragh, Karsten M.

AN 1992:567867 HCAPLUS

DN 117:167867

L239 ANSWER 34 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)

TI ETHYLENE-INDUCED **CHITINASE** AND BETA-1,3-**GLUCANASE**  
ACCUMULATE SPECIFICALLY IN THE LOWER EPIDERMIS AND ALONG VASCULAR STRANDS  
OF BEAN-LEAVES

SO PLANTA, (FEB 1992) Vol. 186, No. 3, pp. 367-375.  
ISSN: 0032-0935.

AU MAUCH F (Reprint); MEEHL J B; STAEHELIN L A

AN 92:121419 SCISEARCH

L239 ANSWER 35 OF 73 BIOSIS COPYRIGHT 2003 BIOLOGICAL ABSTRACTS INC.

TI EXTRACELLULAR TARGETED VACUOLAR PR-PROTEINS EXHIBIT ANTIFUNGAL ACTIVITY IN  
THE EXTRA CELLULAR FLUID OF **TRANSGENIC** PLANTS.

SO KEYSTONE SYMPOSIUM ON CROP IMPROVEMENT VIA BIOTECHNOLOGY: AN INTERNATIONAL  
PERSPECTIVE, KEYSTONE, COLORADO, USA, APRIL 10-16, 1992. J CELL BIOCHEM  
SUPPL. (1992) 0 (16 PART F), 223.  
CODEN: JCBSD7.

AU SELABUURLAGE M B; MELCHERS L S; VLOEMANS S A; WOLOSHUK C P; VAN ROEKEL J S  
C; VAN DEN ELZEN P J M; CORNELISSEN B J C

AN 1992:336444 BIOSIS

L239 ANSWER 36 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)

TI REGULATED INACTIVATION OF HOMOLOGOUS GENE-EXPRESSION IN **TRANSGENIC**  
NICOTIANA-SYLVESTRIS PLANTS CONTAINING A DEFENSE-RELATED TOBACCO  
**CHITINASE** GENE

SO MOLECULAR & GENERAL GENETICS, (NOV 1992) Vol. 235, No. 2-3, pp. 179-188.  
ISSN: 0026-8925.

AU HART C M; FISCHER B; NEUHAUS J M; MEINS F (Reprint)

AN 92:698734 SCISEARCH

L239 ANSWER 37 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)

TI SUBCELLULAR-LOCALIZATION OF PLANT **CHITINASES** AND 1,3-BETA-  
**GLUCANASES** IN CLADOSPORIUM-FULVUM (SYN FULVIA-FULVA)-INFECTED  
TOMATO LEAVES

SO PHYSIOLOGICAL AND MOLECULAR PLANT PATHOLOGY, (JUL 1992) Vol. 41, No. 1,  
pp. 23-32.  
ISSN: 0885-5765.

AU WUBBEN J P; JOOSTEN M H A J; VANKAN J A L; DEWIT P J G M (Reprint)

AN 92:676780 SCISEARCH

L239 ANSWER 38 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Purification and characterization of Cellvibrio mixtus beta-1,3-  
**glucanase** from recombinant E. coli;  
recombinant endo-1,3-beta-D-**glucanase** expression in  
Escherichia coli; may be used as fungicide and for insect resistance  
in **transgenic** plant (conference abstract)

SO Aust. Microbiologist; (1992) 13, 3, A22

AU Sakellaris H; Pemberton J M; Manners J M

AN 1993-01539 BIOTECHDS

L239 ANSWER 39 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Induction of defence protein in plant;  
protease-inhibitor gene expression by treatment with jasmonic acid;  
improved insect resistance and virus disease-resistance in e.g.

**transgenic** plant  
AN 1992-03343 BIOTECHDS  
PI WO 9118512 12 Dec 1991

L239 ANSWER 40 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
TI DNA sequence encoding vacuole targeting peptide;  
especially signal peptide of tobacco **chitinase** or  
**glucanase** gene  
AN 1992-02734 BIOTECHDS  
PI EP 462065 18 Dec 1991

L239 ANSWER 41 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
TI Anti-pathogenic compositions;  
comprise beta-1,3-**glucanase** and **chitinase**; gene  
expression in **transgenic** plant for improved insect  
resistance, or fungus or nematode disease-resistance  
AN 1991-14849 BIOTECHDS  
PI EP 448511 25 Sep 1991

L239 ANSWER 42 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
TI Plants with improved resistance to pathogenic fungus;  
**transgenic** plant expressing **chitinase** and/or  
beta-1,3-**glucanase** genes modified for tissue-specific gene  
expression in apoplast and improved disease-resistance; DNA sequence  
AN 1991-12262 BIOTECHDS  
PI EP 440304 7 Aug 1991

L239 ANSWER 43 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
TI New DNA regulatory sequence from new tobacco **chitinase** gene;  
used to increase expression of foreign gene in **transgenic**  
plant; DNA sequence; potential application in improved herbicide  
resistance  
AN 1991-06911 BIOTECHDS  
PI EP 418695 27 Mar 1991

L239 ANSWER 44 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
TI A SHORT C-TERMINAL SEQUENCE IS NECESSARY AND SUFFICIENT FOR THE TARGETING  
OF **CHITINASES** TO THE PLANT VACUOLE  
SO PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF  
AMERICA, (1991) Vol. 88, No. 22, pp. 10362-10366.  
AU NEUHAUS J M; STICHER L; MEINS F; BOLLER T (Reprint)  
AN 91:635803 SCISEARCH

L239 ANSWER 45 OF 73 MEDLINE DUPLICATE 12  
TI Biochemical and molecular characterization of three barley seed proteins  
with antifungal properties.  
SO JOURNAL OF BIOLOGICAL CHEMISTRY, (1991 Jan 25) 266 (3) 1564-73.  
Journal code: 2985121R. ISSN: 0021-9258.  
AU Leah R; Tommerup H; Svendsen I; Mundy J  
AN 91107649 MEDLINE

L239 ANSWER 46 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
TI BIOCHEMICAL AND MOLECULAR CHARACTERIZATION OF 3 BARLEY SEED PROTEINS WITH  
ANTIFUNGAL PROPERTIES  
SO JOURNAL OF BIOLOGICAL CHEMISTRY, (1991) Vol. 266, No. 3, pp. 1564-1573.  
AU LEAH R; TOMMERUP H; SVENDSEN I; MUNDY J (Reprint)  
AN 91:58988 SCISEARCH

L239 ANSWER 47 OF 73 LIFESCI COPYRIGHT 2003 CSA  
TI Biochemical and molecular characterization of three barley seed proteins  
with antifungal properties.  
SO J. BIOL. CHEM., (1991) vol. 266, no. 3, pp. 1564-1573.  
AU Leach, R.; Tommerup, H.; Svendsen, I.; Mundy, J.  
AN 91:49415 LIFESCI

L239 ANSWER 48 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
 TI DIFFERENTIAL INDUCTION OF ACQUIRED-RESISTANCE AND PR GENE-EXPRESSION IN  
 TOBACCO BY VIRUS-INFECTION, ETHEPHON TREATMENT, UV-LIGHT AND WOUNDING  
 SO PLANT MOLECULAR BIOLOGY, (1991) Vol. 17, No. 6, pp. 1117-1125.  
 AU BREDERODE F T; LINTHORST H J M (Reprint); BOL J F  
 AN 91:638475 SCISEARCH

L239 ANSWER 49 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
 TI DEVELOPMENTAL AND PATHOGEN-INDUCED ACTIVATION OF THE ARABIDOPSIS ACIDIC  
**CHITINASE** PROMOTER  
 SO PLANT CELL, (1991) Vol. 3, No. 10, pp. 1063-1072.  
 AU SAMAC D A (Reprint); SHAH D M  
 AN 91:598095 SCISEARCH

L239 ANSWER 50 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R) DUPLICATE 13  
 TI HIGH-LEVEL EXPRESSION OF A TOBACCO **CHITINASE** GENE IN  
 NICOTIANA-SYLVESTRIS - SUSCEPTIBILITY OF **TRANSGENIC** PLANTS TO  
 CERCOSPORA-NICOTIANAE INFECTION  
 SO PLANT MOLECULAR BIOLOGY, (1991) Vol. 16, No. 1, pp. 141-151.  
 AU NEUHAUS J M; AHLGOY P; HINZ U; FLORES S; MEINS F (Reprint)  
 AN 91:68535 SCISEARCH

L239 ANSWER 51 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R) DUPLICATE 14  
 TI PURIFICATION AND CHARACTERIZATION OF 3 CHITINASES AND ONE  
 BETA-1,3-GLUCANASE ACCUMULATING IN THE MEDIUM OF CELL-SUSPENSION CULTURES  
 OF BARLEY (HORDEUM-VULGARE L)  
 SO PLANT SCIENCE, (1991) Vol. 76, No. 1, pp. 65-77.  
 AU KRAGH K M (Reprint); JACOBSEN S; MIKKELSEN J D; NIELSEN K A  
 AN 91:363435 SCISEARCH

L239 ANSWER 52 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
 TI Disease-resistant **transgenic** plant;  
 disease-resistance; cucumber or tobacco pathogenesis-related protein,  
 e.g. peroxidase, **chitinase**, lysozyme, etc. gene cloning and  
 expression in tobacco; DNA sequence  
 AN 1991-00910 BIOTECHDS  
 PI EP 392225 17 Oct 1990

L239 ANSWER 53 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
 TI CLONING OF 2 GENES FROM BACILLUS-CIRCULANS WL-12 WHICH ENCODE 1,3-BETA-  
**GLUCANASE** ACTIVITY  
 SO JOURNAL OF GENERAL MICROBIOLOGY, (1990) Vol. 136, No. DEC, pp. 2377-2383.  
 AU FISKE M J (Reprint); TOBEYFINCHER K L; FUCHS R L  
 AN 91:26366 SCISEARCH

L239 ANSWER 54 OF 73 LIFESCI COPYRIGHT 2003 CSA DUPLICATE 16  
 TI Zeamatin, an **antifungal protein** from maize with  
 membrane-permeabilizing activity.  
 SO J. GEN. MICROBIOL., (1990) vol. 136, no. 9, pp. 1771-1778.  
 AU Roberts, W.K.; Selitrennikoff, C.P.  
 AN 90:49586 LIFESCI

L239 ANSWER 55 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
 TI TISSUE-SPECIFIC AND PATHOGEN-INDUCED REGULATION OF A NICOTIANA-  
 PLUMBAGINIFOLIA BETA-1,3-**GLUCANASE** GENE  
 SO PLANT CELL, (1990) Vol. 2, No. 12, pp. 1131-1143.  
 AU CASTRESANA C; DECARVALHO F; GHEYSEN G; HABETS M; INZE D; VANMONTAGU M  
 (Reprint)  
 AN 91:2472 SCISEARCH

L239 ANSWER 56 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
 TI Barley hydrolases and ribosome-inactivating proteins inhibit fungal  
 growth;

**chitinase**, ribosome-inactivating protein and  
endo-1,3-beta-D-**glucanase** gene cloning; potential use in  
improved disease-resistance of **transgenic** plant (conference  
paper)

SO Eur.Congr.Biotechnol.; (1990) 5 Meet., 916-18  
AU Tommerup H; Leah R; Jensen A B; Logeman J; Mundy J  
AN 1992-00924 BIOTECHDS

L239 ANSWER 57 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
TI **Chitinase** and **glucanase** from fungally-infected  
alfalfa;

disease-resistance gene cloning and expression in **transgenic**  
plant (conference abstract)

SO J.Cell.Biochem.; (1990) Suppl.14E, 322  
CODEN: JCEBD5

AU Maher E A; Dixon R A  
AN 1990-14265 BIOTECHDS

L239 ANSWER 58 OF 73 BIOSIS COPYRIGHT 2003 BIOLOGICAL ABSTRACTS INC.  
TI PLANT PATHOGENESIS-RELATED PROTEINS INDUCED BY VIRUS INFECTION.  
SO COOK, R. J. (ED.). ANNUAL REVIEW OF PHYTOPATHOLOGY, VOL. 28. X+493P.  
ANNUAL REVIEWS INC.: PALO ALTO, CALIFORNIA, USA. ILLUS. MAPS. (1990) 0  
(0), 113-138.

CODEN: APPYAG. ISSN: 0066-4286. ISBN: 0-8243-1328-3.

AU BOL J F; LINTHORST H J M; CORNELISSEN B J C  
AN 1990:504650 BIOSIS

L239 ANSWER 59 OF 73 BIOSIS COPYRIGHT 2003 BIOLOGICAL ABSTRACTS INC.DUPLICATE  
17

TI GEOGRAPHIC VARIATION OF ALPHA AMYLASE BETA AMYLASE BETA **GLUCANASE**  
PULLULANASE AND **CHITINASE** ACTIVITY IN GERMINATING  
HORDEUM-SPONTANEUM **BARLEY** FROM ISRAEL AND JORDAN.

SO GENETICA (DORDR), (1990) 82 (2), 73-78.  
CODEN: GENE3. ISSN: 0016-6707.

AU AHOKAS H; NASKALI L  
AN 1991:163449 BIOSIS

L239 ANSWER 60 OF 73 SCISEARCH COPYRIGHT 2003 ISI (R)  
TI GEOGRAPHIC-VARIATION OF ALPHA-AMYLASE, BETA-AMYLASE, BETA-  
**GLUCANASE**, PULLULANASE AND **CHITINASE** ACTIVITY IN  
GERMINATING HORDEUM-SPONTANEUM **BARLEY** FROM ISRAEL AND JORDAN

SO GENETICA, (1990) Vol. 82, No. 2, pp. 73-78.

AU AHOKAS H (Reprint); NASKALI L  
AN 91:49634 SCISEARCH

L239 ANSWER 61 OF 73 HCAPLUS COPYRIGHT 2003 ACS  
TI Variation of .alpha.-amylase, .beta.-amylase, .beta.-glucanase,  
pullulanase, proteinase and chitinase activity in germinated samples of  
the wild progenitor of barley

SO Journal of the Institute of Brewing (1990), 96(1), 27-31  
CODEN: JINBAL; ISSN: 0368-2587

AU Ahokas, Hannu; Naskali, Leena  
AN 1990:115802 HCAPLUS  
DN 112:115802

L239 ANSWER 62 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
TI Chemical induction of cloned genes in plants;  
DNA sequence of pathogenesis-related protein gene, vector and  
processes for inducible expression leading to herbicide resistance,  
insect resistance in **transgenic** plant

AN 1989-14435 BIOTECHDS  
PI EP 332104 13 Sep 1989

L239 ANSWER 63 OF 73 HCAPLUS COPYRIGHT 2003 ACS

TI **Synergistic antifungal protein**, its  
preparation from corn, compositions containing it, and its use  
SO PCT Int. Appl., 56 pp.  
CODEN: PIXXD2

IN Roberts, Walden K.; Selitrennikoff, Claude P.; Laue, Bridget E.  
AN 1990:30639 HCAPLUS  
DN 112:30639

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	WO 8902744	A1	19890406	WO 1988-US3420	19881003
	W: AU, DK, FI, JP, KR, NO				
	RW: AT, BE, CH, DE, FR, GB, IT, LU, NL, SE				
	AU 8825572	A1	19890418	AU 1988-25572	19881003

L239 ANSWER 64 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

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plant construction; callus culture (conference abstract)  
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L239 ANSWER 65 OF 73 LIFESCI COPYRIGHT 2003 CSA DUPLICATE 18

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L239 ANSWER 66 OF 73 HCAPLUS COPYRIGHT 2003 ACS

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DN 108:164591

L239 ANSWER 67 OF 73 HCAPLUS COPYRIGHT 2003 ACS

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L239 ANSWER 68 OF 73 MEDLINE

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L239 ANSWER 69 OF 73 BIOSIS COPYRIGHT 2003 BIOLOGICAL ABSTRACTS INC.DUPLICATE  
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L239 ANSWER 70 OF 73 HCAPLUS COPYRIGHT 2003 ACS

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SO Fr. Demande, 14 pp.

CODEN: FRXXBL

AN 1979:556035 HCAPLUS

DN 91:156035

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
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	FR 2385730	B1	19810710		
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L239 ANSWER 71 OF 73 CABA COPYRIGHT 2003 CABI

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AU Davies, D. A. L.; Pope, A. M. S.

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L239 ANSWER 72 OF 73 BIOSIS COPYRIGHT 2003 BIOLOGICAL ABSTRACTS INC.DUPLICATE 20

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SO PHYSIOL PLANT PATHOL, (1976 (RECD 1977)) 9 (3), 215-226.

CODEN: PPPYBC. ISSN: 0048-4059.

AU PEGG G F

AN 1977:164524 BIOSIS

L239 ANSWER 73 OF 73 HCAPLUS COPYRIGHT 2003 ACS

TI Enzymic degradation of septa in hyphal wall preparations from a monokaryon and a dikaryon of Schizophyllum commune

SO Journal of General Microbiology (1974), 83, Pt. 2, 359-68

CODEN: JGMIAN; ISSN: 0022-1287

AU Wessels, J. G. H.; Marchant, R.

AN 1974:548174 HCAPLUS

DN 81:148174

=> d ab 1,5,7,12,20,23,24,41,42

L239 ANSWER 1 OF 73 CABA COPYRIGHT 2003 CABI

L239 ANSWER 5 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

AB Production of **transgenic** plants with fungus disease-resistance is discussed, with respect to: race-cultivar-specific resistance traits (e.g. the Hm locus in maize (Zea mays) conferring resistance to Helminthosporium carbonum race 1); a two-component system for non-specific resistance (e.g. transfer of the Cladosporium fulvum avr9 gene into tomato (Lycopersicon esculentum) containing resistance gene Cf9

under a pathogen-inducible promoter); compounds toxic to fungi (e.g. cloning of a peanut (*Arachis hypogaea*) stilbene-synthase gene in tobacco (*Nicotiana tabacum*) for resistance to *Botrytis cinerea*); antifungal proteins (e.g. **chitinase** (EC-3.2.1.14) and endo-1,3-beta-D-**glucanase** (EC-3.2.1.39), ribosome inactivating protein and other antifungal proteins); and inhibitors of fungal enzymes (e.g. polygalacturonase-inhibitor). Increasing the knowledge of the molecular bases of pathogenicity and resistance should lead to development of new crop improvement strategies in future. (20 ref)

L239 ANSWER 7 OF 73 MEDLINE

DUPLICATE 4

AB The *Nicotiana tabacum* ap24 gene encoding a protein with antifungal activity toward *Phytophthora infestans* has been characterized. Analysis of cDNA clones revealed that at least three ap24-like genes are induced in tobacco upon infection with tobacco mosaic virus. Amino acid sequencing of the purified protein showed that AP24 is synthesized as a preproprotein from which an amino-terminal signal peptide and a carboxyl-terminal propeptide (CTPP) are cleaved off during post-translational processing. The functional role of the CTPP was investigated by expressing chimeric genes encoding either wild-type AP24 or a mutant protein lacking the CTPP. Plants expressing the wild-type construct resulted in proteins properly sorted to the vacuole. In contrast, the proteins produced in plants expressing the mutant construct were secreted extracellularly, indicating that the CTPP is necessary for targeting of AP24 to the vacuoles. Similar results were obtained for vacuolar **chitinases** and beta-1,3-**glucanases** of tobacco. The extracellularly targeted mutant proteins were shown to have retained their biological activity. Together, these results suggest that within all vacuolar pathogenesis-related proteins the targeting information resides in a short carboxyl-terminal propeptide which is removed during or after transport to the plant vacuole.

L239 ANSWER 12 OF 73 CABA COPYRIGHT 2003 CABI

AB Two genes encoding proteins with antifungal activity, RIP from **barley** and a **chitinase** (ChiA) from the bacterium *Serratia marcescens*, were introduced into tobacco under the control of the wound-inducible promoter wun1 or the constitutive 35S promoter. When these plants were infected with *Rhizoctonia solani*, they grew nearly as fast as uninfected tobacco plants and showed reduced infection symptoms compared with control plants. The fungal resistance of these transgenic tobacco plants was stably inherited.

L239 ANSWER 20 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

AB The hydrolytic enzymes **chitinase** (EC-3.2.1.14), cellulase (EC-3.2.1.4) and cellobiohydrolase (EC-3.2.1.91) partially digest isolated cell walls of potential pathogens and inhibit fungal growth in vitro. The enzymes act **synergistically** to inhibit fungal growth. Constitutive overexpression of a bean **chitinase** in **transgenic** plants enhanced resistance against fungal pathogens. Constitutive co-expression of **chitinases**, cellulase and cellobiohydrolase may have the potential to strengthen plant resistance against phytopathogenic fungi. The potential of **chitinase**, cellulase and cellobiohydrolase co-expression in alfalfa (*Medicago sativa*) using an acidic **glucanase** cDNA clone isolated previously from an elicited alfalfa (*Medicago sativa*) cell suspension culture and a genomic clone of rice **chitinase** (RCH10) was investigated. The complexity of the **glucanase** gene family in alfalfa was also studied. (0 ref)

L239 ANSWER 23 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

AB Constitutive expression of genes encoding a **chitinase** (EC-3.2.1.4) or a ribosome inactivating protein in **transgenic** plants confers partial protection against fungal attack. The imminent cloning of disease-resistance genes and identification of genes that

affect symptom development will provide attractive new opportunities for enhancing crop protection. Strategies for enhancing crop resistance to microbial pathogens were discussed under the following headings: (A) manipulation of single gene defense mechanisms - **chitinases** and **glucanases**, ribosome inactivating proteins, other single gene defense mechanisms and topical applications; (B) manipulation of multigenic defense mechanisms - enhanced stress metabolism, engineering new and modified phytoalexins; (C) manipulation of regulatory mechanisms - single perception and transduction, agrochemicals that induce resistance; (D) amelioration of symptoms; and (E) future prospects. Emerging novel strategies for engineering crop protection will be incorporated into ongoing breeding and integrated management efforts to match food supply with demand. (0 ref)

L239 ANSWER 24 OF 73 CABA COPYRIGHT 2003 CABI

AB Combinations of class I beta -1,3-**glucanase** and **chitinase**, which are induced in plants infected with microbial pathogens, are potent fungicides in vitro. This finding suggests that these enzymes may be important in the defence against fungal infection. To test this hypothesis and to identify other functions of these proteins, sense and antisense transformation assays of *Nicotiana sylvestris* with Ti vectors containing the coding sequence of **chitinase** gene Chn48 or beta -1,3-**glucanase** gene Gla from tobacco were carried out. Susceptibility of transformed plants to *Cercospora nicotianae* was not significantly different from that of non-transformed plants, indicating that the accumulation of the enzymes is not a limiting factor in the plant defence against this pathogen. Probable silencing of the **transgenes** by trans-inactivation at the mRNA level is suggested.

L239 ANSWER 41 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

AB A composition for controlling plant pathogens contains 1 or more cell membrane-degrading components (preferably a natural or synthetic lytic peptide which can penetrate, lyse or otherwise impair the pathogen's cell membrane) and 1 or more hydrolytic enzymes. The lytic peptide is preferably a mammalian defensin, cecropin, thionin, mellitin, or insect defensin, magainin, attacin, dipteris, sapecin, cacrutin or xenopsin. The enzyme is beta-1,3-**glucanase** and/or **chitinase** (EC-3.2.1.14). Also claimed is a **transgenic** plant carrying recombinant DNA sequences encoding 1 or more cell membrane-degrading components (as above) and 1 or more hydrolytic enzymes (as above). The **transgenic** plant is able to synthesize anti-pathogenically effective amounts of 1 or more of these components. Transformation is normally performed using a *Agrobacterium tumefaciens* binary vector system. Examples are provided of the stable transformation (by direct infection with DNA, or co-cultivation of plant tissue with *A. tumefaciens*, or protoplast treatment using PEG or electroporation) and regeneration of **transgenic** tobacco, carrot, sunflower, tomato, cotton, maize, and orchardgrass. (35pp)

L239 ANSWER 42 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

AB Plants which exhibit relative overexpression of intracellular **chitinase** (EC-3.2.1.14) and/or beta-1,3-**glucanase** genes are new. The overexpression is a result of genetic manipulation of the plants (or their ancestors). Also claimed are: recombinant DNA (I) and (II) for overexpression of **chitinase** and beta-1,3-**glucanase** genes, respectively, including a gene encoding 1 of the enzymes, under control of a promoter and operably linked to a terminator, and a gene encoding a selectable marker or screenable trait; a cloning or transformation vector containing (I) or (II); plasmid pMOG200, plasmid pMOG212, plasmid pMOG289, plasmid pMOG512 and their derivatives; bacteria harboring a plasmid; and a process for obtaining fungus-resistant plants by transformation and plant regeneration. The enzymes provide resistance to many phytopathogenic fungi. Their genes are modified by creating stop codons at their 3' ends, resulting in deletion of 3-10 C-terminal amino

acids from the **chitinase**, and 3-25 residues from the beta-1,3-**glucanase**. The genes are preferably under the control of the cauliflower-mosaic virus 35S promoter and are targeted to the apoplast of the plant. (55pp)

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L239 ANSWER 12 OF 73 CABA COPYRIGHT 2003 CABI

TI Expression of a ribosome inhibiting protein (RIP) or a bacterial chitinase leads to fungal resistance in transgenic plants.

SO Mechanisms of plant defense responses, (1993) pp. 446-448. Proceedings of the 2nd International Conference of the European Foundation for Plant Pathology, Strasbourg, France, 24-27 August 1992. 10 ref. Publisher: Kluwer Academic Publishers. ISBN: 0-7923-2154-5

AU Logemann, J.; Jach, G.; Logemann, S.; Leah, R.; Wolf, G.; Mundy, J.; Oppenheim, A.; Chet, I.; Schell, J.; Fritig, B. [EDITOR]; Legrand, M. [EDITOR]

AN 94:46413 CABA

L239 ANSWER 20 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Expression of hydrolases in transgenic alfalfa plants; chitinase, cellulase and cellobiohydrolase gene expression in transgenic plant for fungus disease-resistance and crop improvement (conference abstract)

SO Plant Physiol.; (1993) 102, 1, Suppl., 167 CODEN: PLPHAY

AU Masoud S; Lamb C J; Dixon R A

AN 1993-09330 BIOTECHDS

L239 ANSWER 23 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Emerging strategies for enhancing crop resistance to microbial pathogens; engineering disease-resistance in transgenic plant for crop improvement (conference paper)

SO Curr.Plant Sci.Biotechnol.Agric.; (1993) 45-60 CODEN: 9999T

AU Lamb C J

AN 1993-14530 BIOTECHDS

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## EMERGING STRATEGIES FOR ENHANCING CROP RESISTANCE TO MICROBIAL PATHOGENS

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Science, La Jolla, CA 9203F.  
CIBA-GEIGY<sup>1</sup>, Sammel Roberts Noble Fandation<sup>2</sup>.

There are marked differences in the pattern of host gene expression in incompatible plant: microbial pathogen interactions compared with compatible interactions, associated with the elaboration of inducible defenses. Constitutive expression of genes encoding a chitinase or a ribosome-inactivating protein in transgenic plants confers partial protection against fungal attack, and a large repertoire of such antimicrobial genes has been identified for further manipulation. In addition, strategies are emerging for the manipulation of multigenic defenses such as lignin deposition and synthesis of phytoalexin antibiotics by overexpression of genes encoding rate determining steps, modification of transcription factors or other regulatory genes, and engineering production of novel phytoalexins by interspecies transfer of biosynthetic genes. The imminent cloning of disease resistance genes, futher molecular dissection of stress signal perception and transduction mechanisms, and identification of genes that affect symptom development will provide attractive new opportunities for enhancing crop protection. Combinatorial integration of these novel strategies into ongoing breeding programs should make an important contribution to effective, durable field resistance.

### MANIPULATION OF SINGLE GENE DEFENSE MECHANISMS

Chitinases and glucanases. One strategy is to express in a constitutive manner defense genes that are normally only induced as a result of pathogen attack. The most attractive initial candidates for this approach are genes encoding chitinases and  $\beta$ 1,3 glucanases. Thus some of these lytic enzymes have been shown to be active *in vitro* against various pathogens, and since the active antimicrobial agents are individually encoded by single genes, these defense systems should be highly amenable to manipulation by gene transfer. The first report of success with this approach was the expression of a bean vacuolar chitinase gene under the control of the strong constitutive promoter of the cauliflower mosaic virus (CaMV) 35S transcript in tobacco and *Brassica napus* which resulted in decreased symptom formation by *Rhizoctonia solani* the causative agent of post-emergent damping-off. Significant reduction in fungal growth and delay in disease

development were observed and in the case of *B.napus* protection approached potentially useful levels with respect to reduced crop damage at inoculum densities likely to be encountered in the field. It is not clear whether the protection afforded by the chitinase transgene reflects a direct lytic effect killing the pathogen on contact or perturbation of the growth of the pathogen, slowing it with respect to the activation of the endogenous pathogen inducible defenses.

High level constitutive expression of the chitinase transgene in tobacco was obtained in these studies suggesting that a key aspect of the protection may be the engineered deployment of an effective defense mechanism prior to the activation of natural inducible defenses. However, since this chitinase gene was transferred from bean, it cannot be excluded by that the properties of chitinase encoded the transgene are different from those of the endogenous tobacco chitinases, e.g. substrate specificity  $V_{max}$   $K_m$  stability and that confrontation of the pathogen with this unfamiliar chitinase rather than its manner of expression was critical for effective protection. Interestingly, transfer of a tobacco basic vacuolar chitinase gene under the control of the CaMV 35S promoter into the closely related species *Nicotiana glauca* did not give effective protection against *Cercospora nicotianae*, even in transgenic plants exhibiting constitutively high levels of chitinase activity.

Glucanases have also been expressed in plants, but no phenotypic effects have been reported to-date. However, glucanases and chitinases can act synergistically against fungi *in vitro* as might be expected from consideration of the organization of the cell wall at the mycelial tip. Hence, plants that constitutively express both chitinase and glucanase may exhibit greater disease control than plants expressing either one alone and experiments are in progress to test this hypothesis. Since plants express a variety of different chitinases and glucanases, there is a large potential repertoire of lytic enzyme genes, and optimal protection in the field may require manipulation of the expression of appropriate combinations of several genes encoding lytic enzymes with complementary activities.

**Ribosome-inactivating proteins.** Ribosome-inactivating proteins (RIP) such as the barley seed RIP, its wheat homolog tritin and the related ricin A-chain inhibit protein synthesis by specific RNA N-glycosidase modification of 28S rRNA. RIPs do not inactivate self ribosomes but show activity towards ribosomes from distantly related species including fungi, and purified barley RIP inhibits the growth of fungi *in vitro*. Expression of the barley RIP cDNA under the control of a wound-inducible promoter in transgenic tobacco plants confers protection against the soil-borne pathogen *Rhizoctonia solani* as judged by height differences between control and transgenic plants grown in infected soil, although direct measurements of the effect of the transgene on lesion size and fungal growth were not reported.

The successful use of RIPs in crop protection will depend on the extent to which their cytotoxicity is detrimental to the host cells. Although introduction of the barley RIP cDNA under control of a wound-inducible promoter that is also strongly active in pollen and floral organs did not cause infertility in the primary transformants and tobacco plants expressing the cDNA under the control of the CaMV 35S promoter apparently grow normally. Moreover, RIPs from different species vary considerably in their inhibitory specificities, and the host cytotoxicity of otherwise potentially useful RIPs may be alleviated by targeting to the extracellular space or vacuole and inducible expression. RIPs interact synergistically with chitinases *in vitro* suggesting that uptake into the fungus may be a limiting factor such that digestion of the mycelial cell wall increase effective antimicrobial activity.

**Other single gene defense mechanisms.** While random screening of plant extracts for antimicrobial proteins may provide potential leads for novel antimicrobial genes several targeted strategies appear particularly worthwhile. For example, stress inducible proteins in addition to specific chitinases and glucanases likely have antimicrobial properties, and other PR protein genes with cryptic functions have been manipulated to give strong constitutive expression. Constitutive expression of the tobacco PR-1 gene has no apparent effect on symptom development in transgenic tobacco inoculated with tobacco mosaic virus (TMV), but recent data indicate delayed onset of blue mold caused by *Peronospora tabacina*. The tobacco PR-5 gene has also been constitutively expressed in transgenic tobacco. No effect on symptom formation by TMV was observed. However, since PR-5 is closely related to osmotins with *in vitro* antifungal activity, this class of PR protein genes are clearly candidates for more extensive pathogen testing in transgenic plants engineered for over-expression. While these studies involve manipulated expression of specific PR protein genes in the homologous plant interspecies gene transfer may be particularly effective since this strategy allows the introduction of proteins with potentially distinctive properties compared to the resident battery of inducible antimicrobial activities, in addition to engineering constitutive expression.

Floral organs contain high levels of glucanase, chitinase and other PR proteins, which may reflect anticipatory expression of specific defense mechanisms in particularly vulnerable tissues, although non-defense functions for a glucanase abundant in stigma have been proposed, and a specific extracellular chitinase is able to rescue a carrot mutant defective in somatic embryo genesis. Irrespective of whether such enzymes have a primary function in development or defense the expression of specific PR protein genes in floral organs provides a rich source of potential antimicrobial proteins with possibly novel properties. Likewise seeds appear to be an excellent source of antimicrobial proteins. For example in addition to chitinases, glucanases and

RIPs specific lectins often accumulate to high levels during embryogenesis, and a lectin from stinging nettle has been shown to have strong antimicrobial activity although its mode of action remains to be determined. Interestingly the corresponding gene encodes a polypeptide with an active chitinase at the C terminus separated from the two lectin domains by a short linker sequence.

The search for active antimicrobial genes has not been restricted to the genomes of higher plants. For example expression of a chitinase from the bacterium *Serratia marcescens* apparently confers protection against *Rhizoctonia solani* and the genes encoding antimicrobial proteins involved in established biological control systems have obvious potential for manipulation and like wise introduction of non-plant lysozymes, eg. phage. The cecropin family of antimicrobial peptides from the giant silk moth are attractive candidates for manipulation lysozyme or mammalian lysozymes. might enhance resistance to bacterial pathogens. Bovine lysozyme is active *in vitro* against a range of both gram positive bacteria (eg. *Clavibacter*) and gram negative bacteria, including phytopathogenic *Agrobacterium*, *Erwinia*, *Pseudomonas* and *Xanthomonas* species and preliminary data indicate that constitutive expression of the bovine gene in tobacco gives some protection against *Pseudomonas syringae* pv. *tabaci*.

**Topical applications.** While it is generally envisioned that the delivery of antimicrobial proteins will be through the creation of transgenic plants with appropriate expression of the transgene(s), antimicrobial proteins with direct modes of action such as lytic enzymes could also be delivered by topical application. For example bovine lysozyme produced in *Pichia pastoralis* is highly stable and spraying tomato with lysozyme purified in one step from this yeast expression system protects against *P. syringae*. This approach avoids the time and expense of producing transgenic plants, and hence may be particularly attractive for annual crops that are difficult to transform e.g. cereals, crops where extensive crossing of the transgene into breeding lines is required, and perennial plantation crops, where the agronomic impact of transgenic germplasm takes many years to be realized. Moreover, since antimicrobial proteins appear to act synergistically topical application would allow flexible deployment of different combinatorial formulations individually tailored for specific problems. The costs of protein production and application will be critical factors in determining the usefulness of this approach although lysozyme treatment of seed stocks contaminated with bacterial pathogens e.g. *Corynebacterium michiganense* pv *michiganense* in hybrid tomato seed and *Xanthomonas campestris* pv *carotae* in hybrid carrot seed is immediately attractive given the targeted mode of application and the lack of satisfactory alternatives.

#### MANIPULATION OF MULTIGENIC DEFENSE MECHANISMS

**Enhanced stress metabolism.** Defense responses such as phytoalexin biosynthesis or lignin deposition in the cell wall

require the action of many genes. Prospects for enhancing the expression of such multigenic defenses depend on either the identification of a rate determining step, manipulation of which impacts flux through the multistep pathway leading to the elaboration of the protective agent or the identification of regulatory genes that condition the coordinate expression of batteries of functionally interdependent defense genes.

Phenylalanine ammonia lyase (PAL) catalyzes the first reaction in the synthesis of a wide range of natural products based on the phenylpropane skeleton including lignin monomers as well as certain classes of phytoalexins (eg. pterocarpan in Leguminosae and furanocoumarins in Solanaceae and Umbelliferae). Analysis of a series of transgenic tobacco plants in which PAL activity was reduced to varying degrees following introduction of heterologous (bean) PAL sequences revealed a direct relationship between the level of PAL activity and accumulation of phenylpropanoid products indicating that PAL is a major rate determining step in this complex biosynthetic pathway. Moreover transgenic plants with suppressed phenylpropanoid biosynthesis show increased susceptibility to the tobacco pathogen *Cercospora nicotianae*. These studies show that it is possible to alter flux through a multistep metabolic pathway and hence impact the biological activity of the pathway products by manipulation of the expression of a single gene encoding a key regulatory enzyme and it will be of considerable interest to determine over what interval plants exhibiting higher than wild type levels of PAL activity show corresponding increases in phenylpropanoid accumulation and pathogen resistance.

It may also be possible to manipulate flux into specific branch pathways of phenylpropanoid biosynthesis. Thus a comparison of induced enzyme activities in cell suspension cultures of two chickpea lines one resistant and one susceptible to the devastating fungal pathogen *Ascochyta blight* indicates that the massive increase in pterocarpin phytoalexin production in the resistant cultivar compared to the susceptible cultivar is primarily regulated by differential induction of isoflavone 2'-hydroxylase activity is a conceptually simple strategy for increasing phytoalexin accumulation in this particular case although the relatively large constitutive pool of isoflavonoid precursors in chick peas suggests that it would be important to avoid leaky expression of the transgene during normal development in the absence of an applied stress.

Anionic peroxidases in the cell wall catalyze the production of phenolic radicals for the oxidative polymerization of lignin from cinnamyl alcohols and may also be involved in other oxidative reactions at the cell surface implicated in plant defense such as the cross-linking of cell wall structural proteins. In tomato there is a marked induction of two linked genes encoding highly anionic peroxidases (TAP1,2) in an incompatible interaction with an avirulent form of *Verticillium albo-atrum*, with only weak induction in the compatible interaction

with a virulent form of this vascular pathogen. Expression of one of these genes in transgenic tobacco under the control of either its own promoter or the CaMV 35S promoter resulted in a massive increase in anionic peroxidase activity, and these plants apparently showed a substantial increase in resistance to *Peronospora parasitica* as measured by symptom development and fungal sporulation.

These emerging studies indicate that it should be possible to enhance the effectiveness of multigenic defense mechanisms by the identification and manipulation of genes encoding key rate determining steps differentially regulated in incompatible versus compatible interactions. While various aspects of phenylpropanoid stress metabolism are the most amenable to this approach at present our understanding of the biosynthesis of the antimicrobial benzophenanthridine alkaloids is rapidly increasing, and a key branch-point enzyme in this pathway has recently been cloned. Manipulation of alkaloid biosynthetic pathways for the production of both plant protective compounds and pharmacologically active compounds will likely become a major area of transgenic research in the near future. Preliminary attempts have been made to increase indole alkaloid biosynthesis in transgenic tobacco by overexpression of the enzyme tryptophan decarboxylase which catalyzes the first committed step.

Considerable efforts have been devoted to the characterization of defense gene promoters and the identification of specific cis-elements involved in activation by pathogen attack. A number of promoter: reporter gene fusions have been shown to be induced by fungi, bacteria, viruses, insects and treatment with various elicitors: salicylic acid, ethylene and wounding. Many stress-inducible promoters also show developmental expression apparently in the absence of applied stress eg. the promoters of PAL and CHS genes are active in root tips and certain floral organs as well as being activated by several biological stress signals. However an anionic peroxidase (TAP.1) promoter from tomato appears to be exclusively stress inducible, and such promoters or synthetic promoters engineered from complex defense gene promoters to retain inducible expression in the absence of developmental expression may be very useful for delivering conditional targeted expression of antimicrobial proteins such as RIPs. Identification of promoters that are strongly activated as early as possible in a compatible interaction would be particularly useful since such promoters would allow the engineered expression of antimicrobial genes independent of molecular recognition of pathogen attack and in precisely those situations where the endogenous resistance mechanisms are not effectively deployed.

Functional analysis in protoplasts and transgenic plants has identified specific promoter sequences involved in stress activation. For example a 125 bp region of the parsley PR protein 2 gene promoter is sufficient for induction in elicitor-treated protoplasts and also confers elicitor regulation on a CaMV 35S

minimal promoter. Functional analysis and studies of elicitor induced changes in chromatin structure have identified the sequence CCTACC designated the H-box or related AC rich sequences, as important for the activation of PAL and CHS transcription by elicitors or during the hypersensitive response two H-box factors that have been purified to homogeneity from bean cells and this provides the basis for isolation and manipulation of genes involved in the terminal stages of a signal pathway for activation of inducible defenses. The H-box appears to operate in combination with a cis-element with a CACGTG core sequence designated the G-box for both developmental regulation and stress induction of the bean CHS15 gene. The G-box is part of a family of palindromic cis-elements involved in diverse modes of gene expression including induction by wounding light. UV and abscisic acid and several G-box finding factors are members of the bZIP class of transcription factors. While modifications of combinatorial interactions between different cis-elements may engineer promoters with novel properties for the regulation of individual antimicrobial genes corresponding modifications of the structure or regulation of specific trans-factors eg. G-and H-box trans-factor combinations will allow concerted manipulation of the expression of batteries of functionally related defense genes.

**Engineering new and modified phytoalexins.** Since different plant species produce different phytoalexins, the interspecies transfer of biosynthetic genes provides the basis for engineering novel classes of phytoalexins in crop plants. Pathogens are often less tolerant of the phytoalexins of non-host species and the virulence of *Nectria hematococca* and possibly a number of other relatively unspecialized pathogens is dependent on their ability to detoxify host phytoalexins. Therefore confrontation of such pathogens with unfamiliar phytoalexins is potentially an attractive strategy for enhancing resistance (Fig.1).

The phytoalexin resveratrol is synthesized by stilbene synthase in a single step from p-coumaroyl-CoA and malonyl-CoA the same substrates used by chalcone synthase for production of isoflavonoid phytoalexin precursors in soybean bean and pea. The stilbene synthase gene from peanut has been introduced into tobacco such that resveratrol is produced on exposure to UV light or fungal elicitor but the effect of production of this new phytoalexin in tobacco in augmenting natural resistance afforded by the endogenous sesquiterpenoid phytoalexins and other inducible defenses has not yet been reported.

A second example of the generation of a phytoalexin in one step from a common metabolite is the synthesis of the cyclic diterpene casbene from the isoprenoid precursor geranylgeranyl pyrophosphate. Casbene synthase has been cloned from castor bean and is an attractive target for transfer into unrelated species with distinct phytoalexins. Similarly, sesquiterpene cyclases catalyze the conversion of farnesyl pyrophosphate to the precursors of the sesquiterpenoid phytoalexins of the Solanaceae

and expression of a fungal sesquiterpene cyclase gene results in the accumulation of new sesquiterpenoids in transgenic tobacco including trichodiene the precursor of the trichothecene family of toxic natural products. However, transfer of genes for modification of the initial cyclization product would be required to produce antimicrobial sesquiterpenoids in non-solanaceous plants.

Interesting opportunities also exist for the modification of the natural phytoalexins of a plant species to render them more toxic to its pathogens. For example pisatin exists as the (+) (6aS 11aS) stereoisomer in peas as does the related pterocarpin maackiain and the pea pathogen *N.hematococca* is much less sensitive to pterocarpin phytoalexins of the 6aS 11aS configuration than to those of the opposite stereochemistry reflecting the specificity of the substrate-inducible fungal cytochrome P450 enzyme pisatin demethylase for detoxification of (+) rather than (-) pterocarpan. Other legumes such as soybean and alfalfa produce pterocarpin phytoalexins (glyceollin and medicarpin respectively) of the 6aR 11aR configuration and the stereochemistry of pterocarpan is believed to be determined by isoflavone reductase and pterocarpin synthase which catalyze the reduction of isoflavone to isoflavone and the final stereospecific ring closure respectively. Transfer of genes encoding these enzymes from species with (+) pterocarpin phytoalexins (eg. peanut and the leguminous tree *Sophora japonicum*) to species with (-) pterocarpin phytoalexins (eg. alfalfa and chickpea). or vice versa should result in the formation of stereoisomers that are less readily degraded by the pathogens of the recipient host plant. As a first step isoflavone reductases have been purified and cloned from alfalfa pea and chickpea.

Other possible strategies for enhancing the effectiveness of endogenous phytoalexins have emerged from studies of relationships between structure and antimicrobial activity. For example lipophilicity is an important feature of isoflavonoid phytoalexins such that the nonprenylated precursors of antimicrobial prenylated isoflavonoids such as wighteone and kievitone lack activity and virulence of *Fusarium solani* is associated with the ability to detoxify kievitone by hydration of the prenyl moiety. Moreover prenylated isoflavones have stronger antifeeding activity against Coleopteran insects than non-prenylated precursors suggesting the potential for engineering protection against both insects and fungi by manipulation of prenyltransferase genes. A labile dimethylallyl pyrophosphate:3,9-dihydroxypterocarpin 10-dimethylallyl transferase which prenylates the precursor of the bean phytoalexin phaseollin and can also prenylate the alfalfa phytoalexin medicarpin has been purified from elicited bean cells and an elicitor inducible cytochrome p450 for cyclization of the prenyl side chain as found in phaseollin and the soybean phytoalexin glyceollin has been characterized in soybean. In addition to prenylation methylation



can also have a major impact on antimicrobial activity as implied by the mechanism for pisatin detoxification in *N.hematococca*. A number of methyltransferases for phytoalexin intermediates have recently been isolated as a first step toward manipulation in transgenic plants.

#### MANIPULATION OF REGULATORY MECHANISMS

Signal perception and transduction. Many of the well characterized disease resistance genes appear to provide early recognition of a subset of the total races within a pathogen species often closely correlated with hypersensitive necrosis and defense activation. Resistance genes and the corresponding avirulence genes are dominant or partially dominant and a number of resistance genes often exhibit a high degree of intrinsic instability. For example unidirectional conversion of resistant alleles of the maize *Rpl* locus. Which conditions resistance to *Puccinia sorghi* to the recessive susceptible state is quantitatively allele-specific and occurs with a frequency of  $10^{-2}$  to  $10^{-4}$ . This instability may reflect unequal recombination within complex loci which could provide a potential mechanism for the generation of new recognition specificities. Major resistance genes are often not durable in the field because of pathogen mutation although in some cases resistance remain unbroken after 20-30 years field use and in at least one case such durability reflects constraints on the pathogen arising from a role for the corresponding avirulence gene in fitness.

Strategies for cloning resistance genes include: differential expression with respect to genotype tissue specificity or physiological conditions; transposon tagging map based cloning biochemical characterization of binding sites for race specific elicitors and shotgun cloning by function. This last approach may now be feasible because of the development of ballistic delivery systems for transient expression in plant tissues and the characterization of a low-molecular weight glycolipid race specific elicitor conditioned by the *P.syringae* *avrD* gene. This allows screening of pools of soybean cDNAs from the genotype containing the dominant allele of the corresponding resistance gene in bombarded tissue of a genotype containing recessive alleles. The assay is based on the appearance of a local hypersensitive response to the race specific elicitor against a background of non-responding tissue. Moreover, the recent characterization of this glycolipid race specific bacterial elicitor and a peptide race specific fungal elicitor encoded by the *Cladosporium fulvum* *Avr9* gene now allows for direct biochemical study of the binding to putative products of the corresponding resistance genes in soybean (*Rpg4*) and tomato (*Cf9*) respectively. Efforts to clone resistance genes have also been facilitated by emerging transposon tagging and genome mapping tools in model systems such as tomato and *Arabidopsis* and in the latter case by the characterization of pathosystems that exhibit gene-for-gene specificity. For example the *Arabidopsis* *RPM1* locus. Which establishes incompatibility to *P.syringae* pv

*maculicola* carrying the corresponding avirulence gene maps to the top of chromosome 3 and has been located on a 270 kb YAC clone. Likewise the tomato 12 locus for resistance to *Fusarium oxysporum* f.sp *hyopersiae* race 2 appears to be located within 40kb of an RFLP marker and the isolation of several resistance genes from such model systems appears imminent.

In addition to providing insights into the molecular mechanisms underlying the perception of specific pathogen races and the initial stages of signal transduction for induction of hypersensitive necrosis and defense activation the molecular cloning of resistance genes will allow the enhancement of surveillance mechanisms by the pyramiding of allelic combinations of resistance genes not readily accomplished by conventional breeding. This strategy should reduce the frequency of pathogen mutation to virulence by avoidance of recognition by the host and as structure:activity relationships emerge new recognitional specificities might be engineered by domain swapping and related modifications. Moreover gene transfer between pathovars has revealed the presence of avirulence genes not pathogenic on the host plant eg. *avrD* was isolated from *P.syringae* pv tomato but its function and the presence of the corresponding resistance gene in soybean was only revealed after mobilization into *P.syringae* pv *glycinea* because the tomato pathovar is not pathogenic on soybean. These studies suggest that interspecies transfer of resistance genes may allow the introduction of novel specificities into crop species and that non-host resistance as well as race-cultivar specificity may in some instances be conditioned by gene-for-gene interactions. Hence transfer into tomato of the soybean resistance gene corresponding to *avrD* might protect against *P.syringae* pv tomato.

The molecular basis of the perception of pathogen attack is also being revealed by biochemical approaches. Thus a specific 3.3 6-linked glucan heptamer has been identified as the minimal active fragment of has been identified as the minimal active fragment of an elicitor isolated from the mycelial cell walls of the soybean fungal pathogen *Phytophthora megasperma* f.sp.*glycinea*. This elicitor does not appear to be confined to specific races of the pathogen, or active with only specific cultivars of the host, and hence may be involved in non-host resistance although race-cultivar specificity might reside in the release of the active fragments from the mycelial wall or their subsequent breakdown rather than in the structure of the elicitor *per se*. A 70kD plasma membrane protein that exhibits high affinity binding of elicitor active heptaglucoside isomers ( $K_d=3nM$ ) has been purified as a putative receptor and represents an attractive target for molecular cloning. *Phytophthora cryptogea* and *p.capsici* two pathogens causing systemic leaf necrosis on tobacco produce closely related 98-amino acid peptides cryptogein and capsicein respectively which are sufficient to cause necrosis and application of these peptides protect tobacco against *P.nicotianae* which does not produce such an elicitor.

Cryptogein causes visible necrosis at a dose of 1  $\mu$ g per plant, whereas 50 times as much capsaicin is required to produce a similar reaction. The latter induces protection in the near absence of necrosis, suggesting that the protective and necrosis-inducing activities of these peptides may be distinct. Appropriate expression of capsaicin and related sequences in transgenic plants may provide a new approach to engineering protection and the putative plant receptors for these host range determinants are also attractive targets for future manipulation. The recent discoveries of the peptide systemin and salicylic acid as signal molecules in the systemic induction of defenses in response to wounding and hypersensitive necrosis respectively like wise provide the basis for the characterization and manipulation of receptors involved in stress signaling (Fig.2). A soluble binding site for salicylic and that appears as an aggregate of approximately 650 kD has recently been reported in tobacco.

There is considerable interest in the signaling mechanisms between recognition events at the cell surface such as elicitor binding to plasma membrane receptors and the activation of inducible defenses.  $\text{Ca}^{2+}$  appears to be essential for the induction of phytoalexin biosynthetic genes in response to elicitors and influx of  $\text{Ca}^{2+}$  leading to increased cytosolic levels has been observed in the early stages of the response.  $\text{H}^{+}$  influx and  $\text{K}^{+}$  efflux precede the  $\text{Ca}^{2+}$  response and experiments with polyene antibiotics suggest that these ion fluxes may likewise be causally related to defense activation. Elicitors also cause rapid changes in the phosphorylation of specific plasma membrane and nuclear proteins and protein kinase inhibitors block ethylene and PAL induction. However, while these physiological experiments disclose possible early events they do not reveal the specific molecular machinery involved in signal transduction. Such insights necessary for biotechnological manipulation will likely come from genetic dissection of signal transduction and step wise biochemical analysis of the pathways either forward from signal perception or backward from defense gene transcription factors.

Hypersensitive necrosis is associated with an oxidative burst leading to the production of activated oxygen species such as superoxide and  $\text{H}_2\text{O}_2$ . Elicitor induces the transient accumulation of  $\text{H}_2\text{O}_2$  at the surface of soybean cells with 2 to 3 minutes and simultaneous addition of catalase or ascorbate blocks phytoalexin accumulation. Hence the oxidative burst may play a role not only in the rapid cross-linking of cell wall structural proteins but also in signaling the nucleus for defense gene activation. The stress activation of oxidative metabolism at the plant cell surface is strikingly similar to macrophage activation in the immune system and fertilization induced cross linking of extracellular matrix proteins in *Xenopus* eggs. Likewise the transcription factor NF $\kappa$ B is regulated by  $\text{H}_2\text{O}_2$  and it will be of interest to determine whether the underlying molecular machinery involved in the plant oxidative burst protein cross linking and

defense gene regulation resembles the animal systems.

Agrichemicals that induce resistance. Several classes of compounds have the potential to act as inducers of natural resistance mechanisms in agricultural settings and chemicals with such indirect modes of action may offer attractive alternatives or additions to existing contact fungicides in integrated pest management. For example probenazole the active ingredient in Oryzemat (Meiji Seika) is widely used in the Pacific rim for control of rice blast caused by *Magnaportha grisea*. Probenazole and its metabolites do not themselves have antifungal activity but the fungicide evokes significant alterations in host physiology which may contribute to protein including the production of fatty acids with antifungal activity eg.  $\alpha$ -linolenic acid, and increases in the activities of lignin biosynthetic enzymes eg. PAL catechol o-methyltransferase and peroxidase.

Other synthetic inducers of protection not currently available commercially are 2,6-dichloroisonicotinic acid (INA) (Fig.2) and N-phenylsulfonyl-2-chloroisonicotin amide (PBSI). INA has no direct effect on bacterial or fungal pathogens but induces the same set of defense genes that are systemically activated by local pathogen infection and is also efficacious in *A. thaliana* against *Peronospora parasitica* and *Pseudomonas syringae* inducing genes related to the PR.1 PR.2 and PR.5 genes of tobacco. PBSI has no effect on penetration of *M. grisea* spores through cellophane *in vitro* but like probenazole significantly protects rice against blast. Only preliminary studies have been performed on changes in metabolism and gene expression that correlate with protection.

Recent advance in our understanding of plant defense mechanisms provide opportunities for the development of novel chemistries which mimic natural inducers of plant defense responses eg. salicylic acid fatty acids jasmonic acid and systemin (Fig.2) as well as the use of transgenic plants containing defense gene promoter-reporter gene fusions as screening tools.

#### AMELIORATION OF SYMPTOMS

Crop damage and loss of productivity reflect the effects of disease symptoms and hence may be prevented by the amelioration of these symptoms as well as by the direct antibiotic inhibition of microbial growth. In many cases symptoms result from the action of microbial toxins some of which are highly host specific. For example production of phaseolotoxin by *Pseudomonas syringae* pv *phaseolicola* is a key component in the generation of halo blight disease symptoms infected bean or tobacco plants. This tripeptide acts by inhibition of ornithine carbamoyl transferase. and expression in transgenic tobacco of a gene from the pathogen which encodes a phaseolotoxin insensitive form of the enzyme reduces symptom severity. Similarly expression of a gene conferring resistance to tabtoxin in transgenic tobacco reduces both toxin sensitivity and disease development, and a recent

report has identified a specific reductase in maize that is responsible for resistance to *Cochliobolus (Helminthosporium) carbonum* race 1 by detoxification of the HC-toxin.

Manipulation of plant inhibitors of fungal polygalacturonases may provide a second avenue for diminishing the impact of disease. Thus fungal endo  $\alpha$ -1, 4D-polygalacturonases catalyze the fragmentation and solubilization of plant cell wall homo- $\alpha$ -1 4D galacturonans thereby facilitating colonization and nutrient release. All dicots examined contain cell wall associated specific inhibitors of fungal endopolygalacturonases (PGIP) which have no effect on plant or bacterial endopolygalacturonase or other microbial pectic enzymes. The recent cloning of a bean PGIP gene provides the basis for overexpression to inhibit fungal colonization. Increased levels of PGIP should also reduce the turnover of oligogalacturonide fragments released from the plant cell wall thereby increasing the potential effectiveness of these endogenous signals for defense activation.

Some component of disease development appear to involve the active participation of the host and this provides further opportunities for engineering reductions in symptom severity. For example ethylene production is stimulated in response to pathogen attack and may mediate plant stress responses. Interestingly and ethylene insensitive mutant of *A.thaliana ein 2* shows reduced symptom development to infection by *P.syringae* pv *tomato*. Another ethylene insensitive mutant *ein1* does not show reduced symptoms and hence symptom development may not be a simple consequence of ethylene production. However, these data indicate that specific plant genes contribute to symptom development and hence the damaging effects of disease might be alleviated by gene transfer approaches.

#### FUTURE PROSPECTS

The recent studies of the effects of overexpression of genes encoding chitinase and other antimicrobial proteins are very encouraging but it seems likely that combinations of such genes will often be needed to engineer levels of protection that will be useful in the field. Such combinatorial deployment of antimicrobial genes each giving partial protection, may in fact be desirable since this should reduce the selection pressure on the pathogen and hence prevent the evolution of resistance or avoidance mechanisms such as minor modifications in cell wall structure or production of inhibitors to reduce the effectiveness of lytic enzymes. We can anticipate that the present repertoire of antimicrobial genes will be supplemented by the discovery of genes encoding novel antimicrobial activities from diverse sources as well as the manipulation of multigenic defenses and the underlying signal perception and transduction mechanisms. Phytopathogenic fungi are formidable adversaries and we must hope that these emerging novel strategies for engineering crop protection can be successfully incorporated into ongoing breeding and integrated management efforts to match food supply with

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demand in the next century.

#### Acknowledgments

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(References Omitted)

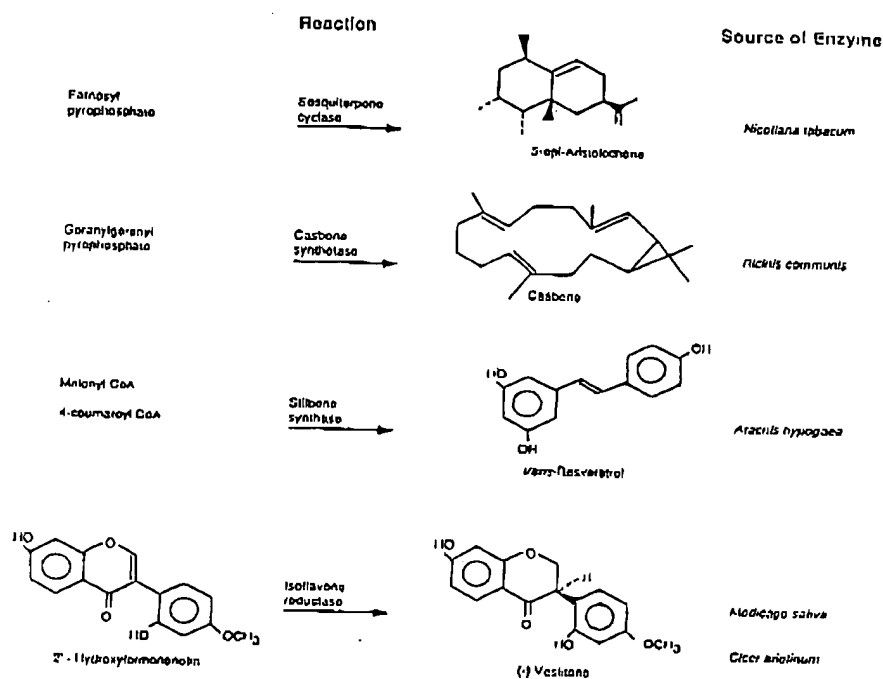
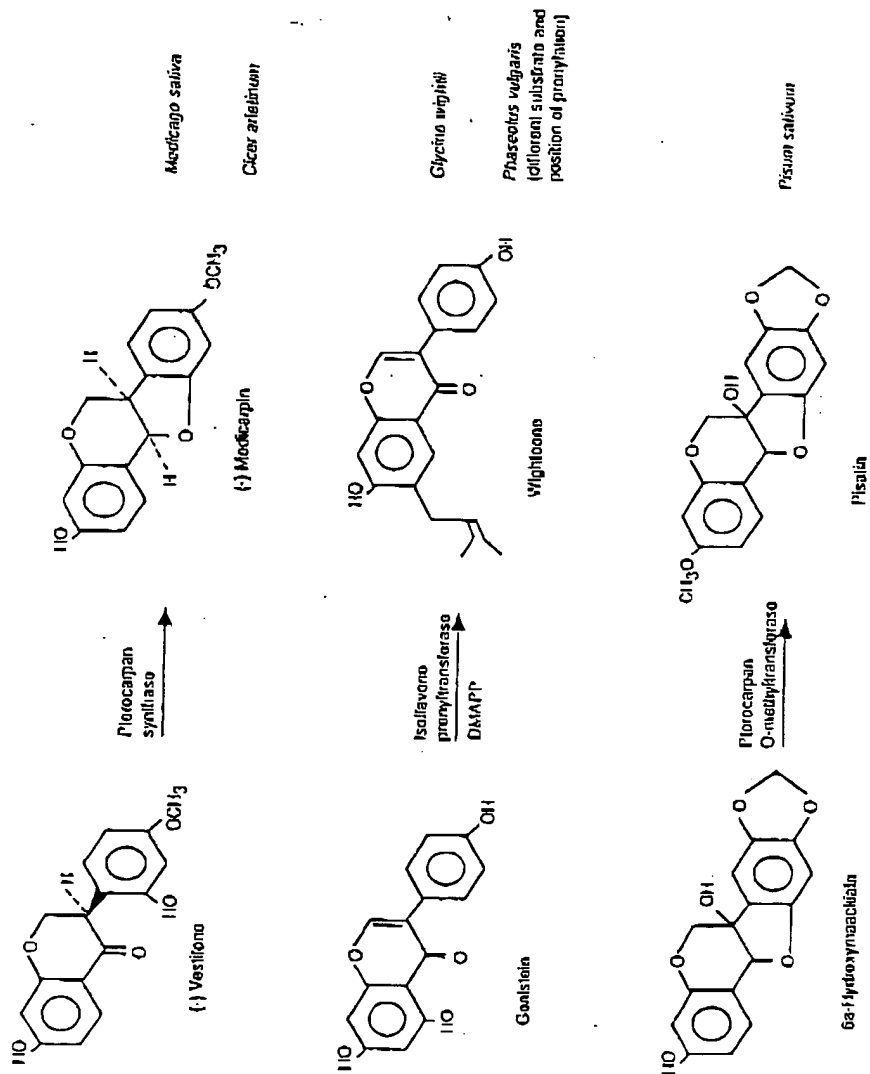


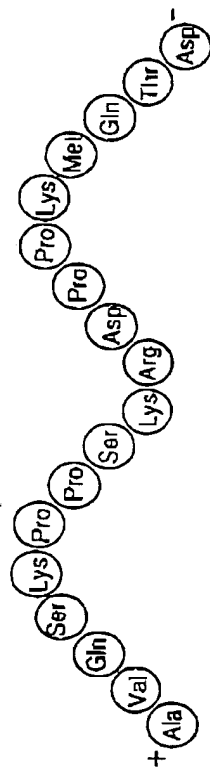
Fig.1 Strategies for engineering new or modified phytoalexins.

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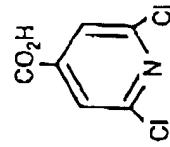
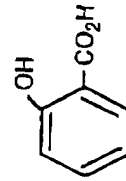
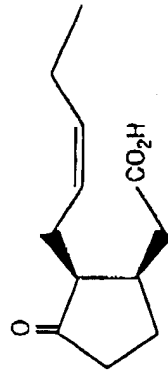
Fig 1. continued



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## Systemin



**Fig-2 Stress signal molecules**



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TI Expression of a ribosome inhibiting protein (RIP) or a bacterial chitinase leads to fungal resistance in transgenic plants.

SO Mechanisms of plant defense responses, (1993) pp. 446-448. Proceedings of the 2nd International Conference of the European Foundation for Plant Pathology, Strasbourg, France, 24-27 August 1992. 10 ref. Publisher: Kluwer Academic Publishers. ISBN: 0-7923-2154-5

AU Logemann, J.; Jach, G.; Logemann, S.; Leah, R.; Wolf, G.; Mundy, J.; Oppenheim, A.; Chet, I.; Schell, J.; Fritig, B. [EDITOR]; Legrand, M. [EDITOR]

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L239 ANSWER 20 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Expression of hydrolases in transgenic alfalfa plants; chitinase, cellulase and cellobiohydrolase gene expression in transgenic plant for fungus disease-resistance and crop improvement (conference abstract)

SO Plant Physiol.; (1993) 102, 1, Suppl., 167 CODEN: PLPHAY

AU Masoud S; Lamb C J; Dixon R A

AN 1993-09330 BIOTECHDS

# 959

L239 ANSWER 23 OF 73 BIOTECHDS COPYRIGHT 2003 THOMSON DERWENT AND ISI

TI Emerging strategies for enhancing crop resistance to microbial pathogens; engineering disease-resistance in transgenic plant for crop improvement (conference paper)

SO Curr.Plant Sci.Biotechnol.Agric.; (1993) 45-60 CODEN: 9999T

AU Lamb C J

AN 1993-14530 BIOTECHDS

Please also provide the publication date for each of these references.

# REDUCED WOUND INDUCTION OF DAHP SYNTHASE DUE TO EXPRESSION OF ANTISENSE DAHP SYNTHASE RNA IN POTATO

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 Transgenic potato plants containing an antisense RNA gene for a 3-deoxy-D-arabino-heptulosonate 7-phosphate (DAHP) synthase have been produced in order to examine the roles of this enzyme in plant growth and development. The chimeric DNA constructs used for transformation contained the transcript start site and the first intron in both antisense and sense orientations under the control of the CaMV 35S promoter. Tubers from several independent transgenic potato plants were wounded and levels of DAHP synthase enzyme activity, mRNA, and antisense RNA were determined 0, 12, and 24 hours after wounding. Among the transgenic tubers expressing DAHP synthase antisense RNA, 4 showed a 40% reduction in wound-induced DAHP synthase enzyme activity and mRNA levels, while no such reduction was observed in transgenic potato tubers containing the chimeric sense construct. Transgenic antisense plants which showed a reduction in the wound-induced expression of DAHP synthase were also more sensitive to glyphosate. With one exception, antisense DAHP synthase plants had similar vegetative dry weights and tuber yields compared to untransformed plants. Levels of DAHP synthase activity, protein, and mRNA are being examined further in tubers and other organs of transgenic antisense potato plants to determine the effect of DAHP synthase antisense RNA expression on the expression of cloned potato DAHP synthases during plant development and in response to wounding.

# TRANSFORMATION OF THE FORAGE GRASS CAUCASIAN BLUESTEM VIA BIOLISTIC BOMBARDMENT-MEDIATED DNA TRANSFER

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 The forage grass caucasian bluestem (*Bothriochloa caucasica* L.) is well adapted to warm season and different soil conditions in the south central United States. Development of an efficient genetic transformation system is a prerequisite for future genetic manipulations of caucasian bluestem to improve its forage quality. In this study, we have optimized conditions for DNA delivery via biolistic bombardment using the Dupont PDS 1000 particle gun. Seed explants and callus cultures of caucasian bluestem were bombarded with tungsten or gold particles coated with the plasmid (pBARGUS) DNA. Transient GUS assays were performed 24-48 hours after the bombardment. The maximum number of cells expressing GUS was achieved with gold particles when 10-15 day old explants were placed approximately 5 cm from the stopping plate and a pressure setting of 1550 psi was used. Two weeks after the bombardment, the cultures were transferred to bialophos selection medium. Four to 6 weeks after the transfer, small clumps of embryogenic calli resistant to bialophos were produced. Attempts are now in progress to regenerate plants from this putatively transformed embryogenic callus.

# EXPRESSION OF HYDROLASES IN TRANSGENIC ALFALFA PLANTS

Sameer Masoud<sup>1</sup>, Christopher J. Lamb<sup>2</sup>, & Richard A. Dixon<sup>1</sup> <sup>1</sup>The Samuel Roberts Noble Foundation, Plant Biology Division, P. O. Box 2180, Ardmore, OK 73402; <sup>2</sup>The Salk Institute for Biological Studies, Plant Biology Laboratory, 10010 N. Torrey Pines Road, La Jolla, CA 92037  
 Stimulation of lytic enzymes is one of several active plant defenses against microbial attack. The hydrolytic enzymes chitinase and  $\beta$ -1,3-glucanase partially digest isolated cell walls of potential pathogens, and inhibit fungal growth *in vitro*. The enzymes act synergistically to inhibit fungal growth. Constitutive over-expression of a bean chitinase in transgenic plants has been shown to enhance resistance against fungal pathogens. Constitutive co-expression of both chitinases and glucanases may have the potential to further strengthen plant resistance against phytopathogenic fungi. We are investigating the potential of glucanase and chitinase co-expression in alfalfa, using an acidic glucanase cDNA clone isolated previously from an elicited alfalfa cell suspension culture, and a genomic clone of rice chitinase (RCH10). Analysis of the tentative transformed plants will be presented. We also present preliminary data on the complexity of the glucanase gene family in alfalfa.

# EXPRESSION OF FUNGAL LIGNIN DEGRADING ENZYMES IN PLANTS

Dennis Mathews<sup>1</sup>, Sandra Austin-Phillips<sup>1</sup>, Monorama John<sup>1</sup>, Jesse Will<sup>1</sup>, Mark Shahan<sup>1</sup>, Richard Amasino<sup>2</sup>, Richard Burgess<sup>1</sup>  
<sup>1</sup>Biotechnology Center, <sup>2</sup>Biochemistry Dept., Univ. Wisconsin, Madison, WI 53706  
 The lignin degrading enzymes lignin peroxidase (LiP) and manganese peroxidase (MnP) from the white rot fungus *Phanerochaete chrysosporium* were incorporated into plant expression vectors under control of the constitutive Mac promoter. Tobacco and alfalfa plants were transformed using *Agrobacterium*. Transgenic tobacco plants expressed LiP mRNA but protein and enzyme activity were not detected. Tobacco plants transformed with MnP did result in detectable protein and enzyme activity. Accumulation and localization of MnP did not appear to be affected by substituting a plant ER signal sequence for the endogenous fungal signal sequence. No visible effect on growth or development was observed in tobacco plants expressing MnP.

# ENZYMES OF LEUCINE SYNTHESIS IN SPINACH CHLOROPLASTS

Petra Hagelstein<sup>1</sup>, Michael Klein<sup>2</sup>, & Gernot Schultz<sup>1</sup>  
<sup>1</sup>Botan. Inst., Tierärztl. Hochschule, D-3000 Hannover 71; <sup>2</sup>Zentrum Biochemie, Medizinische Hochschule, D-3000 Hannover 61, FRG.  
 The synthesis of leucine in plants from 2-oxo-isovalerate (OIV) and acetyl-CoA (leucine branch) was shown to be compartmented in chloroplasts (P. Hagelstein & G. Schultz 1992). The involved enzymes are:  $\alpha$ -isopropylmalate (IPM) synthase, IPM isomerase, B-IPM dehydrogenase and 2-oxo-isocaproate (OIC)-glutamate aminotransferase.  $\alpha$ -IPM synthetase was studied in hypotonically treated, purified spinach chloroplasts.  $K_m$  values for OIV and acetyl-CoA, in  $\mu$ M, were: 75 and 5. The enzyme was completely inhibited by 20  $\mu$ M L- (and D-)leucine indicating a feedback inhibition mechanism. Optimal activity in 50 mM NaP<sub>i</sub> buffer pH 8.0 was achieved by adding 2 mM K<sup>+</sup> and 1 mM Mg<sup>2+</sup> (as chlorides). Pilot tests indicated the presence of a NADP<sup>+</sup> B-IPM dehydrogenase. OIC-glutamate aminotransferase was studied in the ultrafiltrate (Millipore exclusion size 5 kDa) of stroma from purified spinach chloroplasts.  $K_m$  values for OIC and Glu were: 120  $\mu$ M and 8 mM. The enzyme showed optimal activity at pH 9.0 by adding 30 mM K<sup>+</sup>, and was strongly inhibited by 20 mM L-leucine and L-isoleucine but not L-valine.

# INDUCTION OF LIMONENE SYNTHASE ACTIVITY IN GRAND FIR CALLI BY THE OMISSION OF GROWTH REGULATORS AND BY AUTOCLAVED FUNGAL EXTRACTS.

Efraim Lewinsohn, Eric Worden, & Rodney Croteau Institute of Biological Chemistry, Washington State University, Pullman, WA 99164-6340  
 Monoterpenes are important defensive chemicals produced by conifers against wounding, herbivore and pathogen attacks. The biosynthesis of these volatile compounds is induced in grand fir (*Abies grandis*, Pinaceae) saplings as a response to stem wounding. This induction consists of the appearance of at least six distinct monoterpene synthases that catalyze the production of different cyclic monoterpene olefins from geranyl pyrophosphate. Limonene is the major olefin generated in cell-free extracts of non-wounded tissues, while (-)- $\alpha$ - and (-)- $\beta$ -pinene, the major products generated in extracts from wounded grand fir stems. Callus tissues were obtained from de-barked stem pieces of grand fir saplings and cultured on a modified solid Murashige and Skoog medium supplemented with 2% sucrose, 5 ppm 2,4-D and 0.1 ppm BAP. Calli transferred to similar medium but devoid of growth regulators displayed increased (8-fold) levels of limonene synthase activity, as compared to non-transferred calli, and 2-fold higher activity levels than calli transferred to complete medium, on a protein basis. Maximum enzyme activity levels were observed 48 h after the transfer, followed by a decline in the extractable activity. Further injuring of the callus tissues did not affect enzyme activity levels. Additionally, calli transferred to complete medium containing 1 mg FW/ml of autoclaved *Penicillium brevicompactum* extracts, a fungus isolated from grand fir cut stems, displayed similar increases in limonene synthase activity.

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SO Plant Physiol.; (1993) 102, 1, Suppl., 167 CODEN: PLPHAY  
AU Masoud S; Lamb C J; Dixon R A  
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## EXPRESSION OF A RIBOSOME INHIBITING PROTEIN (RIP) OR A BACTERIAL CHITINASE LEADS TO FUNGAL RESISTANCE IN TRANSGENIC PLANTS.

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Cologne 30, Germany; <sup>1</sup>Copenhagen; <sup>2</sup>Gottingen; <sup>3</sup>Jerusalem; <sup>4</sup>Rehovot.

**ABSTRACT:** In order to protect plants against fungal attack, two genes (RIP, ChiA) encoding proteins with in vitro antifungal activity were expressed in transgenic tobacco plants.

1. A barley derived cDNA clone (RIP) encoding a ribosome inhibiting protein. RIP inhibits protein synthesis in fungi by specific RNA N-glycosidase modification of the 28S RNA.

2. A chitinase gene (ChiA) derived from the bacterium *Serratia marcescens* with the ability to destroy hyphal tips of growing fungi.

Plants expressing RIP-protein or ChiA-protein under the control of the wound-inducible promoter *wun1* or the constitutive 35S-promoter were infected with the plant pathogenic fungus *Rhizoctonia solani*.

Whereas the growth of control plants was drastically reduced because of root and stem disease, RIP- and ChiA-transgenic plants were growing nearly as fast as uninfected tobacco plants and showed reduced infection symptoms. The fungal resistance of these transgenic tobacco plants was stably inherited.

### 1. Introduction

The destruction of crop plants by fungal pathogens is a serious problem worldwide and annually leads to losses of about 15%.

In order to control fungal diseases, fungicides are used which are helpful in several (not all) cases but can also cause environmental problems. To prevent plants from being destroyed by fungal pathogens one alternative approach is to introduce a gene into the plant genome which encodes a protein with antifungal activity and therefore enables the plant to protect itself against fungal attack.

Several genes from either plants or microorganisms (bacteria and fungi) encoding proteins with in vitro antifungal activity have been analysed. However only in a few cases it could be demonstrated that the observed in vitro antifungal activity correlated with in vivo protection in transgenic plants.

Broglie et al. (1991) demonstrated that the expression of a bean chitinase in transgenic tobacco leads to an increased resistance against *Rhizoctonia solani*. A bacterial chitinase (*Serratia marcescens*) was expressed in transgenic tobacco plants which also turned out to be protected against *Rhizoctonia solani* attack (Jones et al., 1988; Dunsmuir et al., 1991).

We demonstrated that the expression of a ribosome inhibiting protein (RIP) or of a bacterial chitinase (ChiA) leads to fungal resistance in transgenic tobacco plants.

### 2. Results and Discussion

#### 2.1 RIP

RIP is encoded by a cDNA clone which is expressed in target cells by specific RNA interference. It does not inactivate self ribosomes, but inhibits protein synthesis in other cells. *In vitro* studies with purified RIP showed that it is cytotoxic to several fungi which suggested that the chitinase inhibits fungal protein synthesis. The expression of the RIP gene under the control of the *wun1* promoter (Logemann et al., 1991) led to a stably inherited RIP-protein in wounded leaves. Transgenic tobacco plants expressing RIP showed a stably inherited resistance to the soil living fungus *Rhizoctonia solani* on ground, which leads to reduced growth of tobacco plants. Transgenic tobacco plants expressing RIP were infected with *Rhizoctonia solani* and showed a drastically reduced root and stem disease compared to uninfected tobacco plants and control plants. The level of fungal resistance of transgenic tobacco plants was stably inherited. Further analysis of transgenic tobacco plants expressing the constitutive 35S-promoter showed that the level of resistance of roots (about 0.5 to 1% RIP of control plants) was stably inherited. Preliminary data indicate that the level of resistance of roots is higher even than in the *wun1*-transgenic plants.

#### 2.2 ChiA

The bacterial chitinase gene (ChiA) from the bacterium *Serratia marcescens* was expressed in transgenic tobacco plants. It was demonstrated that this *Serratia marcescens* chitinase is localized on a small hypha of the fungal pathogen *Sclerotium rolfsii* infection is localized on a small hypha. *E. coli* produced strains capable of producing a very potent chitinase. Further analysis showed that the chitinase turned out to be a very potent chitinase. The ChiA gene was expressed in transgenic tobacco plants. ChiA-mRNA was detected in transgenic tobacco plants. It was demonstrated that the ChiA protein

We demonstrated that the expression of either a barley derived ribosome inhibiting protein (RIP) or of a bacterial chitinase ChiA (which differs in sequence and biochemical properties from the chitinase used by Dunsmuir et al.,) leads to protection against fungal attack in transgenic tobacco plants (Logemann et al., 1992, Jach et al., 1992).

## 2. Results and Discussion

### 2.1 RIP

RIP is encoded by a cDNA clone derived from barley seeds. It inhibits protein synthesis in target cells by specific RNA N-glycosidase modification of 28S rRNA. This RIP does not inactivate self ribosomes, but is active against fungal ribosomes.

*In vitro* studies with purified RIP demonstrated that low concentrations of RIP are cytotoxic to several fungi when combined with chitinases (Leah et al., 1991). It has been suggested that the chitinase enables RIP to enter the fungal cells in order to efficiently inhibit fungal protein synthesis.

The expression of the RIP-gene under the control of the wound and pathogen inducible promoter *wun1* (Logemann et al., 1989, Siebertz et al., 1989) led to an accumulation of RIP-protein in wounded leaves, stems, and roots of transgenic tobacco plants. In order to test fungal resistance of tobacco plants the basidiomycete *Rhizoctonia solani* was used. As a soil living fungus *Rhizoctonia* causes disease on roots, stems and leaves attached to the ground, which leads to reduced growth of the plant and in extreme cases to plant death.

Transgenic tobacco plants expressing RIP-protein, as well as control plants lacking RIP were infected with *Rhizoctonia solani*. While the growth of the control plants was drastically reduced due to root and stem disease, RIP-transgenic plants grew nearly as fast as uninfected tobacco plants and showed markedly reduced infection symptoms. Analysis of fungal resistance of transgenic RIP-plants in the next generation showed that the resistant phenotype was stably inherited (Logemann et al., 1992).

Further analysis of transgenic tobacco plants expressing the RIP gene under the control of the constitutive 35S-promoter revealed high levels of RIP protein in leaves, stems and roots (about 0,5 to 1% RIP of total leaf protein).

Preliminary data indicate that these plants exhibit a degree of tolerance to infection that is higher even than in the *wun1*-RIP transgenic plants.

### 2.2 ChiA

The bacterial chitinase gene ChiA was derived from a specially selected strain of the soil bacterium *Serratia marcescens*. Earlier experiments with 203 different bacterial strains demonstrated that this *Serratia* strain was the most efficient biocontrol agent for the fungal pathogen *Sclerotium rolfsii* (Ordentlich et al., 1988). The ability to control fungal infection is localized on a small 4,7 Kb fragment since sub-cloning of this fragment into *E. Coli* produced strains capable of controlling the fungus (Shapira et al., 1989).

Further analysis showed that a subfragment (1,8 Kb) encodes a chitinase (ChiA) which turned out to be a very potent inhibitor of the growth of several fungi including the agriculturally important fungus *Rhizoctonia solani*.

The ChiA gene was expressed under the control of the 35S-promoter in transgenic tobacco plants. ChiA-mRNA and ChiA-protein was detected in leaves, stems and roots of transgenic tobacco plants. Using a new technique with dye-labeled chitin it was demonstrated that the ChiA protein is active in transgenic plants.

In several independent experiments ChiA-expressing seedlings of T1 derived 35S-transgenic tobacco plants were grown on *Rhizoctonia solani* infested soil (0.2 g/liter soil) under constant environmental conditions (25° C. 85% rel. humidity).

After 15 days about 80% of the ChiA-expressing plants survived whereas only 20-30% of the untransformed or vector-transformed control plants were alive (Jach et al., 1992).

Meanwhile it could be demonstrated that RIP- or ChiA-protein can also be expressed in important crops such as potato plants. Studies to test the resistance of such plants against *Rhizoctonia solani* and other agriculturally important fungal pathogens are in progress.

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GENETIC TRANSFORMATION OF  
8-1,3-GLUCANASE GENES FROM

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The defense responses against pathogens include the induction of which, directly or indirectly, against the invading pathogens. enzymes, e.g. chitinases and Different chitinase and purified from *Cercospora* potential antifungal activity bioassays using *Trichoderma* a basic chitinase (chitinase 8-1,3-glucanase showed good three enzymes have therefore In sugar beet all three enzymes, due to the lack of chains. *C. beticola* is a fungus the intercellular space. deposition of the antifungal cellular space. In sugar beet leaves, the follows about 8-10 days after induction of genes encoding a putative promoter such as 35 against *C. beticola* and others. The genes have been cloned in different combinations. An enhanced construct before the sugar *benthamiana* via *Agrobacterium* Chitinase 4, belonging to a in *N. benthamiana*. Sugar beet *benthamiana* is therefore examined by electrophoresis. To examine the antifungal evaluate the degree of resistance *ana* have currently been generated will be examined for resistance